

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hale, Harlin D.	2. Court or Organization Bankruptcy Court - NDTX	3. Date of Report 05/08/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States Bankruptcy Court 1100 Commerce Street - #1254 Dallas, Texas 75242-1496		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Advisors	George W. & Jean H. Pugh Institute
2.	Visiting Judicial Faculty	Southern Methodist University Dedman School of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Southern Methodist University Dedman School of Law (teaching)	\$22,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Dallas Independent School District
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University School of Law	02/06 - 02/09/2014	Duck Key, FL	Participant in Conference	Transportation, food, lodging
2. The Center for American and International Law	02/12 - 02/14/2014	New Orleans, LA	Speaker at Bankruptcy Conference	Transportation, food, lodging
3. State Bar of Texas	02/20 - 02/21/2014	Houston, TX	Speaker at Bankruptcy Conference	Transportation, food, lodging
4. National Conference of Bankruptcy Judges	04/07 - 04/08/2014	Kansas City, MO	Mid-Year Meeting - Board Member	Transportation, food, lodging
5. Turnaround Management Association	04/11/2014	Austin, TX	Bankruptcy Conference - Speaker	Transportation, food, lodging

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6.	Administrative Office of the United States Courts	05/07 - 05/09/2014	San Antonio, TX	Fifth Circuit Conference	Transportation, food, lodging
7.	Administrative Office of the United States Courts	08/12 - 08/15/2014	Boston, MA	National Workshop for Bankruptcy Judges	Transportation, food, lodging
8.	Split between parties to mediation in Case No. 12-21008 (Bankr. W.D. La.)	09/18 - 09/19/2014	Baton Rouge, LA	Serve as Court-appointed mediator	Transportation, food, lodging
9.	National Conference of Bankruptcy Judges	10/07 - 10/11/2014	Chicago, IL	Annual Meeting - Board Member	Transportation, food, lodging
10.	The Mississippi Bankruptcy Conference	12/10 - 12/12/2014	Jackson, MS	Bankruptcy Conference - Speaker	Transportation, food, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Cross Keys Bank	A	Interest	J	T					
2. BSI Bancshares, Inc. Stock	C	Dividend	L	T					
3. Blue Cross FCU account	B	Interest	K	T					
4. Belt West Ltd. Partnership		None	J	U					
5. Edward Jones IRA (H)	A	Int./Div.	K	T					
6. - Home & Hearth Ltd. P'Ship									
7. - Miller Co. Ltd. P'Ship									
8. - CAPITAL INCOME BUILDER FUND A									
9. - FUNDAMENTAL INVESTORS FUND A									
10. - GROWTH FUND OF AMERICA CL A									
11. - INCOME FUND OF AMERICA FUND A									
12. - NEW ECONOMY FUND CL A									
13. - NEW PERSPECTIVE FUND CL A									
14. - WASHINGTON MUTUAL INVS FD CL A									
15. Bank of America	A	Interest	J	T					
16. Wells Fargo	A	Interest	J	T					
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EQUITABLE IRA (H)	C	Int./Div.	M	T					
19. - EQ/Core Bond Index									
20. - EQ/Marsico Focus									
21. - EQ/BlackRock Intl Val									
22. - EQ/PIMCO Real Return									
23. - EQ/Van Kampen Comstock									
24. - EQ/Evergreen Intl Bond									
25. - EQ/Davis NY Venture									
26. - EQ/Franklin Income									
27. EDWARD JONES Brokerage Account (H)									
28. - Edward Jones Cap World Growth & Income Fund CLA	A	Dividend	K	T					
29. - Edward Jones Tax Exempt Bond Fund Amer CLA	B	Dividend	K	T					
30. - Exxon Mobil	B	Dividend	L	T					
31. Texas Federal Credit Union	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harlin D. Hale**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544