

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) White, Helene N.	2. Court or Organization 6th Circuit	3. Date of Report 02/27/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 211 W. Ford Street Suite 1610 Detroit, Michigan 48226	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	NJH Associates
2. Partner	NJHA Associates
3. Partner	RNJH Associates, LTD
4. Director / Vice President	RNJH Associates, Inc.
5. Member	MWCM LLC
6. Member	Powder, LLC
7. Trustee	Trust #2
8. Trustee	Trust #3
9. Trustee	Trust #4
10. Director / President	Family Foundation #1
11. Director	Family Foundation #2
12. Custodian	Brokerage account #1 UGMA
13. Custodian	Brokerage account #2 UGMA
14. Trustee	Rholick Foundation
15. Member	Home Properties, LLC

Name of Person Reporting	Date of Report
White, Helene N.	02/27/2013

16. Administratrix Estate JJW

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. Pension	Wayne County, Michigan
2. Pension	State of Michigan
3.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 4 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Hibernia Bank	Mortgage on real estate	M
2.	LTR 2010 Trust	Promissory Notes	P1
3.	US Trust	Line of Credit	P1
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage account #1 (UBS)									
2. - American Funds Balanced Fund class B	A	Dividend	J	T					
3. - UBS money market	A	Interest	J	T					
4. Brokerage account #2 (Baird)									
5. - Baird MM fund	A	Interest	J	T					
6. - CSCO	A	Dividend			Sold	09/21/11	J		
7. - ORCL	A	Dividend	K	T					
8. - AGWFX		None			Merged (with line 13)	05/20/11	J		
9. - CSTGX	A	Dividend	J	T					
10. - BTZ	B	Dividend	K	T					
11. - FRDPX	A	Dividend	K	T					
12. - SLMCX	C	Distribution	L	T					
13. - SCAUX (spinoff from line 8)	A	Dividend	J	T		05/20/11	J		
14. - FIF	A	Dividend	K	T	Buy	09/28/11	K		
15. Brokerage account #3 (Baird)									
16. - NWS	A	Dividend	J	T					
17. - AGWFX		None			Merged (with line 18)	05/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 62

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18. - SCAUX (spinoff from line 17)		None	J	T		05/20/11	J		
19. - FRDPX	A	Dividend	K	T					
20. - PHSTX	A	Dividend	J	T					
21. Brokerage account #4 cust UGMA FW (Baird)									
22. - ABALX	A	Dividend	J	T					
23. - CSTGX	A	Dividend	J	T					
24. - FRBAX	A	Dividend	J	T					
25. - AGWFX		None			Merged (with line 26)	05/20/11	J		
26. - SCAUX (spinoff from line 25)	A	Dividend	J	T		05/20/11			
27. Brokerage account #5 cust UGMA BW (Baird)									
28. - CSTGX		None	J	T					
29. - FRBAX	A	Dividend	K	T					
30. - ABALX	A	Dividend	K	T					
31. Brokerage account #6 (Chase)									
32. - MJLXX	A	Interest	J	T					
33. - FPFC		None	J	T					
34. - GENZ		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 62

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35. - MSFT	A	Dividend	J	T					
36. Brokerage account #7 (Morgan Stanley Smith Barney)									
37. - JNJ	B	Dividend	L	T					
38. - Morgan Stanley Smith Barney Money Market Funds	A	Interest	J	T					
39. Brokerage account #8 (Ameriprise)									
40. - Ameriprise Money Market Account	A	Interest	J	T					
41. - GE	A	Dividend	K	T					
42. - ASEI	A	Dividend	K	T					
43. - RCMT		None	J	T					
44. Brokerage account #9 (Oppenheimer)									
45. - ADLXX	A	Interest	J	T					
46. - ASEI	A	Dividend	J	T					
47. - ELX		None	J	T					
48. - QLGC		None	K	T					
49. 401k and 457 State of Michigan									
50. - SSga S&P 500 Index		None	M	T					
51. - SSga MidCap Index		None	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 62

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52. - SSga Russel 2000 Index		None	M	T					
53. - Amer Funds Euro/Pac Gr		None	M	T					
54. Wayne County Deferred Comp									
55. - Lord Abbott Mid Cap Fd		None	J	T					
56. Israel Bonds ugma 4/10	B	Interest	K	T					
57. Isreal Bonds ugma 4/11	B	Interest	K	T					
58. Isreal Bonds ugma 4/11	B	Interest	K	T					
59. Israel Bonds ugma 4/2010	B	Interest	K	T					
60. RNJH Assoc. Ltd. Parnter	E	Int./Div.	N	T					
61. Roaring Brook Capital Fund	F	Dividend	O	T					
62. Great Plains Energy Stock	A	Dividend	J	T					
63. Marc Pharmaceutical stock		None	J	T					
64. Trust #2 RWRT GST A, Trustee	D	Int./Div.	N	T					
65. - LP Int RNJH Asso. LTD - see lines 68-137									
66. Trust #3 RWRT GST B, Trustee	D	Int./Div.	N	T					
67. - LP Int RNJH Asso. LTD - see lines 68-137									
68. RNJH Associates Ltd. (LP Interest) (pro rata share)	D	Int./Div.	N	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

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69. - Acct # 1 Morgan Stanley									
70. -- IShares Barclays Tips BD FD					Sold	09/06/11	K		
71. -- IShares JP Morgan EM Bond Fd					Buy (add'l)	03/02/11	J		
72.					Buy (add'l)	10/27/11	J		
73. -- IShares S&P 500 Growth					Sold	03/02/11	M		
74. -- IShares S&P 500 Value					Sold	03/02/11	L		
75. -- IShares S&P Mid Cap 400 Growth					Buy (add'l)	03/02/11	J		
76.					Buy (add'l)	09/06/11	J		
77. -- IShares S&P Mid Cap 400 Value					Sold	03/02/11	K		
78. -- IShares S&P Sm Cap 600 Value					Buy (add'l)	09/06/11	J		
79. -- IShares Sm Cap 600 Growth					Buy (add'l)	03/02/11	J		
80.					Buy (add'l)	09/06/11	J		
81. -- Powershares DB Comm Trk Inc.					Buy (add'l)	03/02/11	J		
82.					Buy (add'l)	09/06/11	J		
83.					Buy (add'l)	10/27/11	J		
84. -- SPDR Barclays Capital High Yield					Buy (add'l)	03/02/11	J		
85.					Buy (add'l)	09/06/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 62

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86.					Sold (part)	01/06/11	J	A	
87. -- SPDR Barclays Capital International					Buy (add'l)	03/02/11	J		
88.					Buy (add'l)	10/27/11	J		
89. -- Vanguard Emerging Markets ETF					Buy (add'l)	03/02/11	J		
90.					Buy (add'l)	09/06/11	J		
91.					Buy (add'l)	10/27/11	J		
92. -- Vanguard Europe Pacific					Sold	03/02/11	L		
93. -- Vanguard REIT ETF					Buy (add'l)	09/06/11	J		
94. -- Vanguard Total Market					Buy (add'l)	03/02/11	K		
95.					Buy (add'l)	10/27/11	J		
96.					Sold (part)	12/30/11	J	A	
97. -- Blackrock Global Allocation A					Buy (add'l)	10/27/11	J		
98.					Sold (part)	12/19/11	J	B	
99. -- Delaware Diversified Inc. A					Buy (add'l)	10/27/11	J		
100.					Sold (part)	03/02/11	J	B	
101.					Sold (part)	12/22/11	J	B	
102. -- First Eagle Global A					Buy (add'l)	10/27/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 62

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103.					Sold (part)	09/06/11	J	B	
104.					Sold (part)	12/13/11	J	B	
105. -- Goldman Sachs Abslte Ret Trk A (GARTX) (rep as GARTY)					Buy (add'l)	03/02/11	J		
106.					Buy (add'l)	09/06/11	J		
107.					Buy (add'l)	10/27/11	J		
108.					Sold (part)	12/07/11	J	B	
109. -- Ivy Asset Strategy A					Sold	03/02/11	J	A	
110. -- Ivy High Income A					Buy (add'l)	10/27/11	J		
111.					Sold (part)	12/08/11	J	B	
112. -- Pimco Total Return A					Buy (add'l)	10/27/11	J		
113.					Sold (part)	03/02/11	J		
114. -- RYDEX/SGI Managed FUT STR A					Buy (add'l)	03/02/11	J		
115.					Buy (add'l)	09/06/11	J		
116.					Buy (add'l)	10/27/11	J		
117. -- Alerian MLP ETF					Buy	03/02/11	M		
118.					Buy (add'l)	09/06/11	J		
119. -- First Trust DJ GL SEL DVD					Buy	03/02/11	K		

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FINANCIAL DISCLOSURE REPORT

Page 12 of 62

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -- First Trust Large Cap Growth A					Buy	03/02/11	M		
121.					Buy (add'l)	09/06/11	J		
122. -- First Trust Large Cap Value AL					Buy	03/02/11	K		
123.					Buy (add'l)	09/06/11	K		
124. -- First Trust Multi Cap Value					Buy	03/02/11	K		
125.					Buy (add'l)	09/06/11	J		
126. -- IShares Barclays TIPS Bd Fd					Buy	03/02/11	K		
127.					Buy (add'l)	10/27/11	J		
128. -- Invesco Balanced Risk Alloc A					Buy	10/27/11	M		
129.					Sold (part)	12/09/11	J	B	
130. - Acct # 2 - Morgan Stanley									
131. -- Unit Claymore Closed-End MLP & Infra 6					Sold	10/06/11	K		
132. -- Unit First Trust Int Rate Hedge 1									
133. -- Unit First Trust Strategic Income 13					Sold	03/01/11	M		
134. -- Unit First Trust Senior Loan & Ltd 34					Buy	03/01/11	K		
135. -- Unit First Trust Strategic Inc CE 24					Buy	03/01/11	M		
136. -- Unit First Trust Target Div Leaders 2nd Qtr 11					Buy	06/01/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Unit guggenheim Closed-End Infrastructure MLP 10					Buy	10/06/11	L		
138. RNJH Asso., Inc. (stockholder) (pro rata share)	B	Int./Div.	K	T					
139. -- GP Int in RNJH Asso, LTD									
140. -- Morgan Stanley MM									
141. -- IShares Barclays 1-3 Yr TSY BD					Sold	03/02/11	J		
142. -- IShares Barclays TIPS BD FD					Buy (add'l)	10/27/11	J		
143.					Sold (part)	09/06/11	J	A	
144. -- IShares S&P 500 Growth Index					Sold	03/02/11	J		
145. -- IShares S&P 500 Value Index					Sold	03/02/11	J	A	
146. -- IShares S&P Mid Cap 400 Growth					Buy (add'l)	03/02/11	J		
147. -- IShares S&P Mid Cap 400 Value					Sold	03/02/11	J		
148. -- IShares S&P Small Cap 600 Val					Buy (add'l)	03/02/11	J		
149.					Buy (add'l)	09/06/11	J		
150. -- IShares Small Cap 600 Growth					Buy (add'l)	03/02/11	J		
151. -- S&P North Amer Nat Res Sector					Sold	03/02/11	J		
152. -- Vanguard Emrg Mkts Etf					Buy (add'l)	03/02/11	J		
153.					Buy (add'l)	09/06/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Vanguard European ETF					Sold	03/02/11	J		
155. -- Vanguard Pacific ETF					Sold	03/02/11	J		
156. -- Vanguard REIT ETF					Buy (add'l)	03/02/11	J		
157. -- Vanguard Total Bond Market					Buy (add'l)	10/27/11	J		
158.					Sold (part)	09/06/11	J	A	
159.					Sold (part)	12/30/11	J	A	
160. -- Blackrock Global Allocation A					Buy (add'l)	03/02/11	J		
161.					Buy (add'l)	09/06/11	J	A	
162.					Buy (add'l)	10/27/11	J	A	
163.					Sold (part)	12/19/11	J	A	
164. -- First Eagle Global A					Buy (add'l)	03/02/11	J		
165.					Buy (add'l)	10/27/11	J		
166.					Sold (part)	12/13/11	J	A	
167. -- Goldman Sachs Abslre Ret Trk A					Buy (add'l)	10/27/11	J		
168.					Sold (part)	03/02/11	J	A	
169.					Sold (part)	09/06/11	J	A	
170.					Sold (part)	12/07/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -- Ivy Asset Strategy A					Sold	09/06/11	J		
172. -- First Trust DJ Sel DVD					Buy	03/02/11	J		
173. -- First Trust Large CAP Growth A					Buy	03/02/11	J		
174.					Buy (add'l)	09/06/11	J		
175. -- First Trust Large CAP Value AL					Buy	03/02/11	J		
176.					Buy (add'l)	09/06/11	J		
177. -- First Trust Multi CAP Value					Buy	03/02/11	J		
178.					Buy (add'l)	09/06/11	J		
179. -- IShares JP Morgan Em Bond Fd					Buy	03/02/11	J		
180.					Buy (add'l)	09/06/11	J		
181. -- Powershares DB Comm Trk Inc					Buy	03/02/11	J		
182.					Buy (add'l)	09/06/11	J		
183. -- SPDR Barclays Cap High Yield					Buy	03/02/11	J		
184.					Buy (add'l)	09/06/11	J		
185. -- SPDR Barclays Capital International					Buy	03/02/11	J		
186. -- Invesco Bal Risk Alloc A					Buy	10/27/11	J		
187.					Sold (part)	12/09/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -- RYDEX/SGI Managed Fut Str A					Buy	03/02/11	J		
189.					Buy (add'l)	09/06/11	J		
190.					Buy (add'l)	10/27/11	J		
191. Trust # 4, RWLTITNAR, Trustee	D	Int./Div.	P1	T					
192. -- Credit Suisse MM Fund									
193. -- Drefus Treasury Prime Inv. SH									
194. -- IShares TR Russell 2000 Index Fd									
195. -- SPDR S&P 500 EFTR TR TR Unit									
196. -- SPDR Dow Jones Indl Avg ETF TR UNIT					Buy	11/14/11	L		
197. Trust #5 RWLTITHW shared beneficial interest	D	Int./Div.	P1	T					
198. -- US Treasury MM Fund - Credit Suisse									
199. -- Brokerage Account - Morgan Stanley									
200. -- Alerian MLP ETF					Buy (add'l)	03/03/11	J		
201.					Buy (add'l)	09/06/11	J		
202. -- First Trust DJ GL SEL DVD					Sold (part)	03/03/11	J		
203.					Sold (part)	09/06/11	J		
204. -- First Trust Large CAP Growth					Buy (add'l)	09/06/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	03/03/11	J		
206. -- First Trust Large CAP Value ETF					Buy (add'l)	09/06/11	J		
207.					Sold (part)	03/03/11	J		
208. -- First Trust Multi CAP Value					Buy (add'l)	09/06/11	J		
209.					Sold (part)	03/03/11	J		
210. -- IShares Barclays Tips BD FD					Buy (add'l)	03/03/11	J		
211.					Sold (part)	09/06/11	J		
212. -- IShares JP Morgan EM Bond Fd					Buy (add'l)	09/06/11	J		
213.					Sold (part)	03/03/11	J		
214. -- IShares S&P Sm Cap 600 Val					Buy (add'l)	09/06/11	J		
215.					Sold (part)	03/03/11	J		
216. -- IShares Sm Cap 600 Growth					Buy (add'l)	03/03/11	J		
217. -- Powershares DB Comm TRK, Inc.					Sold (part)	03/03/11	J		
218.					Sold (part)	09/06/11	J		
219. -- SPDR Barclays Capital High Yield					Buy (add'l)	09/06/11	J		
220.					Sold (part)	03/03/11	J		
221.					Sold (part)	01/06/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. -- SPDR Barclays Capital International					Sold (part)	03/03/11	J		
223.					Sold (part)	09/06/11	J	A	
224. -- IShares S&P Mid Cap 400 Growth					Buy	03/03/11	J		
225.					Buy (add'l)	09/06/11	J		
226. -- Vanguard Total Bond Mkt					Sold (part)	03/03/11	J		
227.					Sold (part)	12/30/11	J	A	
228. -- Vanguard EMG MKTS					Buy (add'l)	09/06/11	J		
229.					Sold (part)	03/03/11	J	A	
230. -- Vanguard European					Sold	09/06/11	J	A	
231. -- Vanguard Pacific					Sold	09/06/11	J	A	
232. -- Blackrock Global					Sold (part)	03/03/11	J		
233.					Sold (part)	09/06/11	J	A	
234.					Sold (part)	12/19/11	J	A	
235. -- Ivy Asset A					Sold (part)	03/03/11	J		
236.					Sold (part)	09/06/11	J		
237. -- First Eagle Global A					Sold (part)	03/03/11	J		
238.					Sold (part)	09/06/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	12/13/11	J	A	
240. - - Goldman Sachs Abslre Ret Trk A (GARTX)					Sold (part)	03/03/11	J		
241.					Sold (part)	09/06/11	J	A	
242.					Sold (part)	12/07/11	J	A	
243. - - Vanguard REIT					Buy (add'l)	09/06/11	J		
244.					Sold (part)	03/03/11	J		
245. - - RYDEX/SGI Managed FUT STR A					Sold (part)	03/03/11	J		
246. - - RS Floating Rate A					Buy	03/03/11	K		
247.					Sold (part)	06/22/11	J	A	
248.					Sold (part)	09/06/11	J	A	
249. MWCM LLC, Ltd Ptr, RE development Macomb MI	A	Interest	M	W					
250. Powder, LLC joint interest in condo in Copper Mtn, CO		None	M	W					
251. Condominium in Snowmass, Colorado		None	O	W					
252. NJH Associates, Partner	G	Distribution	M	W					
253. - Vendee's interest in unimproved CA property									
254. - BJW Associates Real Estate NYC									
255. - Lexington Ave Assoc Real Estate NYC									

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FINANCIAL DISCLOSURE REPORT

Page 20 of 62

Name of Person Reporting

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Date of Report

02/27/2013

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - First Sutton Assoc Real Estate NYC									
257. - Asbury Plaza Venture, Real Estate, Chicago									
258. - Alma Assoc. Real Estate Arkansas									
259. - Belmont Madison Assoc Real Estate NYC									
260. - Lincoln Terrace Assoc. NYC									
261. NJHA Assoc., Partner	B	Interest	K	W					
262. 4400 University Ltd Partnership	A	Interest	K	W					
263. 2001 Trust, shared beneficial interest	D	Int./Div.	O	T					
264. -- Escrowed Funds									
265. -- JPM Private Equity									
266. -- JPM Tax Free MM									
267. 2006 Trust, shared beneficial interest	A	Int./Div.	N	T					
268. -- Escrowed Funds									
269. -- JPM Tax Free MM									
270. 2007 Trust, shared beneficial interest	E	Int./Div.	P1	T					
271. -- Escrowed Funds									
272. -- JPM Private Equity									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -- JPM Tax Free MM									
274. LTR 2010 Trust, shared beneficial interest	G	Int./Div.	P2	T					
275. -- JP Morgan Money Market									
276. -- State of California Pub Imps Bonds									
277. -- JPM Tax Free Resv Sweep FD									
278. -- City of NY NY Ref Pub Imp Bonds									
279. -- City of NY NY Ref Pub Imps Bonds									
280. -- City of NY NY Muni Wtr Bonds									
281. -- State of NY Pub Imps Bonds									
282. -- Puerto Rico Bonds									
283. -- RAI SPV2, LLC									
284. -- Residential Fund 321, LLC									
285. -- NADG US Supermarket Anchored Fund									
286. -- GloStream									
287. -- Trends Restaurant Group, LLC					Buy	10/24/11	L		
288. -- Loans Receivable from HW									
289. -- Wells Fargo Acct									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 62

Name of Person Reporting

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Estate JJW - Administratrix	G	Int./Div.	P2	T					
291. -- BOA Checking Acct #1									
292. -- BOA Checking Acct #2									
293. -- Escrowed Funds (no control of income)									
294. -- BOA Brokerage Acct (see below)									
295. -- Columbia (BOFA) NY Tax Exempt Reserves									
296. -- CMA Ultra Short Term Bond Fund									
297. -- Marc Pharmaceutical stock									
298. -- Smith Barney Brokerage Account									
299. ----- Entrada Networks, Inc.									
300. ----- Neo-Stem, Inc.									
301. ----- Zhong Technologies									
302. L Fam TR BW ()	A	Int./Div.	P1	W					
303. -- Membership Int in LFT, LLC									
304. -- Wachovia account									
305. L Fam TR FW ()	A	Int./Div.	P1	W					
306. -- Membership Int in LFT, LLC									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -- Wachovia account									
308. Promissory Note from LFT-BW	F	Interest	P1	T					
309. Promissory Note from LFT-FW	F	Interest	P1	T					
310. Promissory Note from LFT-FL	D	Interest	M	T					
311. Promissory Note from LFT-AL	D	Interest	M	T					
312. Rohlik Foundation, Inc., Trustee	E	Int./Div.	P1	T					
313. -- Fidelity Brokerage Acct MM Cash									
314. -- Invesco Ltd (IVZ)					Sold	05/02/11	K	D	
315. -- Weatherford Intl (WFT)									
316. -- Nobel Corp. (NE)									
317. -- Tyco Intl (TYC)									
318. -- AECOM Technology (ACM)					Sold	06/28/11	K		
319. -- Alexander & Baldwin (ALEX)									
320. -- Amer Finl Grp Inc. (AFG)					Sold	03/14/11	K	C	
321. -- Apache Corp (APA)					Sold	02/02/11	K	D	
322. -- Apple, Inc. (AAPL)									
323. -- Ashland, Inc. (ASH)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 24 of 62

Name of Person Reporting

White, Helene N.

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02/27/2013

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324. -- Avago Technologies (AVGO)									
325. -- Baxter Intl Inc. (BAX)									
326. -- Bunge Ltd Com					Buy	11/16/11	K		
327. -- Canadian Oil Sands Ltd Com					Buy	09/23/11	K		
328. -- CVS Caremark Corp (CVS)									
329. -- Celgene Corp (CELG)									
330. -- Cisco Sys Inc. (CSCO)					Sold	12/23/11	K		
331. -- Conoco Phillips					Buy	10/12/11	K		
332. -- Denbury Res Inc. (DNR)									
333. -- Devon Energy (DVN)									
334. -- Devry, Inc. (DV)					Sold	04/11/11	K	A	
335. -- Eagle Materials					Buy	12/28/11	K		
336. -- Ensc0 PLC Spon ADR					Buy	11/08/11	K		
337. -- Express Scripts (ESRX)									
338. -- FISERV, Inc. (FISV)									
339. -- Google, Inc. CL A (GOOG)									
340. -- Hewlett Packard (HPQ)					Sold	04/21/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 25 of 62

Name of Person Reporting

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341. -- Intel Corp (INTC)									
342. -- Intl Business Mach (IBM)									
343. -- Iron Mountain, Inc. (IRM)					Sold	04/05/11	L	D	
344. -- JP Morgan (JPM)									
345. -- Johnson & Johnson (JNJ)					Sold	01/28/11	K	C	
346. -- Johnson Ctls Inc. (JCI)					Buy	12/21/11	K		
347. -- Kinder Morgan, Inc. DEL					Buy	10/20/11	K		
348. -- Kohls Corp (KSS)									
349. -- Kroger Co (KR)									
350. -- Lender Processing Svcs, Inc. (LPS)					Sold	04/14/11	K	B	
351. -- Liberty Media Corp (LSTZA)					Sold	11/23/11	K	C	
352. -- McGraw Hill (MHP)					Sold	04/14/11	L	D	
353. -- Microsoft Corp (MSFT)									
354. -- NII Holdings Inc. New					Buy	02/28/11	K		
355. -- Nobel Energy (NBL)									
356. -- Northeast Utilities (NU)									
357. -- Oracle Corp (ORCL)					Sold	12/27/11	K		

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FINANCIAL DISCLOSURE REPORT

Page 26 of 62

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Date of Report

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358. -- Peoples UTD FINL Inc.					Buy	04/27/11	L		
359. -- Pepsico (PEP)									
360. -- Pfizer, Inc. (PFE)									
361. -- Plum Creek Timber (PGL)					Sold	08/10/11	K		
362. -- Powershares DB Multi									
363. -- Proctor & Gamble Co (PG)									
364. -- Qualcomm Inc.					Buy	12/09/11	K		
365. -- Reinsurance Group Amer (RGA)									
366. -- Republic Services, Inc. CL A (RSG)									
367. -- Sempra Energy (SRE)									
368. -- Shire ADR (SHPGY)									
369. -- Smucker JM Co (SJM)					Sold	07/27/11	K	C	
370. -- TD Ameritrade (AMTD)									
371. -- Teradata Corp Del					Buy	12/23/11	K		
372. -- TEVA Pharmaceutical (TEVA)									
373. -- Thermo Fisher (TMO)					Sold	10/31/11	K	B	
374. -- Time Warner Inc.					Buy	09/30/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 27 of 62

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375. -- Tortoise MLP Fund					Buy	01/28/11	L		
376. -- Vanguard Emerging Markets (VWO)									
377. -- US Treas Nts 2/28/2013									
378. -- US Treas Nts 2/15/2018									
379. -- (3) Israel Bonds									
380. W Fam Foundation, Director and President	C	Int./Div.	N	T					
381. -- Morgan Keegan MM									
382. -- Dodge & Cox Income Fund					Buy	11/17/11	L		
383. -- Lateef Fund I					Buy	11/17/11	L		
384. -- Mutual Hedge Frontier Legends I					Buy	11/17/11	K		
385. -- Wasatch 1st Source Monogram Fund					Buy	11/18/11	K		
386. -- Federated Total Return Bond Fund					Buy	11/17/11	L		
387. -- Arbitrage Fund R					Buy	11/17/11	K		
388. -- James Alpha Global Enhanced Real Rtn A					Buy	11/18/11	K		
389. -- Invesco Balanced Risk Alloc Fund CI A					Buy	11/18/11	K		
390. -- Nuveen Santa Barbara Growth A					Buy	12/07/11	K		
391. -- Thornburg Value Fund Class I					Buy	12/12/11	K		

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FINANCIAL DISCLOSURE REPORT

Page 28 of 62

Name of Person Reporting

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392. R Fam. Foundation, Director	F	Int./Div.	P1	T					
393. -- US Treasury cas res instl					Sold	01/27/11	K	B	
394. -- American International Group					Sold	01/27/11	J		
395. -- Amgen, Inc.					Sold	01/27/11	K	A	
396. -- Berkshire Hathaway, Inc. Del CL B					Sold	01/27/11	K	D	
397. -- Exxon Mobil					Sold	01/27/11	K	E	
398. -- Frontier Comm Corp					Sold	01/27/11	J	A	
399. -- General Electric					Sold	01/27/11	J		
400. -- Intel Corp					Sold	01/27/11	J		
401. -- Medco Health Solutions					Sold	01/27/11	J	C	
402. -- Merck & Co.					Sold	01/27/11	K		
403. -- Pfizer, Inc.					Sold	01/27/11	J		
404. -- Texas Instruments					Sold	01/27/11	K	C	
405. -- Verizon					Sold	01/27/11	K	B	
406. -- Wells Fargo & Co.					Sold	01/27/11	K	C	
407. -- 1Shares Barclays 20+ year					Sold	01/27/11	M	D	
408. -- 1Shares Barclays 7-10 year					Sold	01/27/11	M	D	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 62

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. -- IShares Barclays 1-3 year					Sold	01/27/11	M	C	
410. -- Barc Cont Buff EQ SPX					Buy	05/27/11	J		
411. -- CS Market Plus SPX					Buy	06/22/11	J		
412. -- GS Cont Buff EQ SPX					Buy	03/30/11	K		
413. -- HSBC Cont Buff EQ SPX					Buy	10/05/11	K		
414. -- JPM Larg Cap Growth FD - SEL					Buy	01/31/11	K		
415.					Buy (add'l)	02/23/11	K		
416. -- Nuveen NWQ Value Opp Fund					Buy	01/31/11	K		
417. -- Professionally Managed Portfolios - the Osterweis Fund					Buy	01/31/11	K		
418.					Buy (add'l)	02/23/11	J		
419. -- SIT MUT FDS Inc Dividend Growth					Buy	01/31/11	K		
420.					Buy (add'l)	02/23/11	K		
421. -- SPDR S&P 500 ETF Trust					Buy	02/25/11	L		
422. -- IShares S&P Mid Cap 400 Index Fund					Buy	02/02/11	K		
423.					Buy (add'l)	02/25/11	K		
424. -- Artisan Intl Value Fund - Inv					Buy	12/16/11	L		
425. -- Dodge & Cox International Stock					Buy	01/03/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	01/31/11	K		
427. -- JPM Bren EAFE (7/5/12)					Buy	06/22/11	K		
428. -- JPM Bren EAFE (10/11/12)					Buy	09/28/11	K		
429. -- JPM Bren EAFE (11/7/12)					Buy	10/26/11	K		
430. -- Aberdeen Asia Pacifi Ex Japan Equity Inst Fd					Buy	01/31/11	K		
431.					Buy (add'l)	02/23/11	L		
432. -- BARC Bren Asia Basket					Buy	05/27/11	J		
433. -- CS Bren Asia Basket					Buy	10/05/11	K		
434. -- Matthews Asia Div Inst					Buy	01/31/11	K		
435.					Buy (add'l)	03/25/11	K		
436. -- Transamerica Fds					Buy	03/25/11	K		
437. -- Vanguard MSCI Emerging Markets ETF					Buy	02/02/11	K		
438. -- Arbitrage Funds - I					Buy	02/23/11	L		
439. -- Eaton Vance Mut Fds TR Global Macro - I					Buy	02/23/11	L		
440. -- Gateway Fund - Y					Buy	03/25/11	L		
441. -- JPM US Real Estate FD - Sel					Buy	03/25/11	L		
442. -- Barc Callable Palladium CP NT					Buy	04/01/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. -- Highbridge Dynamic Comm Strg Fd					Buy	02/23/11	K		
444. -- JPM GSCI Brend Crude CPN Note					Buy	05/27/11	K		
445. -- Powershares DB Comm Index Tracking Fd					Buy	02/02/11	K		
446. -- Harbor High Yield Bond Fund					Buy	01/31/11	M		
447. -- Eaton Vance Mut Fds TR					Buy	02/01/11	L		
448. -- JPM High Yield Fd - Sel					Buy	02/23/11	L		
449. -- JPM TR I InFL Managed Bd					Buy	01/31/11	L		
450. -- Vanguard FI Secs F INTR TRM CP PT					Buy	12/30/11	M		
451. -- Blackrock High Yield Bond					Buy	03/25/11	L		
452. -- Ridgeworth FDS					Buy	01/31/11	M		
453. -- Ridgeworth Intermediate					Buy	01/31/11	M		
454. -- BARC 95% PPN FX Basket 1/22/13					Buy	07/22/11	K		
455. -- BARC 93% PPN BRIC Basket 9/26/13					Buy	09/28/11	K		
456. -- JPM Intl Currency Inc FD					Buy	01/31/11	L		
457. -- Dreyfus / Laurel Fds TR					Buy	01/31/11	L		
458. Brokerage Acct #10 (McAdams Wright Ragen)									
459. -- Money Market Fund	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. -- Abbott Laboratories	C	Dividend	M	T					
461. -- American WTR WKS CO Inc. New Com	B	Dividend			Sold	06/20/11	M	F	
462. -- Ash Grove Cem Co.	B	Dividend	L	T					
463. -- Automatic Data Processing	C	Dividend	M	T					
464. -- Beckman Coulter, Inc.	A	Dividend			Sold	06/27/11	N	G	
465. -- Berkshire Hathaway Inc. Del CL A Fmly Common		None	M	T					
466. -- Boswell JG CO Com	C	Dividend	M	T					
467. -- Bristol Myers Squibb	D	Dividend	M	T					
468. -- Campbell Soup Co.	C	Dividend	M	T					
469. -- Coca Cola Co.	C	Dividend	M	T					
470. -- Conocophillips	D	Dividend	M	T					
471. Encana Corp. Com	D	Dividend	M	T	Buy	06/07/11	M		
472.					Buy (add'l)	08/01/11	K		
473.					Buy (add'l)	11/16/11	M		
474.					Sold (part)	12/19/11	L		
475.					Sold (part)	12/19/11	K		
476. -- El Paso Pipeline Partners LP Com Unit LTD	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. -- Enterprise Products PPTNS LP	D	Dividend	N	T					
478. -- Exelon Corp	A	Dividend		T	Sold	06/09/11	K	A	
479. -- Entergy New Orleans PFD	C	Dividend	M	T	Buy	01/04/11	M		
480. -- Exxon Mobil Corp	C	Dividend	M	T					
481. -- Expeditors Int'l Wash	A	Dividend	M	T	Buy	11/16/11	M		
482. -- Federated Investors Inc. CL B	C	Dividend		T	Sold	09/01/11	L		
483. -- First Natl BK Alaska	C	Dividend	M	T					
484. -- Health Care Reit, Inc.	D	Dividend	M	T					
485. -- ITT Corp.	C	Dividend	K	T					
486. -- Johnson & Johnson	C	Dividend	M	T					
487. -- Kimberly Clark Corp.	D	Dividend			Sold	10/05/11	M	E	
488. -- Kraft Foods, Inc. CL A	D	Dividend	M	T					
489. -- Lilly Eli & Co.	D	Dividend	M	T					
490.					Buy (add'l)	04/08/11	L		
491. -- M&T Bank Corp.	D	Dividend	M	T					
492. -- Medtronic, Inc.	C	Dividend	M	T					
493. -- Merck & Co, Inc. New Com N/C	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	04/08/11	L		
495. -- Microsoft Corp.	C	Dividend	M	T					
496. -- Morgan Stanley Asia Pacific FD Inc.	B	Dividend	M	T					
497. -- Mosaic Co. New Com	A	Dividend	M	T	Buy	06/06/11	M		
498.					Buy (add'l)	11/16/11	M		
499.					Sold (part)	12/19/11	L		
500. -- Nestle SA Spon		None	M	T	Buy	12/13/11	M		
501. -- Newmont Mng Corp HIDG Co	C	Dividend	M	T					
502. -- NSTAR Elec Co PFD	D	Dividend	M	T					
503. -- PAC CAR Inc Com	B	Dividend	M	T	Buy	07/29/11	N		
504. -- Pacificorp	D	Dividend	M	T					
505. -- Pardee Resources Co.	C	Dividend	M	T					
506. -- Penn VA Resource (PVR) Partners LP Com Unit Repstg LTD	E	Dividend	N	T					
507. -- Pepsico, Inc.	C	Dividend			Sold	06/13/11	M	E	
508. -- Pfizer, Inc.	D	Dividend	M	T					
509. -- Qualcomm, Inc.	A	Dividend			Sold	05/11/11	M	F	
510. -- Rayonier, Inc.	C	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. -- Royal Dutch Shell PLC Spons ADR Repstg B SHS	D	Dividend	M	T					
512. -- Southern Co.	D	Dividend	M	T					
513. -- Swiss Helveria Fund, Inc.	D	Dividend			Sold	10/26/11	L	E	
514. -- Sysco Corp.	D	Dividend	M	T					
515. -- Target Corp Com	B	Dividend	M	T	Buy	03/02/11	M		
516. -- Telsa Mtrs Inc.		None	M	T	Buy	03/15/11	M		
517. -- United Parcel SVC Inc., CL B	B	Dividend			Sold	04/08/11	M	F	
518. -- Ventas, Inc.	D	Dividend	M	T					
519. -- Verizon Comm	C	Dividend			Sold	04/08/11	M	E	
520. -- Virginia Electric & Pwr Co PFD	D	Dividend	M	T					
521. -- Vodafone Group PLC New	C	Dividend	M	T	Buy	05/12/11	M		
522. -- WTB Finl Corp CMT CL B COM Non Vtg		None	M	T					
523. -- Wal-Mart Stores, Inc.	C	Dividend			Sold	08/22/11	M	D	
524. -- Washington Fed	B	Dividend			Buy	04/15/11	M		
525.					Sold	12/13/11	M		
526. -- Weyerhaeuser Co.	D	Dividend	M	T					
527. -- Wisconsin Pub SVC Corp PFD	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. -- XCEL Energy Inc. Com	D	Dividend	M	T					
529. -- XYLEM, Inc.	A	Dividend	L	T	Buy	12/30/11	L		
530. -- Yahoo, Inc.		None			Sold	02/15/11	M	E	
531. -- Macomb Twp Mich Bldg Auth LTD	B	Interest			Sold	04/01/11	L		
532. -- Garden City Mich Sch Dist GO	B	Interest			Sold	05/02/11	L		
533. -- Harper Creek Mich Cmnty Sch Dist	D	Interest			Sold	05/02/11	M		
534. -- Huron Mich Sch Dist GO BDS SER	B	Interest			Sold	05/02/11	L		
535. -- Kalamazoo Mich City Sch Dist GO BDS	C	Interest			Sold	05/02/11	L		
536. -- Kent City Mich CMNTY SCHS Sch BLDG	C	Interest			Sold	05/02/11	M		
537. -- East China Sch Dist Mich GO SCH BLDG	D	Interest			Sold	11/01/11	M		
538. -- Michigan St GO BDS Clean Mich	C	Interest			Sold	11/01/11	L		
539. -- Oxford, Mich Area CMNTY SCH Dist GO	C	Interest			Sold	11/01/11	L		
540. -- Oxford, Mich Area CMNTY SCH Dist GO	C	Interest			Sold	11/01/11	L		
541. -- Rochester Mich CMNTY Sch Dist GO Sch BDS	D	Interest			Sold	11/01/11	M		
542. -- Pontiac Mich Tax Increment Fin Auth	E	Interest	O	T					
543. -- Gibraltar Mich Sch Dist Sch Bldg and Site BDS SER 2002	D	Interest	M	T					
544. -- Gibraltar Mich Sch Dist Sch Bldg and Site BDS SER 2002	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. -- OVID ELSIE Mich Area SCHS GO BDS SER	D	Interest	N	T					
546. -- South Lyon Mich CMNTY SCHS GO	D	Interest	M	T					
547. -- Det Mich City SCH Dist GO BDS SER	E	Interest	O	T					
548. -- Eastern Mich Univ Revs Rev BDS	C	Interest	M	T					
549. -- Detroit Mich WTR Supply SYS REV	D	Interest	N	T					
550. -- Orchard View Mich SCHS GO BDS	D	Interest	M	T					
551. -- Macomb CNty Mich Hosp FIN Auth	E	Interest	O	T					
552. -- Eaton Rapids Mich Pub SCHS GO School BDS	E	Interest	O	T					
553. -- Southfield Mich Pub SCHS GO School BDS	D	Interest	N	T					
554. -- Southfield Mich Pub SCHS GO School BDS	D	Interest	M	T					
555. -- Wayne Mich LTD GO BDS SER	E	Interest	O	T					
556. -- Muskegon Cnty Mich GO Ltd Tax	A	Interest	O	T	Buy	06/01/11	O		
557. -- Puerto Rico Comwlth Hwy & Transp	D	Interest	N	T					
558. -- Puerto Rico Comwlth Hwy & Transp	B	Interest	M	T					
559. -- Puerto Rico Comwlth Hwy & Transp	C	Interest	M	T					
560. -- Puerto Rico Comwlth REFGO Bds Ser 2006A	C	Interest	M	T					
561. -- Puerto Rico Elec Pwr Quth Pwr	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. -- Puerto Rico Comwlth Hwy & Trans Auth	D	Interest	M	T					
563. -- Ann Arbor Mich LTD GO BDS MAINTENANCE	D	Interest	M	T					
564. -- Battle Creek Mich LTD GO REF BDS	D	Interest	N	T					
565. -- Freeland Mich Cmnty SCH Dist GO REF BDS	D	Interest	N	T					
566. -- Chelsea Mich SCH Dist Ref GO BDS	C	Interest	M	T					
567. -- Portage Mich PUB SCHS GO SCH BDS	D	Interest	N	T					
568. -- Three Rivers Mich Cmnty SCHS GO	C	Interest	M	T					
569. -- South Haven Mich LTD GO BDS SER	E	Interest	N	T					
570. -- San Juan Basin Rty Tr Unit Ben Int	C	Interest	M	T					
571. -- Detroit Mich Sew Disprev	E	Interest	N	T					
572. Brokerage Acct #11 (U.S. TRUST)									
573. -- BOFA Tax Exempt Reserves	A	Interest	K	T					
574. -- CMG Ultra Short Term Bond Fund		None			Sold	01/05/11	J		
575. -- Artio Global High Income Fund	E	Dividend	M	T					
576.					Buy (add'l)	02/04/11	J		
577.					Buy (add'l)	02/04/11	J		
578.					Buy (add'l)	04/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	05/02/11	J		
580.					Buy (add'l)	06/01/11	J		
581.					Buy (add'l)	07/01/11	J		
582.					Buy (add'l)	08/01/11	J		
583.					Buy (add'l)	09/01/11	J		
584.					Buy (add'l)	10/03/11	J		
585.					Buy (add'l)	11/01/11	J		
586.					Buy (add'l)	12/01/11	J		
587.					Buy (add'l)	12/30/11	J		
588.					Sold (part)	01/24/11	L	C	
589.					Sold (part)	02/03/11	L	C	
590.					Sold (part)	06/01/11	L	B	
591.					Sold (part)	08/01/11	J	C	
592.	-- Pimco Fds Pac Invnt Mgmt Ser	None			Sold	02/03/11	N		
593.	-- Pimco Com Real Return Fund	F	Dividend	M	T				
594.					Sold (part)	06/06/11	K	B	
595.					Sold (part)	07/21/11	K	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. -- Pimco Developing Local MKTS Fund		None			Sold	03/14/11	N	C	
597. -- Pimco Emerging Mkt Currency Fund	C	Dividend	M	T	Buy	01/04/11	J		
598.					Buy (add'l)	02/02/11	J		
599.					Buy (add'l)	03/02/11	J		
600.					Buy (add'l)	04/04/11	J		
601.					Buy (add'l)	05/03/11	J		
602.					Buy (add'l)	06/07/11	J		
603.					Buy (add'l)	07/01/11	J		
604.					Buy (add'l)	08/01/11	J		
605.					Buy (add'l)	09/01/11	J		
606.					Buy (add'l)	10/03/11	J		
607.					Buy (add'l)	11/01/11	J		
608.					Buy (add'l)	12/01/11	J		
609.					Sold (part)	01/04/11	J		
610.					Sold (part)	01/24/11	L		
611.					Sold (part)	02/02/11	J		
612.					Sold (part)	02/03/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	03/02/11	J		
614.					Sold (part)	03/14/11	K		
615.					Sold (part)	04/11/11	J		
616. -- IShares MSCI Australia Index	D	Dividend	M	T					
617.					Sold (part)	07/08/11	K	B	
618. -- IShares MSCI Brazil Free Index Fund	C	Dividend			Sold (part)	01/26/11	K		
619.					Sold (part)	07/08/11	K		
620.					Sold	11/04/11	M		
621. -- IShares TR Goldman Sachs Nat Res		None			Sold	01/21/11	M		
622. -- IShares TR Cohen & Steers Realty	C	Dividend	M	T					
623. -- IShares MSCI CDA Index Fund	C	Dividend	M	T					
624.					Sold (part)	01/26/11	K	B	
625.					Sold (part)	07/08/11	K	C	
626.					Sold (part)	07/08/11	K		
627. -- IShares TR MSCI Emerging Markets	A	Dividend	L	T					
628. -- IShares MSCI Hong Kong Index Fund	C	Dividend	M	T					
629.					Sold (part)	01/26/11	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold (part)	07/08/11	K	B	
631. -- iShares S&P Latin America	B	Dividend	M	T	Buy	11/04/11	M		
632. -- Market VectorsAfrica Index		None			Sold	02/25/11	K		
633. -- iShares S&P NA Natl Resources Index Fund	B	Dividend	M	T					
634. -- iShares S&P NA Natl Resources Index Fund		None			Sold (part)	01/26/11	J	A	
635.					Sold (part)	06/08/11	K	C	
636.					Sold	07/25/11	K	B	
637. -- iShares Gold Trust		None			Sold	01/26/11	M	E	
638. -- iShares TR S&P Global Materials	B	Dividend			Sold (part)	06/08/11	K	C	
639.					Sold	08/11/11	M	B	
640. -- SPDR Ser TR Metals & MNG ETF	A	Dividend			Sold (part)	01/26/11	K	B	
641.					Sold (part)	06/08/11	K	D	
642.					Sold (part)	07/25/11	J	B	
643.					Sold	08/11/11	M	D	
644. -- Wisdomtree Emerging Markets	A	Dividend	K	T					
645. -- Lancaster Co. Neb Sch Dis GO Bond	D	Interest	N	T					
646. -- NY NY GO Ref Bond	D	Interest	M	T	Sold (part)	06/08/11	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

647. -- Gwinnett Cnty GA, Wtr & Sewer BDS	D	Interest	N	T					
648. -- Columbia Acorn TR Fund CL Z	A	Dividend	K	T					
649.					Buy (add'l)	06/09/11	J		
650.					Buy (add'l)	12/08/11	J		
651.					Sold (part)	01/24/11	K	C	
652.					Sold (part)	03/14/11	L	A	
653.					Sold (part)	03/16/11	K	D	
654.					Sold (part)	06/24/11	K	D	
655. -- Columbia Select Small Cap Fund		None	K	T	Sold (part)	06/24/11	J	C	
656.					Sold (part)	11/28/11	J	A	
657. -- Brazos Cnty Tex CTFS of OBL LTD Bonds	D	Interest	N	T					
658. -- Columbia Short Term Mun Bd Fund		None			Sold	03/01/11	J		
659. -- Columbia Tax Exempt Reserves					Sold	02/11/11	K		
660. -- Columbia FDS SER TR Short Term Mun		None			Sold	02/11/11	K		
661. -- Cook Cnty Ill GO Bonds	D	Interest	N	T					
662. -- Chicago Illinois GO Bond	D	Interest			Sold (part)	07/01/11	M		
663.					Sold	11/04/11	M	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. -- Pigeon Forge Tenn GO Ref Bonds	D	Interest	N	T					
665. -- BA Hedge Fund OZ Domestic Partners II		None	O	T					
666. -- BA Hedge Fund Kingdon Asso		None	N	T					
667. -- Clarksville, Tenn Elec Sys. Rev Bond	D	Interest	N	T					
668. -- Ithaca City NY Pub Imp Bds	E	Interest	N	T					
669. -- Ohio State Wtr Dev Auth	D	Interest	N	T					
670. -- Olympia Wash, Tax GO Bonds	B	Interest			Sold	02/10/11	N	A	
671. -- Cedar Park, Tex GO Ref Bonds	D	Interest	N	T					
672. -- Clark Cnty Nev LDT Tax Ref Bonds	E	Interest	N	T					
673. -- Clark Cnty Nev for Issues dtd GO LTD Tax Trans Ref Bds	D	Interest	N	T					
674. -- JEA Fla Elec Sys Rev Bonds	D	Interest	N	T					
675. -- Madison Cnty Miss Sch Dist Bonds	D	Interest	N	T					
676. -- Ohio St Nat Resources Bonds	D	Interest	N	T					
677. -- Royce Opp Fund		None	M	T	Sold (part)	03/14/11	L	D	
678. -- Hillsborough Cnty Fla Environmental BD	D	Interest	N	T					
679. - Brokerage Account #11 US Trust Sub- Acct A									
680. -- BOFA Tax Exempt Reserves	A	Int./Div.			Redeemed	10/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681. -- BP PLC SPON ADR	A	Dividend			Buy	03/16/11	K		
682.					Buy (add'l)	08/10/11	J		
683.					Sold	10/12/11	K		
684. -- Carefusion		None			Sold (part)	07/08/11	J	C	
685.					Sold	10/11/11	K	C	
686. -- Citigroup, Inc.	A	Dividend			Buy (add'l)	09/30/11	K		
687.					Sold (part)	08/26/11	K		
688.					Sold	10/11/11	J		
689. -- Delta Air Lines		None			Sold	08/26/11	K		
690. -- Devon Energy Corp.	A	Dividend			Sold	10/12/11	K		
691. -- General Electric	B	Dividend			Sold	10/12/11	K	A	
692. --Newmont Mng Corp	A	Dividend			Sold	10/12/11	L	D	
693. -- Plains Expl & Prod TN Co		None			Buy	05/06/11	K		
694.					Sold	10/12/11	K		
695. -- Sprint Nextel Corp		None			Buy	02/15/11	K		
696.					Sold	08/26/11	K		
697. -- Western Union Co.	A	Dividend			Sold (part)	01/07/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold	08/08/11	J	B	
699. -- Williams Cos, Inc. Del		None			Sold (part)	02/10/11	K	B	
700.					Sold	02/23/11	K	D	
701. -- Genworth		None			Sold (part)	01/19/11	J	B	
702.					Sold	07/08/11	J		
703. -- Mylan, Inc.		None			Buy (add'l)	05/23/11	J		
704.					Buy (add'l)	08/19/11	J		
705.					Sold (part)	01/19/11	J	D	
706.					Sold (part)	07/08/11	J	A	
707.					Sold	10/12/11	K	B	
708. -- QEP RES Inc	A	Dividend			Sold	08/19/11	K		
709. -- Textron, Inc.	A	Dividend			Sold	10/12/11	K		
710. -- Madison Square Garden, Inc.		None			Sold	07/08/11	K	D	
711. -- XL CAP LTD		None			Sold	08/03/11	J		
712. -- XL Group PLC	A	Dividend			Sold (part)	05/03/11	J	C	
713.					Sold (part)	05/04/11	J	C	
714.					Sold (part)	07/08/11	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Sold	08/03/11	J	B	
716. -- Anglogold Ashanti Ltd	A	Dividend			Sold	10/12/11	K		
717. -- CEMEX S A B D E C V		None			Sold (part)	04/20/11	J		
718.					Sold	07/08/11	K		
719. -- Korea Electric		None			Sold (part)	01/07/11	K		
720.					Sold	02/22/11	J		
721. -- ITT Corp New Com	A	Dividend			Buy	05/27/11	K		
722.					Buy (add'l)	08/10/11	J		
723.					Sold	10/12/11	K		
724. -- Motorola Solutions, Inc. New	A	Dividend			Buy	08/26/11	K		
725.					Sold	10/12/11	K	B	
726. -- Yahoo, Inc.		None			Buy	03/29/11	K		
727.					Sold	08/26/11	K		
728. - Brokerage Account #11 US Trust Sub- Acct B									
729. -- BOFA Tax Exempt Money Fund	A	Int./Div.	K	T					
730. -- Altria Group, Inc.	B	Dividend	K	T	Sold (part)	07/11/11	J	A	
731. -- AT&T	B	Dividend	K	T	Sold (part)	07/11/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. -- Boeing Co	A	Dividend	K	T	Sold (part)	07/11/11	J	B	
733. -- Bristol Myers Squibb Co.	B	Dividend	K	T	Sold (part)	07/11/11	J	A	
734. -- Chevron Corp	A	Dividend	K	T	Sold (part)	07/11/11	J	B	
735. -- Conoco Philips	A	Dividend	K	T	Sold (part)	07/11/11	J	C	
736. -- Dominion Resources	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
737. -- DuPont El De Nemours & Co.	A	Dividend	J	T	Sold (part)	07/11/11	J	B	
738. -- FPL Group, Inc.		None			Sold	07/11/11	J	A	
739. -- General Electric	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
740. -- Heinz HJ Co.	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
741. -- Intel Corp	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
742. -- Johnson & Johnson	A	Dividend	K	T					
743. -- Kimberly Clark	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
744. -- Kraft Foods	A	Dividend	K	T	Sold (part)	07/11/11	J	B	
745. -- Lilly Eli & Co	B	Dividend	K	T	Sold (part)	07/11/11	J		
746. -- Merck & Co Inc.		None	K	T	Buy (add'l)	10/11/11	K		
747. -- Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	08/01/11	J		
748. -- Nextera Energy	A	Dividend	K	T	Sold (part)	07/11/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. -- Philip Morris Intl	A	Dividend	K	T	Sold (part)	07/11/11	J	B	
750. -- Travelers Cos, Inc.	A	Dividend	J	T					
751. -- Verizon Comm	B	Dividend	K	T	Sold (part)	07/11/11	J	B	
752. -- 3M Co.	A	Dividend	J	T	Sold (part)	07/11/11	J	B	
753. --Genuine Parts Co.	A	Dividend	K	T	Sold (part)	07/11/11	J	C	
754. -- Astrazeneca PLC	B	Dividend	K	T					
755. -- Diagio PLC	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
756. -- HSBC Holdings	A	Dividend	J	T					
757. -- Nokia Corp	A	Dividend	J	T					
758. --Royal Dutch Shell PLC	A	Dividend	K	T	Buy	04/01/11	K		
759.					Sold (part)	07/11/11	J		
760. -- Unilever NV	A	Dividend	K	T	Sold (part)	07/11/11	J	A	
761. --Vodafone	B	Dividend	K	T	Sold (part)	07/11/11	J	B	
762. -- HCP Reit	A	Dividend	K	T					
763. --Health Care Reit	B	Dividend	K	T	Sold (part)	07/11/11	J	A	
764. - Brokerage Account #11 US Trust Sub- Acct C									
765. -- BOFA Tax Exempt Fund	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. -- ACME Packet Inc.		None	J	T	Buy	08/18/11	J		
767.					Buy	11/07/11	J		
768. -- Allergan, Inc.	A	Dividend	K	T	Sold (part)	07/08/11	J	B	
769. -- Amazon		None	K	T	Buy (add'l)	10/21/11	J		
770.					Sold (part)	01/26/11	J	B	
771.					Sold (part)	07/08/11	J	B	
772. -- Apple, Inc.		None	K	T	Buy (add'l)	09/19/11	J		
773.					Sold (part)	04/07/11	J	C	
774.					Sold (part)	07/08/11	J	B	
775. -- Biogen Idec Inc.		None	K	T	Buy	09/01/11	J		
776.					Buy (add'l)	12/31/11	J		
777. -- CB Richard Ellis GR		None			Buy	03/11/11	J		
778.					Buy (add'l)	04/08/11	J		
779.					Sold (part)	07/08/11	J		
780.					Sold (part)	12/15/11	J		
781.					Sold	12/16/11	J		
782. -- Cameron Intl Corp		None	K	T	Buy (add'l)	01/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	03/10/11	J		
784.					Sold (part)	07/08/11	J		
785. -- Caterpillar Inc.	A	Dividend	K	T	Buy	03/30/11	J		
786.					Buy (add'l)	11/01/11	J		
787.					Buy (add'l)	12/22/11	J		
788.					Sold (part)	07/08/11	J		
789. -- Celgene Corp		None			Sold	02/09/11	K		
790. -- Chipotle Mexican Grill		None	K	T	Buy	04/27/11	J		
791.					Sold (part)	07/08/11	J	A	
792. -- Citrix Sys Inc.		None	K	T	Buy (add'l)	02/09/11	J		
793.					Sold (part)	07/08/11	J	A	
794. -- Cognizant Technology Solutions CL A		None	K	T	Buy (add'l)	09/19/11	J		
795.					Sold (part)	07/08/11	J	C	
796. -- EMX Corp		None	J	T	Buy	03/30/11	J		
797.					Buy (add'l)	05/17/11	J		
798.					Sold (part)	07/08/11	J	A	
799. -- Expeditors Intl Wash, Inc.	A	Dividend	J	T	Sold (part)	01/26/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = \$500,001 - \$1,000,000	P4 = More than \$50,000,000	S = Assessment T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Sold (part)	07/08/11	J	A	
801. -- Express Scripts, Inc.		None	J	T	Sold (part)	03/10/11	J	A	
802.					Sold (part)	07/08/11	J	A	
803.					Sold (part)	09/21/11	J		
804. -- F5 Networks, Inc.		None			Sold	03/21/11	K	D	
805. -- Freeport-McMoran Copper & Gold, Inc.	A	Dividend			Buy (add'l)	01/28/11	J		
806.					Buy (add'l)	02/09/11	J		
807.					Sold (part)	05/17/11	J		
808.					Sold (part)	07/08/11	J	A	
809.					Sold	09/26/11	J		
810. -- Google, Inc. CL A		None	K	T	Buy (add'l)	02/09/11	J		
811.					Buy (add'l)	10/21/11	J		
812.					Sold (part)	07/08/11	J		
813. -- Illumina		None			Buy	03/31/11	J		
814.					Buy (add'l)	04/01/11	J		
815.					Buy (add'l)	07/26/11	J		
816.					Sold (part)	07/08/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Sold	09/27/11	J		
818. -- Intuitive Surgical, Inc.		None			Sold (part)	07/08/11	J	A	
819.					Sold (part)	07/15/11	J	B	
820.					Sold	07/26/11	J	D	
821. -- Johnson CTLS, Inc.	A	Dividend			Buy (add'l)	01/26/11	J		
822.					Buy (add'l)	02/22/11	J		
823.					Sold (part)	07/08/11	J	A	
824.					Sold	09/19/11	J		
825. -- Juniper Networks, Inc.		None			Buy (add'l)	07/26/11	J		
826.					Sold (part)	07/08/11	J	A	
827.					Sold (part)	08/01/11	J		
828.					Sold	08/17/11	J		
829. -- Lululemon		None	J	T	Buy	06/14/11	J		
830.					Buy (add'l)	08/17/11	J		
831.					Sold	07/08/11	J	A	
832. -- Microsoft Corp		None			Sold	01/18/11	J	A	
833. -- Monsanto Co, New	A	Dividend	K	T	Buy (add'l)	01/28/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834.					Buy (add'l)	02/09/11	J		
835.					Buy (add'l)	07/08/11	J		
836.					Sold (part)	07/08/11	J		
837.					Sold (part)	07/28/11	J		
838. -- Netflix.com		None			Sold	01/14/11	K	D	
839. -- Occidental Pete Corp Del	A	Dividend	K	T	Buy (add'l)	01/18/11	J		
840.					Buy (add'l)	02/09/11	J		
841.					Sold (part)	05/17/11	J	A	
842.					Sold (part)	07/08/11	J	A	
843. -- Polypore Intl Inc		None	J	T	Buy	06/14/11	J		
844.					Buy (add'l)	08/08/11	J		
845.					Sold (part)	07/08/11	J	A	
846. -- Priceline.com, Inc. New		None	J	T	Sold (part)	07/08/11	J	B	
847.					Sold (part)	11/22/11	J	C	
848. -- Qualcomm, Inc.	A	Dividend	K	T	Buy (add'l)	02/09/11	J		
849.					Sold (part)	04/18/11	J		
850.					Sold (part)	07/08/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. -- Schlumberger Ltd	A	Dividend	K	T	Buy (add'l)	03/10/11	J		
852.					Sold (part)	07/08/11	J		
853. -- Schwab Charles Corp New	A	Dividend			Sold	06/13/11	K		
854. -- Starwood Hotels & Resorts	A	Dividend	K	T	Buy (add'l)	08/18/11	J		
855.					Sold (part)	07/08/11	J		
856.					Sold (part)	08/08/11	J		
857. -- Target Corp.	A	Dividend			Sold (part)	01/12/11	J	A	
858.					Sold	03/30/11	K	A	
859. -- Union Pacific Corp.	A	Dividend	K	T	Buy (add'l)	11/01/11	J		
860.					Buy (add'l)	12/22/11	J		
861.					Sold (part)	03/21/11	J	B	
862.					Sold (part)	07/08/11	J	A	
863. -- Alexion Pharmaceuticals, Inc.		None	K	T	Buy (add'l)	01/26/11	J		
864.					Buy (add'l)	03/10/11	J		
865.					Sold (part)	07/08/11	J		
866.					Sold (part)	09/27/11	J	B	
867. -- Cree, Inc.		None			Sold	03/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. -- Dollar Tree, Inc.		None	K	T	Buy (add'l)	09/19/11	J		
869.					Buy (add'l)	09/29/11	J		
870.					Sold (part)	02/11/11	J	B	
871. -- Perrigo Co.	A	Dividend	K	T	Buy (add'l)	01/26/11	J		
872.					Sold (part)	07/08/11	J	A	
873.					Sold (part)	11/01/11	J	B	
874. -- ARM Holdings PLC	A	Dividend	J	T	Sold (part)	01/26/11	J	C	
875.					Sold (part)	07/08/11	J	B	
876. -- Visa, Inc.		None	J	T	Buy	12/15/11	J		
877.					Buy (add'l)	12/22/11	J		
878. -- Potash Corp SASK	A	Dividend			Buy (add'l)	02/09/11	J		
879.					Sold (part)	05/17/11	J		
880.					Sold (part)	07/08/11	J	A	
881.					Sold (part)	12/15/11	J		
882. 529 Plan #1 - ERL (Scholar's Choice Age Based)		None	L	T					
883. 529 Plan #2 - JH (Scholar's Choice Age Based)		None	K	T					
884. 529 Plan #3 - MS (Scholar's Choice Age Based)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

White, Helene A.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885. 529 Plan #4 - TES (Scholar's Choice Age Based)		None	L	T					
886. Brokerage Acct # 12 (Morgan Stanley)									
887. -- Money Market Funds	A	Interest	J	T					
888. -- Force Protection, Inc. New (FRPT)		None			Sold	03/02/11	J		
889. -- Detroit Sewer Bond Ser C	B	Interest	N	T					
890. -- Warren Mich Bond	C	Interest	M	T					
891. -- Reno Nev Bond		None			Sold	05/03/11	N		
892. -- Genesee Mich Bond	D	Interest	N	T					
893. -- Fla St Bd Ed	C	Interest	M	T					
894. -- Miami Dade Bond	C	Interest	M	T					
895. -- Puerto Rico Elec Bond Ser TT	D	Interest	M	T					
896. -- Det Mich Sew Disp Rev Ref Ser A Bond	C	Interest	L	T					
897. -- Detroit Mich Sew Disp Rev Sen Lien C	D	Interest	M	T					
898. -- Michigan State Bldg Auth Rev Bond	B	Interest	K	T					
899. -- Det Water Supply Systems Bond Ser A	D	Interest	M	T					
900. -- Philadelphia, PA Water & Waste Bond	C	Interest			Sold	12/13/11	L	A	
901. -- Port St. Lucie, FL Util Rev Bond	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

902. -- Puerto Rico Elec Power Bond Ser XX	C	Interest	M	T					
903. -- Chicago, Ill GO Bond	C	Interest	L	T					
904. -- Puerto Rico Electric Bond Ser TT	B	Int./Div.	M	T					
905. -- FL State Dept Env Protectin Bond	D	Interest	M	T					
906. -- Sunrise FL Utility Bond	D	Interest	M	T					
907. -- Alachua Co. FL School Board Bond	D	Interest	M	T					
908. -- Utah WTR FIN AGY Bond	D	Interest	M	T					
909. -- Somerset Co. NJ Bond	D	Interest			Sold	05/03/11	M		
910. -- Allegheny Co PA GO Bond	D	Interest	M	T					
911. -- Lake Co. FL School Bond		None			Sold	05/03/11	M		
912. -- Nevada State Higher Ed Bond	C	Interest	L	T					
913. -- Miami-Dade Co, FL School Board Bond	C	Interest	M	T					
914. -- Puerto Rico Comwlth Genl Obl Bond	C	Interest	L	T					
915. -- Hamilton Co. OH Rev Bond	D	Interest			Redeemed	12/01/11	M		
916. -- Det Mich Water Supply Series D Bond	C	Interest	K	T					
917. -- Wisconsin State Health & Ed Bond	D	Interest	M	T					
918. -- West VA Higher Ed Pol Comm Rev	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

White, Helene N.

Date of Report

02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. -- Puerto Rico Sales Tax FING Corp Bond	D	Interest	N	T					
920. -- Legg Mason Muni C (SMMCX)	D	Int./Div.			Sold	07/25/11	M		
921. -- Unit Claymore Closed-End MLP 6	C	Dividend			Sold	10/06/11	L		
922. -- Unit Claymore Closed-End MLP 8	D	Dividend	M	T					
923. -- Unit First Trust Int Rate Hedge 17	D	Dividend	M	T					
924. -- Unit First Trust Strategic Inc Plus 14	C	Dividend			Sold	03/02/11	N		
925. -- Unit First Trust Target Global Div 4th Qtr 10	D	Dividend			Sold	11/29/11	M		
926. -- Blackrock National Muni C	C	Dividend	N	T	Buy	07/25/11	M		
927.					Buy (add'l)	11/28/11	M		
928. -- Unit First Trust Strategic Income Adv CE 24	D	Dividend	M	T	Buy	03/02/11	M		
929. -- Unit First Trust Target Global Div Leaders 4th Qtr 11	B	Dividend	M	T	Buy	05/03/11	M		
930. -- Unit First Trust Target Global Div Leaders 2nd Qtr 11	D	Dividend	M	T	Buy	11/29/11	M		
931. -- Unit Guggenheim Closed-End Inf & MLP 10	B	Dividend	M	T	Buy	10/06/11	M		
932. -- Unit Guggenheim Closed-End Inf & MLP 9	D	Dividend	M	T	Buy	05/03/11	M		
933. Brokerage Acct #13 Merrill Lynch									
934. -- CMA Funds	A	Interest	J	T					
935. -- Blackrock Muni, Inc.	C	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting	Date of Report
White, Helene N.	02/27/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. -- Franklin FL Tax Free	D	Interest	M	T					
937. IRA - Morgan Stanley		None	J	T					
938. -- MS Focus Growth Fd A									
939. Remic Fund 46	D	Interest	N	T					
940. Option on Real Property in NYC		None	P1	T					
941. Remic Fund 50	B	Interest	M	T					
942. Home Properties, LLC		None	N	U					
943. -- Real Property Oak Park Michigan									
944. Additional asset RNJH: Tocquerville Fund	D	Dividend	O	T					
945.									
946.									
947.									

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 248 Note taken for loan.

This Amended Report addresses the committee's letter of November 21, 2012. The changes are as follows:

Lines 299-301 have been further indented to indicate that they are assets contained in the account listed on line 298.

Line 944 has been added to cover an inadvertently omitted asset.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Helene N. White**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544