

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Santoro, Frank J.	2. Court or Organization U. S. Bankruptcy Court, EDVA	3. Date of Report 06/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Tidewater Bankruptcy Bar Ass'n	02/22/2013	Virginia Beach, VA	To appear at professional education seminar	Food, lodging, and transportation
2.	American College of Bankruptcy	03/13/2013 to 03/15/2013	Washington, D.C.	To appear at professional education seminar	Lodging and transportation
3.	Southeastern Bankruptcy Law Institute	03/20/2013 to 03/22/2013	Atlanta, GA	To appear at professional education seminar	Lodging and transportation
4.	New Jersey State Bar Association	04/03/2013 to 04/05/2013	Tysons Corner, VA	To appear at professional education seminar	Food, lodging, and transportation
5.	American Bankruptcy Institute	04/17/2013	National Harbor, MD	To appear at professional education seminar	Food, lodging, and transportation

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6.	Richmond Bar Association	04/24/2013	Richmond, VA	To appear at professional education seminar	Transportation
7.	Virginia Bar Association	05/10/2013 to 05/11/2013	Duck, NC	To appear at professional education seminar	Lodging and transportation
8.	Northern Virginia Bankruptcy Bar Association	06/19/2013 to 06/20/2013	Washington, DC	To appear at professional education seminar	Lodging and transportation
9.	American Bankruptcy Institute	07/19/2013 to 07/21/2013	Amelia Island, GA	To appear at professional education seminar	Food, lodging, and transportation
10.	Virginia CLE Foundation	09/20/2013 to 09/21/2013	Charlottesville, VA	To appear at professional education seminar	Food, lodging, and transportation
11.	American Bankruptcy Institute	09/26/2013 to 09/27/2013	Washington, DC	To appear at professional education seminar	Food, lodging, and transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Farm, 1/2 interest, Isle of Wight County, Virginia July 03		None	N	W					
2. FSNC, LLC, 88% Membership Interest		None	N	W					
3. Geeks on Call, Convertible Preferred Stock		None	J	W					
4. Waterside Capital Corporation		None	J	T					
5. Gateway Fund Class A	A	Int./Div.	J	T					
6. Ivy Asset Strategy Fund Class A	D	Int./Div.	L	T					
7. Growth Fund of America Class A	A	Int./Div.			Sold	04/10/13	M	D	
8. New Perspective Fund Inc. Class A	A	Int./Div.	L	T					
9. Washington Mutual Inv. Fund Inc. Class A	B	Dividend	M	T					
10. Capital World Growth and Income Fund Inc.	B	Int./Div.	L	T					
11. New World Fund Inc. Class A	B	Int./Div.	L	T					
12. Pace Money Market Investments Fund Class P	A	Int./Div.	J	T					
13. Royce Premier Fund	D	Int./Div.	K	T					
14. Pimco Emerging Markets Local Bond Fund A	A	Int./Div.			Sold	04/10/13	J	A	
15. Oakmark Equity and Income Fund Class 1	D	Int./Div.	K	T					
16. AMCAP Fund Inc., Class A	A	Int./Div.	K	T					
17. New Economy Fund Class A	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Ivy Mid-Cap Growth Fund Class A	A	Int./Div.			Sold	09/16/13	J	A	
19. JP Morgan Large Cap Growth Fund Class A	C	Int./Div.	L	T					
20. Franklin Templeton Global Bond Fund Class A	C	Int./Div.	K	T					
21. Loomis Sayles Strategic Income Fund	D	Int./Div.	L	T					
22. Pimco All Assets Auth A	B	Int./Div.	K	T					
23. Pimco Global Multi Asset Fund Class A	A	Int./Div.			Sold	08/14/13	K	C	
24. First Eagle Global Fund Class A	D	Int./Div.	L	T					
25. American Mutual Class A	B	Int./Div.	L	T					
26. Fundamental Investors Class A	A	Int./Div.	K	T					
27. Williamsburg Davenport Fund	B	Int./Div.	L	T					
28. Fidelity Advisor Real estate Income Fund Class A	B	Int./Div.			Sold	04/10/13	J		
29. Yachtman Fund Service	D	Int./Div.	L	T					
30. Delaware Diversified Income Fund Class A	B	Int./Div.			Sold	04/10/13	L	C	
31. Loomis Sayles Investment Grade Bond Fund Class A	A	Int./Div.			Sold	04/10/13	L	D	
32. Pimco Real Return Fund Class A	A	Int./Div.			Sold	04/09/13	J	B	
33. Pimco Totsl Return Funhd Class A	A	Int./Div.			Sold	09/12/13	J	B	
34. Mainstay Market Field Fund Class A	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Allianz AGIC Income & Growth Fund Class A	D	Int./Div.	K	T					
36. Prudential Real Estate Fund Class A	A	Int./Div.			Sold	09/12/13	K	A	
37. Virtus Emerging Markets Opportunities Fund Class A	B	Int./Div.			Sold	09/10/13	K	B	
38. Prudential Jennison Mid-Cap Growth Fund, Inc. Class A	B	Int./Div.	L	T	Buy	04/11/13	L		
39. Thornburg Developing World Fund Class A	C	Int./Div.	L	T	Buy	04/12/13	L		
40. Transparent Value Directional Allocation Fund Class A	D	Int./Div.	L	T	Buy	04/12/13	L		
41. Black Rock High Yield Bond A	B	Int./Div.	K	T	Buy	09/13/13	K		
42. John Hancock Strategic Strategic Income Opp Class A	A	Int./Div.	K	T	Buy	09/13/13	K		
43. Pimco Income Fund Class A	A	Int./Div.	L	T	Buy	04/11/13	L		
44. Invesco Balanced Risk Allocation Fund A	A	Int./Div.	K	T	Buy	04/15/13	L		
45. J P Morgan Income Builder Class A	B	Int./Div.	K	T	Buy	04/11/13	K		
46.									
47.									
48.									
49.									
50.									
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Holdings in lines 7, 8, 9, 10, 11, 16, 17, 25, 26, and 27 are mutual funds maintained at Davenport and Company

Holdings in lines 6, 12, 13, 15, 19, 20, 21, 22, 24, 29, 34, 35, and 38 thru 45 inclusive are mutual funds maintained at UBS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Frank J. Santoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544