

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>MCNAMEE, STEPHEN M.                                                                                                                  | <b>2. Court or Organization</b><br><br>US DISTRICT COURT OF ARIZONA                                                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>01/06/2018                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>US DISTRICT JUDGE SENIOR STATUS - ACTIVE                                      | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>SANDRA DAY O'CONNOR US COURTS<br>401 WEST WASHINGTON STREET SPC 60<br>PHOENIX AZ 85003-2158                                                                   |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                                 |
|----|-----------------|--------------------------------------------------------------------|
| 1. | MEMBER          | UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS             |
| 2. | MEMBER          | UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION - BOARD OF DIRECTORS |
| 3. |                 |                                                                    |
| 4. |                 |                                                                    |
| 5. |                 |                                                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| DATE    | SOURCE AND TYPE             | INCOME<br>(yours, not spouse's) |
|---------|-----------------------------|---------------------------------|
| 1. 2016 | STATE OF ARIZONA TAX REFUND | \$3,565.00                      |
| 2.      |                             |                                 |
| 3.      |                             |                                 |
| 4.      |                             |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|--------|-------|----------|---------|------------------------|
| 1.     |       |          |         |                        |
| 2.     |       |          |         |                        |
| 3.     |       |          |         |                        |
| 4.     |       |          |         |                        |
| 5.     |       |          |         |                        |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. MERRILL LYNCH JOINT MASTER ACCOUNT                                                                                       | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. IBM COMMON STOCK (IBM)                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. INTERNATIONAL RECTIFIER COMMON STOCK                                                                                     |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. FAIRFIELD ESTATES FLAGSTAFF AZ                                                                                           |                                         | None                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. MARRIOTT CANYON VILLAS                                                                                                   |                                         | None                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. WELLS FARGO CKG                                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. US BANK CKG #1                                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. US BANK SVGS                                                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. IRA - US BANK PHOENIX AZ - CD #1                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. IRA - US BANK PHOENIX AZ - CD                                                                                           | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 10/12/16                | J                               |                                |                                                                   |
| 11. MERRILL LYNCH - IRA #1 (H)                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. - BANK OF AMERICA, NA - CASH EQUIVALENT                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. - AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 (AHIFX)                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. - ISHARES AGENCY BOND FD (AGZ)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (TIPX)                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSJ)                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. MERRILL LYNCH - IRA #2 (H)                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000.01 - \$500,000.00 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |          |   |   |             |          |   |   |  |
|---------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 18. - BANK OF AMERICA, NA - CASH EQUIVALENT | A | Interest | J | T |             |          |   |   |  |
| 19. - PWRSHARES ETF DYNAMIC LEISURE (PEJ)   |   |          |   |   | Buy (add'l) | 02/03/16 | J |   |  |
| 20.                                         | A | Dividend |   |   | Sold        | 08/04/16 | K | D |  |
| 21. - PWRSHARES DYNAMIC PHARM (PJP)         |   |          |   |   | Buy (add'l) | 02/01/16 | J |   |  |
| 22.                                         | A | Dividend |   |   | Sold        | 08/04/16 | K | D |  |
| 23. - SPDR INDEX SHS FDS (IPD)              |   |          |   |   | Sold (part) | 01/27/16 | J | A |  |
| 24.                                         |   |          |   |   | Buy (add'l) | 08/09/16 | J |   |  |
| 25.                                         | A | Dividend | J | T | Buy (add'l) | 12/02/16 | J |   |  |
| 26. - SPDR INDEX SHS FDS (IPN)              |   |          |   |   | Sold (part) | 01/27/16 | J | A |  |
| 27.                                         |   |          |   |   | Buy (add'l) | 08/09/16 | J |   |  |
| 28.                                         |   |          |   |   | Sold (part) | 11/29/16 | J | A |  |
| 29.                                         | A | Dividend | J | T | Buy (add'l) | 12/30/16 | J |   |  |
| 30. - VANGUARD MID-CAP VAL IDX (VOE)        |   |          |   |   | Buy (add'l) | 02/01/16 | J |   |  |
| 31.                                         |   |          |   |   | Sold (part) | 08/04/16 | J | A |  |
| 32.                                         |   |          |   |   | Sold (part) | 11/29/16 | J | A |  |
| 33.                                         | A | Dividend | J | T | Sold (part) | 12/27/16 | J | A |  |
| 34. - VANGUARD EXTD MKT EFT (VXF)           |   |          |   |   | Buy (add'l) | 02/01/16 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 36.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/29/16                | J                               | A                              |                                                                   |
| 37.                                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 12/27/16                | J                               | A                              |                                                                   |
| 38. - POWERSHARES ETF TR DYNAMIC<br>MEDIA (PBS)                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/09/16                | J                               |                                |                                                                   |
| 40.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/29/16                | J                               | A                              |                                                                   |
| 41.                                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 12/27/16                | J                               | A                              |                                                                   |
| 42. -VANGUARD SMALL CAP GROWTH<br>(VBK)                                                                                     |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 43.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 44.                                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 11/29/16                | J                               | A                              |                                                                   |
| 45. - VANGUARD SMALL CAP PER (VB)                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 46.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 47.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/29/16                | J                               | A                              |                                                                   |
| 48.                                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 12/27/16                | J                               | A                              |                                                                   |
| 49. - POWERSHARES ETF TR AERO & DEF<br>(PPA)                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/27/16                | J                               | A                              |                                                                   |
| 50.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/29/16                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 12/30/16                | J                               |                                |                                                                   |
| 53. - SPDR INDEX SHS FDS (IRY)                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/27/16                | J                               | A                              |                                                                   |
| 54.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/09/16                | J                               |                                |                                                                   |
| 55.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/02/16                | J                               |                                |                                                                   |
| 56.                                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/27/16                | J                               | A                              |                                                                   |
| 57. - POWERSHARES GLOBAL GOLD<br>(PSAU)                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 08/09/16                | K                               |                                |                                                                   |
| 58.                                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/29/16                | K                               | A                              |                                                                   |
| 59. - POWERSHARES ETF DYN<br>SEMICONDUCTOR (PSI)                                                                          |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 60.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 61.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/29/16                | J                               | B                              |                                                                   |
| 62.                                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 12/27/16                | J                               | A                              |                                                                   |
| 63. -POWERSHARES ETF DYN SOFTWARE<br>PORT (PSJ)                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/16                | J                               |                                |                                                                   |
| 64.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 65.                                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/29/16                | J                               |                                |                                                                   |
| 66.                                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 12/30/16                | J                               | A                              |                                                                   |
| 67. -POWERSHARES SWA CONSUMER<br>CYCLICALS (PEZ)                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/27/16                | K                               | A                              |                                                                   |
| 68. - POWERSHARES NASDAQ INT (PNQI)                                                                                       |                                         |                                               |                                                 |                                           | Buy                                             | 02/01/16                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MCNAMEE, STEPHEN M.

Date of Report

01/06/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/04/16                | J                               | A                              |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/29/16                | J                               | A                              |                                                                   |
| 71.                                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 12/30/16                | J                               |                                |                                                                   |
| 72. -POWERSHARES DWA MOM PORT<br>(PUT)                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 08/09/16                | K                               |                                |                                                                   |
| 73.                                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/29/16                | K                               | A                              |                                                                   |
| 74. - POWERSHARES ETF DYN BUILDING<br>(PKB)                                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 12/02/16                | K                               |                                |                                                                   |
| 75. - POWERSHARES EXCH KBW PROP &<br>CASUALTY (KBWP)                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/02/16                | K                               |                                |                                                                   |
| 76.                                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 12/27/16                | J                               | A                              |                                                                   |
| 77. - SPDR INDEX SHS FDS (IPK)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/30/16                | J                               |                                |                                                                   |
| 78. MERRILL LYNCH - IRRR #1 (H)                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 79. -BANK OF AMERICA, NA - CASH<br>EQUIVALENT                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. - EATON VANCE FLOATING RATE<br>FUND (EIBLX)                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. - ISHARES BARCLAY AGENCY BOND<br>FUND (AGZ)                                                                             | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. - PIMCO FOREIGN BOND FUND<br>(PFBPX)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. - SPDR BARCLAYS 1-10 YEAR TIPS<br>ETF (TIPX)                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. - ALPS ALERIAN MLP ETF (AMLP)                                                                                           | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. - ISHARES 1-3 YEAR CREDIT BOND<br>ETF (CSJ)                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                         | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MCNAMEE, STEPHEN M.

Date of Report

01/06/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |          |   |   |  |  |  |  |  |
|---------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 86. - SPDR BARCLAYS CONVERTIBLE (CWB)       | B | Dividend | K | T |  |  |  |  |  |
| 87. -VANGUARD TOTAL STK MKT (VTI)           | B | Dividend | L | T |  |  |  |  |  |
| 88. -VANGUARD REIT ETF (VNQ)                | B | Dividend | K | T |  |  |  |  |  |
| 89. -VANGUARD FTSE ALL WORLD (VEU)          | A | Dividend | K | T |  |  |  |  |  |
| 90. -VANGUARD TOTAL BOND MKT (BND)          | A | Dividend | K | T |  |  |  |  |  |
| 91. -POWERSHARES PREFERRED (PGX)            | B | Dividend | K | T |  |  |  |  |  |
| 92. -VANGUARD TOTAL INTERNATL (BNDX)        | A | Dividend | K | T |  |  |  |  |  |
| 93. -ISHARES SHORT MATURITY (NEAR)          | A | Dividend | K | T |  |  |  |  |  |
| 94. -SECTOR SPDR UTILITIES (XLU)            | A | Dividend | K | T |  |  |  |  |  |
| 95. MERRILL LYNCH - IRRA #2 (H)             |   |          |   |   |  |  |  |  |  |
| 96. -BA CA N.A. - CASH EQUIVALENT           | A | Interest | K | T |  |  |  |  |  |
| 97. - BANK OF AMERICA, NA - CASH EQUIVALENT | A | Interest | M | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

MCNAMEE, STEPHEN M.

Date of Report

01/06/2018

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

2015 Part VII, Lines 31 through 34 were the same assets, lines 33 and 34 inadvertently had (formerly Pwrshares ETF) in the description; the ticker symbol was the same, PTH. The asset was sold 10/16/15.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MCNAMEE, STEPHEN M.

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01/06/2018

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHEN M. MCNAMEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
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Washington, D.C. 20544