

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scott, Jr., Joseph M.	2. Court or Organization U.S.Bankruptcy, E.D., KY	3. Date of Report 5/03/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address P. O. BOX 1111 Lexington, KY 40588		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--------------------|---|
| 1. General Partner | Robey, Scott & Stanley, a Kentucky general partnership; owner of investment property; 50% owned by reporting person |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

Date of Report

5/03/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 11-14, 2011	Tampa, FL	Bd. of Directors Mtg	Transportation, meals, hotel
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

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5/03/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

Date of Report

5/03/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun N Sea Common Stock	B	Dividend	J	T					
2. China Trade Co Common Stock		None	K	W					
3. Rental Property #1, Lexington, KY	C	Rent	M	W					
4. Central Bank Checking		None	J	T					
5. American Founders Bank check	A	Interest	J	T					
6. Community Trust Bank checking	A	Interest	J	T					
7. JPMorgan		None	J	T					
8. Eli Lilly common stock	A	Dividend	K	T					
9. Three M common stock	A	Dividend	J	T					
10. Pepsi Common stock	B	Dividend	L	T					
11. Pfizer common stock	A	Dividend	J	T					
12. Praxair common stock	A	Dividend	K	T					
13. Franklin KY Tax Free mutual fund	B	Interest	L	T					
14. Franklin Templeton Fed tax free	A	Interest	K	T					
15. Madison Co KY bldg	A	Interest	K	T					
16. Boone Co, KY school bd tax free bond	A	Interest			Redeemed	08/01/11	K	A	
17. Kentucky River Prop units, appraise 8/18/11	D	Distribution	L	Q					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Warren Co School Bd	A	Interest	J	T					
19. Ashland Ind School Bd bond	A	Interest	J	T					
20. Barren Co School Bd bond	A	Interest	J	T					
21. JPMorgan IRA (formerly Bear Stearns	C	Dividend	P1	T					
22. ---Encana Corp common stock									
23. ----Putnam Fund Voyager Mutual Fund									
24. ----Putnam Growth Opp Mutual Fund									
25. ----Sogen Funds mutual fund									
26. ----Standard & Poors Depository Receipts									
27. -----Munder Funds Trust Mutual Funds									
28. ----US Treasury Strips									
29. ----Principal Treasury receipts									
30. ----Morgan Stanley Global NTS									
31. ----GE debenture									
32. ----Merck debenture									
33. ----Humana subordinated debeture									
34. ----Bell South note									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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Scott, Jr., Joseph M.

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35. -----GE Capital internotes									
36.									
37.									
38. AT&T common stock	A	Dividend	J	T					
39. Chevron common stock	B	Dividend	L	T					
40. Beam (formerly Fortune Brands) common stock	A	Dividend	J	T					
41.									
42.									
43. Morgan Keegan cash equivalent	A	Dividend	J	T					
44. Washington Investors Mutual Fund	A	Dividend	J	T					
45. Kimberly Clark common stock	A	Dividend	J	T					
46.									
47. WalMart common stock	A	Dividend	J	T					
48. Disney common stock	A	Dividend	J	T					
49. US Savings Bonds	A	Interest	K	T					
50. Hershey Foods Common stock	A	Dividend	J	T					
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

Date of Report

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52. Eaton Vance Large Cap mutual fund	A	Dividend	K	T					
53. Victory Diversified Stock Fund	A	Dividend	K	T					
54. Alpha Hedged Fund C	A	Dividend	J	T					
55. RS Global Natural Resources		None	J	T					
56. Sentinel Small Co Fund C		None	J	T					
57. Thornburg Value Fund Class C	A	Dividend	J	T					
58. Thornburg Internat'l Class C	A	Dividend	J	T					
59. Pimco Total Return Class C	A	Dividend	J	T					
60. MFS Government Securities Class C	A	Dividend	K	T					
61. Ivy Fund Asset Strategy G		None	J	T					
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

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70.									
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79.									
80.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

Date of Report

5/03/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Item 3 : Estimated Fair Market Value \$125,000; Property Owned by Robey, Scott & Stanley, a Kentucky General Partnership; 50% owned by reporting person

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Scott, Jr., Joseph M.

Date of Report

5/03/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joseph M. Scott, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544