

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MORROW, MARGARET M.	2. Court or Organization United States District Court, CACD	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 255 E. Temple Street, Ste. 770 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Bryn Mawr College - Board of Trustees - (See Note in Part VIII)
2.	Trustee	Mayfield Senior School - Board of Trustees - (See Note in Part VIII)
3.	Trustee	Brokerage Account #2 (Trust #1) (Part VII, Page 15, Line 200)
4.	Trustee	Administrative Account (Trust #2) (Part VII, Page 30, Line 445)
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Defined Benefit Pension Payment from State of California	\$134,401.44
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bryn Mawr College	February 7-9	Bryn Mawr, PA	Board of Trustees Meeting	Meals
2. New York University	April 5-6	New York, New York	Root-Tilden	Meals/airfare
3. Bryn Mawr College	April 24-27	Bryn Mawr, PA	Board of Trustees Meeting	Meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco Van Kampen Capital Growth Fund-A - IRA		None	L	T					
2. Vanguard Windsor II Fund - IRA	A	Dividend	J	T					
3. BROKERAGE ACCOUNT #1 - INDIVIDUAL RETIREMENT ACCOUNT	F	Int./Div.	P1	T					
4. - Cash equivalent - Morgan Stanley									
5. - Amgen Inc. - common stock									
6. - Abbvie Inc.Com - common stock					Buy	02/14/13	J		
7. - Cisco Systems Inc. - common stock					Sold	04/22/13	J	A	
8. - Caterpillar Inc. - common stock					Buy	04/22/13	J		
9. - General Electric Company - common stock									
10. - Microsoft Corporation - common stock									
11. - Royal Dutch Shell PLC ADR - common stock									
12. - AT&T Inc. - common stock									
13. - Schlumberger Ltd. - common stock									
14. - Comcast Corp CL A Special New - common stock					Sold (part)	02/07/13	J	A	
15. - Eaton Corp. - common stock									
16. - Procter & Gamble - common stock									
17. - Monsanto Co. - common stock									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. - Kraft Foods Inc. - common stock					Buy (add'l)	05/06/13	J		
19. - Vodafone Group PLC ADR - common stock					Sold (part)	12/03/13	J	A	
20. - CVS Caremark Corp. - common stock									
21. - Google Inc. - common stock									
22. - Coca-Cola Co. - common stock									
23. - Texas Instruments Inc. - common stock									
24. - Amazon.com Inc. - common stock					Sold (part)	07/17/13	J	A	
25. - Check Point Software Tech Ltd. - common stock					Buy	06/21/13	J		
26. - Charles Schwab Corp. - common stock									
27. - Chevron Corp. - common stock									
28. - Freeport McMoran Copper & Gold - common stock									
29. - Intuit Inc. - common stock					Sold	11/22/13	J	A	
30. - Juniper Networks Inc. - common stock									
31. - Weatherford Int'l. Ltd. - common stock									
32. - Broadcom Corp - common stock									
33. - Akamai Technologies Inc. - common stock									
34. - American Electric Power Co. -- common									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Anadarko Petroleum Corp. - common stock									
36. - Autodesk Inc. - common stock									
37. - Bed Bath & Beyond Inc. - common stock									
38. - Biogen Idec Inc. - common stock					Sold (part)	05/03/13	J	A	
39. - Blackrock Inc. - common stock									
40. - Cablevision Systems Corp. - common stock									
41. - Celgene Corp. - common stock					Sold (part)	05/17/13	J	A	
42.					Sold (part)	09/18/13	J	A	
43. - Covidien PLC New -- common stock									
44. - Cree Research Inc. - common stock									
45. - Deere & Co. -- common stock					Sold	07/25/13	J	A	
46. - Ebay Inc. - common stock									
47. - Express Scripts Inc. - common stock									
48. - Facebook Inc. CL-A - common stock					Buy	04/10/13	J		
49.					Buy (add'l)	04/23/13	J		
50.					Buy (add'l)	06/06/13	J		
51. - Fluor Corp. - common stock									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Forest Laboratories Inc. - common stock									
53. - HCP Incorporated - common stock									
54. - Health Care REIT Inc. - common stock									
55. - Home Depot Inc. - common stock					Sold (part)	06/21/13	J	A	
56. - Johnson & Johnson - common stock									
57. - Red Hat - common stock					Buy	11/19/13	J		
58. - L-3 Communications Holdings Inc. - common stock									
59. - The Directv Group Class A - common stock									
60. - Liberty Media Hldg. Capital Series A - common stock									
61. - Liberty Media Hldg SR A Inter - common stock									
62. - Nasdaq OMX Group Inc. - common sto									
63. - National Oilwell Varco Inc. - common stock									
64. - Pall Corporation - common stock									
65. - Pepsico Inc. - common stock									
66. - Qualcomm Inc. - common stock									
67. - Seagate Technology Holdings - common stock									
68. - Realty Income Corp. -					Buy	07/02/13	J		

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69. - Riverbed Tech Inc. - common stock					Buy	07/31/13	J		
70. - Senior Hsg PPTY TR - common stock					Buy	02/14/13	J		
71. - SPDR Gold TR Gold SHS - common stock									
72. - TE Connectivity Ltd. New - common stock									
73. - Tyco International Ltd. - common stock									
74. - Unitedhealth Group Inc. - common stock									
75. - Vertex Pharmaceuticals - common stock									
76. - Xilinx Inc. -- common									
77. - Zoetis Inc. Class A - common stock					Buy	08/26/13	J		
78. - AMC Networks Inc. CL A - common stock									
79. - Apache - common stock					Sold	05/23/13	J	A	
80. - Delaware Diversified Inc. - mutual fund					Sold	05/23/13	K	B	
81. - H J Heinz Co. - common stock					Sold	02/14/13	J	B	
82. - JP Morgan Chase BK N A Columbus Ohio DJIA Linked CD									
83. - Lord Abbett Floating Rate - mutual fund									
84. - Hartford World Bond 1 - mutual fund					Buy	05/23/13	K		
85. - Lord Abbett Sht Dur Inc A - mutual fund					Sold	05/23/13	K	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. - Williams Co., Inc. - common stock					Buy	12/03/13	J		
87. - Managers Bond Fund - mutual fund									
88. - Mallinckrodt Public Ltd. Co. - common stock					Spinoff (from line 43)	07/03/13	J		
89. - Blackrock Global L/S Credit 1 - mutual fund					Buy	05/23/13	K		
90.					Buy (add'l)	07/15/13	J		
91. - Merck & Co. - common stock									
92. - Nucor Corp. - common stock									
93. - Pimco Total Return - mutual fund					Sold (part)	05/23/13	J	A	
94. - Sandisk Corp. - common stock									
95. - Yum Brands Inc. - common stock									
96. - TCW Total Return - mutual fund									
97. - Templeton Global Bd Fd Adv -					Sold	05/23/13	K	B	
98. - Verizon Communications - common stock									
99. - Federated Unconstrained BD IS - mutual fund					Sold	05/23/13	J	A	
100. - JP Morgan Strat Inc. Opp Sel - mutual fund					Buy (add'l)	05/23/13	J		
101. - Virtus Multi-Sector S/T Bond - mutual fund					Sold (part)	05/23/13	J	A	
102. - Virtus Multi Sect Sht Trm BD 1 -									

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103. - Virtus Senior Floating Rate I - mutual fund									
104.									
105. - Bank Deposit Sweep Program -									
106. - Lake Tahoe Calif Uni Sch Dist Go BDS - muni bond (2LT1)	B	Interest	L	U	Open	07/30/13	L		MMM personal account
107.	A	Interest	L	U	Open	12/28/13	L		
108. Orchard Calif. Sch Dist G.O. Ref BDS - muni bond (5CB5)	A	Interest	K	U	Open	07/30/13	K		MMM personal account
109.	A	Interest	K	U	Open	12/28/13	K		
110. San Ysidro Calif. Sch Dist CTFS Partn - muni bond (3EU1)	A	Interest	J	U	Open	09/01/13	J		MMM personal account
111. - Wells Fargo Bank - Account					Open	12/31/13	K		MMM personal account
112. - Union Bank - Account					Open	12/31/13	K		MMM personal account
113. - Kadison, Pfaelzer, Woodard, Quinn & Rossi Inv. Ptnrship.					Open	12/31/13	K		MMM personal account
114. - Apple Inc. - common stock									
115. - Astrazeneca PLC ADS - common stock									
116. - Bristol Myers Squibb Co. - common stock					Sold (part)	06/03/13	J	A	
117.					Sold (part)	07/02/13	J	A	
118. - Dolby Class A common stock									
119. - EMC Corp. Mass - common stock									

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120. - Glaxosmithkline PLC ADS - common stock									
121. - Immunogen Inc. - common stock									
122. - Isis Pharmaceuticals Inc. - common stock									
123. - Legg Mason BW Global Opp Bd F1 - mutual fund									
124. - John Hancock FDS Str Inc. Opp 1 - mutual fund					Buy	05/23/13	K		
125. - Mc Donalds Corp. - common stock									
126. - Ms Buffered Plus Based on Basket - common stock									
127. - Oracle Corp. - common stock					Sold	07/31/13	J	A	
128. - Philip Morris International Inc. - common stock									
129. - PPL Corporation - common stock									
130. - TCW Emerging Markets Income N - mutual fund					Sold	06/21/13	K	A	
131. - Pimco Emerging Local Bond A - mutual fund					Sold	06/21/13	K	A	
132. - Thermo Fisher Scientific Inc. - common stock									
133. - Ventas, Inc. - common stock					Buy	09/13/13	J		
134. - Visa Inc. - common stock					Sold (part)	06/24/13	J	A	
135. - Walt Disney Co. Holding Co. - common stock									
136. - Unit AAM Covered Call & Income Strategies Closed end fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Anheuser Busch Inbev SA Spon - common stock									
138. - Blackrock Strategic Inc Opp A Prospectus - mutual fund									
139. - Goldman Sachs Strategic Inc A Prospectus - mutual fund									
140. - Virtus Senior Floating Rate A - mutual fund									
141. - Virtus Multi Sector Fixed Inc A - mutual fund									
142. - CME Group - common stock									
143. - EOG Resources Inc. - common stock					Sold	04/18/13	J	A	
144. - NetApp Inc. - common stock									
145. - United Parcel Service Inc. Class B - common stock									
146. - MFF Orion FT FD - managed future									
147. - Skybridge Multi-Advisor Hedge fund									
148. - BlackRock All-CP Energy Res A - mutual fund									
149. - Cohen & Steers Global Realty Foc I - mutual fund									
150. - Eaton Vance Commodity Strategy A - mutual fund									
151. - Hartford Floating Rate I - mutual fund									
152. - ING Global Real Estate FD I - mutual fund									
153. - PIMCO Commodity Real Ret Strat A - mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - RS Global Natural Resources A - mutual fund									
155. - Altria Group Inc. - common stock									
156. - BCE Inc. (New) - common stock					Sold (part)	01/09/13	J	A	
157. - Centurylink Inc. - common stock					Sold	02/14/13	J	A	
158. - BP PLC Ads - common stock					Buy	01/09/13	J		
159.					Buy (add'l)	04/18/13	J		
160. - Citrix Systems Inc. - common stock									
161. - Conocophillips - common stock					Buy (add'l)	06/03/13	J		
162. - Dominion Res Inc. (New) - common stock					Buy (add'l)	02/14/13	J		
163. - W. W. Grainger, Inc. - common stock					Buy	12/12/13	J		
164. - Duke Energy Corp. Holding Co. - common stock									
165. - Kimberly Clark Corp. - common stock									
166. - Eli Lilly & Co. - common stock					Sold (part)	05/06/13	J	A	
167. - Lorillard Inc. - common stock									
168. - Natl Grid Transco PLC ADS - common stock									
169. - Reynolds American Inc. - common stock									
170. - Southern Co. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Total Fina Elf SA - common stock									
172. - Unilever PLC (New) ADS - common stock									
173. - Windstream Corp. - common stock					Sold	06/03/13	J	A	
174. - Columbia Select LG CP Growth Z - mutual fund									
175. - Columbia Income Opport A - mutual fund									
176. - Invesco Developing Markets A - mutual fund					Buy (add'l)	12/09/13	J		
177. - KKR Income Opportunities Fund - common stock					Buy	07/25/13	K		
178. - Royce Premier Service - mutual fund					Buy (add'l)	12/09/13	J		
179. - Thornburg Int'l Value I- mutual fund									
180. - Virtus Insight Emerging Markets I - mutual fund					Buy (add'l)	12/09/13	J		
181. - Nike Inc. B - common stock									
182. - Cameron Intl Corp - common stock									
183. - Duff & Phelps Global Equity - common stock									
184. - Blackrock Emrg Mkt L/S EQ Inst (BLSIX) - mutual fund									
185. - DWS Rreef GLB Infrastrc S (TOLSX) -- mutual fund									
186. - Gateway Fund Y (GTEYX) - mutual fund									
187. - Invesco Balanced - Risk Alloc Y (ABRYX) - mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Managers Fremont Global Fund (MMAFX) - mutual fund									
189. - MFS Diverse Target Return I (DVRIX) - mutual fund									
190. - Nuveen Global Infrastructure I (FGIYX) - mutual fund									
191. - Highland L/S Eq A aka PYXIS Long/Short Equity A - mutual fund									
192. - Virtus Dynamic Alphasector I (VIMNX) - mutual fund									
193.									
194. - Morgan Stanley - Inherited IRA Account -	D	Distribution	N	T	Distributed (part)	01/01/13	N	A	See Note in Part VIII
195.									
196.									
197.									
198. - Morgan Stanley - Inherited IRA Account -	A	Distribution	J	T	Distributed (part)	01/01/13	J	A	See Note in Part VIII
199.									
200. BROKERAGE ACCOUNT #2 (TRUST #1)	E	Int./Div.	P1	T					
201.									
202. - Cash equivalent - Morgan Stanley'									
203. - Eaton Corp. - common stock									
204. - Aviva Plc Adr - common stock					Buy	06/17/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Express Scripts Inc. - Common									
206. - General Electric Inc. - common stock									
207. - Pepsico Inc. - common stock									
208. - Royal Dutch Shell PLC - common stock									
209. - Checkpoint Software Tech Ltd. - common stock					Buy	06/21/13	J		
210. - AMC Networks Inc CL A - common stock									
211. - Allianceber Muni - mutual fund					Buy	06/10/13	L		
212.					Sold (part)	06/25/13	J	A	
213.					Sold (part)	08/09/13	J	A	
214.					Sold (part)	08/28/13	J	A	
215.					Sold (part)	10/08/13	J	A	
216.					Sold (part)	11/08/13	J	A	
217.					Sold (part)	11/21/13	J	A	
218.					Sold	12/03/13	K	A	
219. - Abbvie Inc.com - common stock					Buy	02/14/13	J		
220. - AT&T - common stock									
221. - Schlumberger Ltd. - common stock									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Procter & Gamble - common stock									
223. - Monsanto Company - common stock									
224. - Kraft Foods - common stock									
225. - Vodafone Group PLC ADR - common stock									
226. - CVS Caremark Corporation - common stock									
227. - Health Care REIT - common stock									
228. - Coca Cola Company - common stock									
229. - Google Inc. - common stock									
230. - HCP Inc. - common stock									
231. - Juniper Networks Inc. - common stock									
232. - Qualcomm Inc. - common stock									
233. - Schwab Charles Corporation - common stock									
234. - Amazon.com Inc. - common stock					Sold (part)	07/17/13	J	A	
235. - Amgen Inc. - common stock									
236. - Anadarko Petroleum Corporation - common stock									
237. - BP PLC Ads - common stock					Buy	01/09/13	J		
238. - Cablevision Systems Corporation - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Celgene Corporation - common stock					Sold (part)	05/17/13	J	A	
240.					Sold (part)	09/18/13	J	A	
241. - Chevron Corporation - common stock									
242. - Cisco Systems Inc. - common stock					Sold	04/22/13	J	A	
243. - Covidien Ltd. - common stock									
244. - Mallinckrodt Public Ltd. Co. - common stock					Spinoff (from line 243)	07/03/13	J		
245. - EOG Resources Inc. - common stock					Sold	04/18/13	J	A	
246. - Freeport McMoran Copper & Gold - common stock									
247. - Lord Abbett High Yield Muni Bond Fund - mutual fund					Sold (part)	06/10/13	J	A	
248.					Sold (part)	06/25/13	J	A	
249.					Sold (part)	11/08/13	J	A	
250.					Sold	11/21/13	J	A	
251. - Microsoft Corporation - common stock									
252. - NASDAQ OMX Group, Inc. - common stock									
253. - SPDR Gold Trust - ETF					Sold (part)	01/03/13	J	A	
254. - Caterpillar, Inc. - common stock					Buy	04/22/13	J		
255. - Texas Instruments Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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256. - Weatherford International Ltd. - common stock									
257. - Xilinx Inc. - common									
258. - Yum Brands Inc. - common									
259. - Fluor Corp. - common stock									
260. - Akamai Technologies Inc. - common stock									
261. - Autodesk Delaware - common stock									
262. - Bed Bath & Beyond - common stock									
263. - Biogen Idec Inc. - common stock									
264. - W. W. Grainger Inc. - common stock					Buy	12/12/13	J		
265. - Blackrock Inc. - common stock									
266. - Broadcom Corp. - common stock									
267. - Comcast Corp. CL A Special New - common stock (CMCSK)									
268. - Williams Co. Inc. - common stock					Buy	12/03/13	J		
269. - Cree Research Inc. - common stock									
270. - Dolby Class A common stock (formerly Dolby Labs Inc.)									
271. - Ebay Inc. - common stock									
272. - Facebook Inc. CL-A - common					Buy (add'l)	04/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	06/06/13	J		
274. - Forest Laboratories Inc. - common stock									
275. - Home Depot Inc. - common stock					Sold (part)	06/21/13	J	A	
276. - Johnson & Johnson - common stock									
277. - L-3 Communications Hldgs Inc. - common stock									
278. - Lorillard Inc.-- common stock									
279. - The Directv Group Class A - common stock									
280. - Liberty Media Corp. Hldg Capital Series A - common stock									
281. - National Oilwell Varco Inc. - common stock									
282. - Twitter, Inc. - common stock					Buy	11/07/13	J		
283. - Pall Corporation - common stock									
284. - Seagate Technology Hldgs. - common stock									
285. - TE Connectivity Ltd. New - common stock									
286. - Tyco International Ltd. - common stock									
287. - Unitedhealth Group Inc. - common stock									
288. - Zoetis Inc., Class A - common stock					Buy	08/26/13	J		
289. - Vertex Pharmaceuticals - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Apache Corporation - common stock					Sold	05/23/13	J	A	
291. - Delaware Tx-Fr USA Intermed A - mutual fund					Sold (part)	06/10/13	K	B	
292.					Sold (part)	06/25/13	J	A	
293.					Sold (part)	11/08/13	J	A	
294.					Sold (part)	11/21/13	J	A	
295.					Sold	12/03/13	J	A	
296. - DWS Intermediate Tax/Amt Fr A - mutual fund					Sold (part)	06/10/13	K	B	
297.					Sold (part)	11/08/13	J	A	
298.					Sold (part)	11/21/13	J	A	
299.					Sold	12/03/13	J	A	
300. - EV Hi Yld Muni Income A - mutual fund					Sold (part)	06/10/13	J	A	
301.					Sold (part)	06/25/13	J	A	
302.					Sold (part)	11/08/13	J	A	
303.					Sold (part)	11/21/13	J	A	
304.					Sold	12/03/13	J	A	
305. - H. J. Heinz Company - common stock					Sold	02/14/13	J	B	
306. - Intuit Inc. - common stock					Sold	11/22/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Merck & Co. Inc. - common stock					Buy (add'l)	02/14/13	J		
308. - Nucor Corporation - common stock									
309. - Sandisk Corporation - common stock									
310. - Verizon Communications - common stock									
311. - Realty Income Corp. - common stock					Buy	07/02/13	J		
312. - Western Asst GLB CP DEF OPP FD - common stock									
313. - Anheuser Busch Inbev SA Spon - common stock									
314. - Allianz Se Ads - common stock					Buy	06/25/13	J		
315. - American Electric Power Co. - common									
316. - Apple Inc. - common stock									
317. - Astrazeneca PLC ADS - common stock									
318. - Blackrock National Muni A - mutual fund					Sold (part)	06/10/13	L	C	
319.					Sold (part)	06/25/13	J	A	
320.					Sold (part)	11/08/13	J	A	
321.					Sold (part)	11/21/13	J	A	
322.					Sold (part)	12/03/13	J	A	
323. - Amer Cent CA Hi Yld Muni A - mutual fund					Buy	06/10/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	06/25/13	J	A	
325.					Sold (part)	11/08/13	J	A	
326.					Sold (part)	11/21/13	J	A	
327.					Sold	12/03/13	J	A	
328. - Bristol Myers Squibb Co. - common stock					Sold (part)	06/03/13	J	A	
329.					Sold (part)	07/02/13	J	A	
330. - Citrix Systems Inc. - common stock									
331. - Clearbridge Energy MLP FD Inc. - common stock					Sold (part)	05/20/13	K	E	
332. - EMC Corp Mass - common stock									
333. - Franklin CA TX FR INC. ADV - mutual fund					Buy	06/10/13	L		
334.					Sold (part)	06/25/13	J	A	
335.					Sold (part)	08/09/13	J	A	
336.					Sold (part)	08/28/13	J	A	
337.					Sold (part)	10/08/13	J	A	
338.					Sold (part)	11/08/13	J	A	
339.					Sold (part)	11/21/13	J	A	
340.					Sold	12/03/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Glaxosmithkline PLC ADS - common stock									
342. - Immunogen Inc. - common stock									
343. - Isis Pharmaceutical Inc. - common stock									
344. - Kayne and Midstream/Energy Fund Inc. - common stock									
345. - Mc Donalds Corp. - common stock									
346. - Ms. Blackstone Re Debt II on LP -					Buy	02/20/13	J		
347.					Buy (add'l)	06/14/13	K		
348.					Buy (add'l)	08/15/13	K		
349.					Buy (add'l)	10/14/13	K		
350.					Buy (add'l)	11/18/13	K		
351. - MFS Muni High Income A - mutual fund					Sold (part)	06/10/13	J	A	
352.					Sold (part)	06/25/13	J	A	
353.					Sold (part)	11/08/13	J	A	
354.					Sold (part)	11/21/13	J	A	
355.					Sold	12/03/13	J	A	
356. - Oracle Corp. - common stock					Sold	07/31/13	J	A	
357. - Philip Morris Int'l Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - JP Morgan CA Tax Free Bond A - mutual fund					Buy	06/10/13	K		
359.					Sold (part)	06/25/13	J	A	
360.					Sold (part)	08/09/13	J	A	
361.					Sold (part)	08/28/13	J	A	
362.					Sold (part)	10/08/13	J	A	
363.					Sold (part)	11/08/13	J	A	
364.					Sold (part)	11/21/13	J	A	
365.					Sold	12/03/13	K	A	
366. - Legg Mason WA Intrm Muni Inst. - mutual fund					Sold (part)	06/10/13	L	B	
367.					Sold (part)	06/25/13	J	A	
368.					Sold (part)	11/08/13	J	A	
369.					Sold (part)	11/21/13	J	A	
370.					Sold	12/03/13	J	A	
371. - Pioneer High Income Muni A - mutual fund					Sold	05/17/13	K	A	
372. - PPL Corporation - common stock									
373. - Riverbed - common stock					Buy	07/31/13	J		
374. - Travelers Companies Inc. COM - common stock					Buy	06/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Thermo Fisher Scientific Inc. - common stock					Sold (part)	07/17/13	J	A	
376. - Ventas Inc. - common					Buy	09/13/13	J		
377. - Visa Inc. Class A common stock					Sold (part)	06/24/13	J	A	
378. - Walt Disney Co. Holding Co. - common stock									
379. - Abbott Laboratories - common stock									See Note in Part VIII
380. - Altria Group Inc. - common stock									
381. - BCE Inc. (New) - common stock					Sold (part)	01/09/13	J	A	
382. - Blackrock CA Muni Bd I - mutual fund					Buy	06/10/13	K		
383.					Sold (part)	06/25/13	J	A	
384.					Sold (part)	08/09/13	J	A	
385.					Sold (part)	08/28/13	J	A	
386.					Sold (part)	10/08/13	J	A	
387.					Sold (part)	11/08/13	J	A	
388.					Sold (part)	11/21/13	J	A	
389.					Sold	12/03/13	K	A	
390. - Centurylink Inc. - common stock					Sold	02/14/13	J	A	
391. - Conocophillips - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Dominion Res Inc. (New) - common stock					Buy (add'l)	02/14/13	J		
393. - Duke Energy Corp Holding Co. - common stock									
394. - Kimberly Clark Corp. - common stock									
395. - Eli Lilly & Co. - common stock					Sold (part)	05/06/13	J	A	
396. - National Grid Transco PLC ADS - common stock									
397. - Reynolds American Inc. - common stock									
398. - Windstream Corp. - common stock									
399. - Unilever PLC (New) ADS - common stock									
400. - Total Fina Elf SA - common stock									
401. - Southern Co. - common stock									
402. - Columbia Select LG CP Growth Z - mutual fund									
403. - Columbia CA Tax Exempt Z - mutual fund					Buy	12/03/13	K		
404. - Invesco High Yield Muni Y - mutual fund					Buy	12/03/13	K		
405. - Managers AMG GW&K Muni Bd SVC - mutual fund					Buy	12/03/13	K		
406. - Prudential Muni Hi Inc Z - mutual fund					Buy	12/03/13	J		
407. - Nationwide HM CA ITM Tax FR Bd - mutual fund					Buy	12/03/13	K		
408. - Invesco Developing Markets A - mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Red Hat - common stock					Buy	11/19/13	J		
410. - Royce Premier Service - mutual fund									
411. - Goldman Sachs MLP Inc. Oppty Fd - mutual fund					Buy	11/25/13	K		
412. - Thornburg Int'l Value A - mutual fund									
413. - Senior Hsg PPTY TR SBI - common stock					Buy	02/14/13	J		
414. - Virtus Insight Emerging Markets I - mutual fund									
415. - NetApp Inc. - common stock									
416. - CME Group Inc. - common stock									
417. - SPDR Nuveen S&P High Yield ERF - mutual fund					Buy	05/17/13	J		
418.					Sold	06/10/13	J	A	
419. - United Parcel Service Inc. Class B - common stock									
420. - Blackrock All CP Energy Res A - mutual fund									
421. - Cohen & Steers Glb Rlty Foc A - mutual fund					Sold (part)	01/03/13	J	A	
422. - Eaton Vance Commodity Strat A - mutual fund					Sold (part)	01/03/13	J	A	
423. - Columbia Income Opport A - mutual fund					Sold (part)	01/03/13	K	B	
424. - ING Global Real Estate A - mutual fund									
425. - ING Global Real Estate FD I - mutual fund					Sold (part)	01/03/13	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

05/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Hartford Floating Rate I - mutual fund					Sold (part)	01/03/13	K	A	
427. - Pimco Comm Real Ret Strat A - mutual fund					Sold (part)	01/03/13	K	A	
428. - Metropolitan West HI YLD Bd M - mutual fund					Sold (part)	01/03/13	J	B	
429. - RS Global Natural Res A - mutual fund					Sold (part)	01/03/13	J	A	
430. -- Virtus Senior Floating Rate I - mutual fund					Sold (part)	01/03/13	K	A	
431. - Nike Inc. B - common stock									
432. - Deere & Co. - common stock					Sold	07/25/13	J	A	
433. - Cameron International Corp. - common stock									
434. - DWS Reef Glb Infrastrc S - mutual fund					Buy	01/03/13	J		
435. - Blackrock Emrg Mkt L/S Eq Inst - mutual fund					Buy	01/03/13	K		
436. - Gateway Fund Y - mutual fund					Buy	01/03/13	K		
437. - Highland Long Short Equity A - mutual fund					Buy	01/03/13	K		
438. - Invesco Balanced Risk Alloc - mutual fund					Buy	01/03/13	K		
439. - Managers Amg FQ Glb Ess Inst - mutual fund					Buy	01/03/13	K		
440. - MFS Diverse Target Return 1 - mutual fund					Buy	01/03/13	K		
441. - Nuveen Global Infrastructur 1 - mutual fund					Buy	01/03/13	K		
442. - Virtus Dynamic Alphasector 1 - mutual fund					Buy	01/03/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Real Property, Nantucket County, Massachusetts									
444.									
445. ADMINISTRATIVE ACCOUNT (TRUST #2)	F	Int./Div.	P1	T	Open	12/31/13	P1		
446.									
447. - Edison International - common stock									
448. - Exelon Corp. - common stock									
449. - Marathon Oil Corp. - common stock									
450. - Marathon Petroleum Corp - common stock									
451. - United States Steel Corp. - common stock									
452.									
453. - San Ysidro Calif Scho dist CTFS Partn Cops (3EU1) -					Sold	04/02/13	M	C	See Note in Part VIII
454. - Twin Rivers Calif Uni Sch. Dist - muni bond (UAA5)					Redeemed	07/02/13	M	A	
455. - California State GO Ref Bds Series - muni. bond (PR95)									
456. - North City West Calif Sch Facs Fing - muni. bond (0DK2)	D	Interest							
457. - San Jacinto Calif Uni Sch Dist - muni. bond (2CV0)	D	Interest							
458. - Calaveras Uni Sch Dist Calif. G O - muni. bond (4EJ5)	D	Interest							
459. - California St G O Bds Ser. 2008 - muni. bond (AZ80)	B	Interest							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Calif State Univ Red (CBJ2) - bond					Redeemed	05/01/13	M	A	
461. - Modesto Calif IRR Dist CTFS Partn Cops -	C	Interest							
462. - Mojave Calif Uni Sch Dist Sch Facs - muni. bond (5AL0)					Sold	04/10/13	N	D	
463. - Los Angeles Calif Uni Sch Dist GO - muni. bond (6QM7)					Sold	04/10/13	M	D	
464. - Taft Calif City Sch Dist Go Ref - muni bond (2AG6)					Sold	04/11/13	M	C	
465. - Schwab CA Muni Money FD - bond					Donated				
466. - Alcatel Lucent ADR -- common					Donated				
467. - LSI Corporation -- common					Donated				
468. - Calif. Intermediate Term - Bond					Donated				
469. - Ginnie Mae - Trust					Donated				
470. - [REDACTED] Real Property, Los Angeles County, California									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

05/13/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I - POSITIONS

Page 1, line 1: I am a member of the Board of Trustees. There are no assets to report.

Page 1, line 2: I was a member of the Board of Trustees until June 2013. There are no assets to report.

PART VII - INVESTMENTS AND TRUSTS

BROKERAGE ACCOUNT #1 -- INDIVIDUAL

Page 15 line 194: This account was formerly listed on my 2012 FDR as "Charles Schwab - Inherited IRA Account." It was transferred to Morgan Stanley in 2013.

Page 15, line 198: This account was formerly listed on my 2012 FDR as "Charles Schwab - Inherited IRA Account." It was transferred to Morgan Stanley in 2013.

BROKERAGE ACCOUNT #2 -- (TRUST #1)

Page 26, line 379: Abbott Laboratories was sold on October 11, 2012 with a value of "J" and a gain of "A." However, it was inadvertently not reported as sold in my 2012 FDR.

FAMILY ADMINISTRATIVE ACCOUNT (TRUST #2)

Page 30, line 453: This asset was owned by [REDACTED] trust prior to their deaths in February 2012. I became the successor trustee upon [REDACTED] death. This asset was inadvertently omitted from my 2012 FDR; however as noted, in April 2013 it was sold.

Page 31, lines 465-469: I disclaimed these assets following [REDACTED] death and they passed to [REDACTED].

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARGARET M. MORROW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544