

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Opperman, Daniel S.	2. Court or Organization Bankruptcy Eastern District MI	3. Date of Report 04/30/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 111 First Street Bay City, Michigan 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors Member and Treasurer, Vice Chair	Midland Center for the Arts
2. Board Member	College of Arts and Sciences, Eastern Michigan University
3. Member	Bankruptcy Appellate Panel
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	DowDuPont f/k/a The Dow Chemical Company - Salary and Bonus; Severance Benefits
2. 2017	DowDuPont f/k/a The Dow Chemical Company - Pension
3. 2017	Opperman Consulting LLC - Earnings
4. 2017	Orrick, Herrington & Satchliffe, L.L.P. - Consultant Fees
5. 2017	Isabella Bank Corporation - Director Fees

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Bar Association	07/27/17-07/29/17	Boyne Highlands, MI	Seminar	Transportation and Lodging
2. American Bankruptcy Institute Veteran's Day Consumer Conference	11/10/17	Troy, MI	Seminar	Transportation and Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank Accounts "(H)"									
2. Huntington Bank f/k/a First Merit Bank f/k/a Citizens Bank	A	Interest	K	T					
3. Comerica Bank	A	Interest	L	T					
4. Dow Chemical Credit Union	B	Interest	M	T					
5. Members First Credit Union	B	Interest	K	T					
6. E-Trade	A	Interest	L	T					
7. Raymond James	A	Interest	K	T					
8. Isabella Bank	A	Interest	M	T					
9. Certificates of Deposit "(H)"									
10. Members First Credit Union	B	Interest	L	T					
11. Dow Chemical Credit Union	B	Interest	L	T					
12. Mayville State Bank	A	Interest	K	T					
13. Isabella Bank	A	Interest	L	T					
14. Municipal Bonds "(H)"									
15. FLORIDA ST GO ULT	A	Interest	K	T					
16. MARYLAND ST GO ULT	B	Interest	L	T					
17. Muniyield Michigan Insured	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Muniyield Michigan Insured	A	Interest	J	T					
19. MICHIGAN ST Trunk Line	A	Interest	K	T					
20. MINNESOTA ST GO ULT	A	Interest	K	T					
21. NEW MEXICO ST GO ULT	A	Interest	K	T	Sold (part)	03/20/17	K	A	
22. OAKLAND CNTY MI	A	Interest	K	T					
23. OREGON ST GO ULT	A	Interest	K	T					
24. PENNSYLVANIA ST GO ULT	A	Interest	K	T					
25. SAGINAW MI TWP	A	Interest	K	T					
26. TEXAS ST GO ULT	A	Interest	K	T					
27. Treasury Bills	A	Interest	N	T					
28. WASHINGTON ST GO ULT	C	Interest	L	T					
29. Real Estate "(H)"									
30. Farmland, Millington, Michigan Acquired 04/13/89 for \$70,000		None	L	R					
31. Life Insurance "(H)"									
32. Farm Bureau Life Insurance	A	Interest	K	T					
33. Stock and Certificates Held as Tenants By Entirety "(H)"									
34. ATMOS Energy	A	Dividend	K	T					

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35. Citizens Communications	A	Dividend	J	T					
36. Chevron Corporation	B	Dividend	K	T					
37. CMS Energy	A	Dividend	K	T					
38. DowDuPont f/k/a The Dow Chemical Company	D	Dividend	L	T					
39. Great Plains Energy	A	Interest	J	T					
40. Highwoods Property	A	Dividend	J	T					
41. News Corp	A	Dividend	J	T					
42. 20th Century Fox	A	Dividend	J	T					
43. Northwestern Corp	A	Dividend	J	T					
44. XCEL Energy	A	Dividend	K	T					
45. Stocks and Bonds - Broker Account DSO and BLO "(H)"									
46. Adams Natural Resources Fund formerly Petroleum & Resources Corp.	A	Dividend	J	T					
47. Alliance Bernstein Int'l Growth	A	Dividend	K	T					
48. Ameriprise Insured Money Market	A	Interest	K	T					
49. Camden Property	A	Dividend	J	T					
50. Centrus Energy Corp.		None	J	T					
51. Equity Commonwealth		None	J	T					

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52. Essex Property	A	Dividend	J	T					
53. First Industrial Realty	A	Dividend	J	T					
54. Five Star Quality Care Inc.		None	J	T					
55. Golden Ocean Group, Ltd. f/k/a Knightsbridge Shipping		None	J	T					
56. Great Plains Energy	A	Dividend	J	T					
57. JBG Smith Properties	A	Dividend	J	T	Buy	07/18/17	J		
58. John Hancock Financial		None	J	T					
59. Nordic American Tanker	A	Dividend	J	T					
60. Royal Dutch Shell PLC	A	Dividend	J	T					
61. Southern Company	A	Dividend	J	T					
62. TECO Energy Inc.	A	Dividend			Sold	06/29/17	J	A	
63. Vornado Realty	A	Dividend	J	T					
64. Urban Edge Properties	A	Dividend	J	T					
65. Stocks and Certificates "(H)"									
66. DowDuPont f/k/a The Dow Chemical Company	D	Dividend	M	T					
67. Van Kampen Municipal Trust	A	Interest	J	T					
68. Restricted Stock - DowDuPont f/k/a The Dow Chemical Company		None	M	T					

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69. Restricted Stock - Isabella Bank Corporation	B	Dividend	K	T					
70. Limited Liability Company Units - SRO "(H)"									
71. Opperman Consulting LLC	E	Dividend	L	T					
72. Rowley Family Properties, LLC		None	L	W					
73. Stocks and Bonds - Broker Accounts IRA SRO "(H)"									
74. Acuity Brands, Inc.	A	Dividend			Sold (part)	02/09/17	K	B	
75.					Sold	04/21/17	K	B	
76. Akamai Tech. Inc.		None			Sold	07/28/17	K	A	
77. Allergan Inc	A	Dividend			Sold	06/05/17	K	D	
78. Amazon.com Inc.		None	L	T	Sold (part)	04/05/17	J	C	
79.					Sold (part)	11/28/17	K	D	
80. American Tower Corp.	B	Dividend	L	T	Sold (part)	05/17/17	J	C	
81.					Sold (part)	09/19/17	L	E	
82.					Buy (add'l)	12/04/17	L		
83. A.O. Smith Corp.	B	Dividend	K	T	Sold (part)	01/04/17	K	D	
84.					Sold (part)	05/17/17	J	C	
85.					Buy (add'l)	07/12/17	J		

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86.					Sold (part)	10/23/17	J	A	
87. Apple Computer Inc.	A	Dividend			Sold	02/09/17	J	A	
88. Bristol-Myers Squibb	A	Dividend			Sold	01/11/17	K	A	
89. Carter's Inc.	A	Dividend	K	T					
90. Celgene Corp.		None	L	T	Buy (add'l)	11/01/17	J		
91.					Buy (add'l)	11/02/17	J		
92.					Sold (part)	11/29/17	K	A	
93. Charles Schwab Corp.	A	Dividend	L	T	Buy	01/04/17	L		
94.					Buy (add'l)	02/10/17	K		
95.					Buy (add'l)	04/20/17	J		
96.					Sold (part)	10/10/17	L	C	
97.					Buy (add'l)	11/27/17	K		
98.					Sold (part)	12/15/17	K	B	
99. Dollar Tree Inc		None	K	T	Buy (add'l)	04/20/17	J		
100.					Sold (part)	09/14/17	K	B	
101. DowDuPont f/k/a Dow Chemical Co	B	Dividend	L	T					
102. Edwards Lifesciences		None	L	T	Sold (part)	07/28/17	J	C	

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103.					Buy (add'l)	09/28/17	J		
104. EPAM Systems		None	L	T	Buy	05/15/17	K		
105.					Buy (add'l)	05/25/17	K		
106.					Buy (add'l)	06/05/17	K		
107.					Buy (add'l)	07/12/17	J		
108.					Sold (part)	11/15/17	K	C	
109.					Buy (add'l)	12/15/17	J		
110. Facebook Inc.		None	L	T	Sold (part)	05/15/17	J	C	
111.					Sold (part)	07/28/17	J	D	
112.					Buy (add'l)	09/28/17	J		
113. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	L	T	Buy (add'l)	03/31/17	K		
114.					Sold (part)	05/17/17	K	B	
115.					Sold (part)	10/23/17	J	B	
116. Guidewire Software	A	Dividend	K	T	Buy	06/29/17	K		
117.					Sold (part)	09/08/17	K	B	
118.					Buy (add'l)	11/02/17	K		
119. Henry Schein		None			Sold (part)	09/05/17	K	D	

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120.					Sold	11/20/17	K	D	
121. Illumina Inc.		None	L	T	Buy	08/08/17	K		
122.					Buy (add'l)	08/21/17	K		
123. Intuitive Surgical Inc.		None	K	T	Sold (part)	02/09/17	K	A	
124.					Sold (part)	03/13/17	K	C	
125.					Buy (add'l)	09/25/17	K		
126. KAR Auction Services Inc.	B	Dividend	K	T	Sold (part)	06/29/17	K	A	
127. LKQ Corp.		None	L	T	Buy (add'l)	11/03/17	K		
128. Omnicell Inc.		None	L	T	Sold (part)	05/04/17	J	B	
129.					Sold (part)	09/08/17	J	C	
130.					Buy (add'l)	09/22/17	K		
131. O'Reilly Automotive Inc		None			Sold (part)	05/03/17	K	E	
132.					Sold	05/31/17	K	E	
133. Proofpoint, Inc.		None	L	T	Buy	11/15/17	K		
134.					Buy (add'l)	11/28/17	K		
135.					Buy (add'l)	12/15/17	J		
136. RBC Money Market	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Red Hat Inc.		None	L	T	Buy	04/04/17	K		
138.					Buy (add'l)	04/20/17	K		
139.					Buy (add'l)	06/28/17	K		
140.					Sold (part)	09/05/17	J	A	
141.					Sold (part)	10/04/17	K	D	
142.					Sold (part)	11/27/17	J	C	
143. Salesforce.com		None	L	T	Buy	07/28/17	K		
144.					Buy (add'l)	08/24/17	K		
145.					Buy (add'l)	09/14/17	K		
146.					Buy (add'l)	09/28/17	J		
147.					Sold (part)	11/27/17	K	B	
148.					Buy (add'l)	12/15/17	J		
149. Signature Bank NY		None	L	T	Buy (add'l)	04/20/17	K		
150. Splunk Inc.		None	L	T	Buy	09/05/17	K		
151.					Buy (add'l)	09/14/17	K		
152.					Sold (part)	11/27/17	K	C	
153.					Buy (add'l)	12/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SPS Commerce		None			Sold	09/22/17	K	A	
155. Starbucks	A	Dividend	K	T	Sold (part)	08/09/17	K	D	
156. Ulta Salon		None	L	T	Buy (add'l)	09/08/17	K		
157.					Sold (part)	09/14/17	K	C	
158.					Buy (add'l)	11/27/17	J		
159. The Ultimate Software Group		None	K	T	Sold (part)	01/09/17	J	A	
160.					Sold (part)	08/10/17	K	A	
161. Verisk Analytics		None			Sold	06/01/17	K	C	
162. Stocks and Bonds - Broker Accounts IRA DSO "(H)"									
163. Acuity Brands Inc.	A	Dividend			Sold (part)	02/09/17	J	A	
164.					Sold	04/25/17	J	A	
165. Akamai Tech Inc.		None			Buy	01/03/17	J		
166.					Sold	07/28/17	J	A	
167. Allergan formerly Actavis		None			Buy	02/14/17	J		
168.					Sold	06/05/17	J	A	
169. Amazon.com Inc.		None	J	T					
170. American Tower Corp.	A	Dividend	J	T	Sold (part)	08/21/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	09/19/17	J	A	
172.					Buy (add'l)	12/15/17	J		
173. A.O. Smith Corp.	A	Dividend	J	T	Sold (part)	01/03/17	J	A	
174.					Buy (add'l)	02/14/17	J		
175.					Sold (part)	10/23/17	J	A	
176. Carter's Inc.	A	Dividend	J	T					
177. Celgene Corp.		None	J	T	Sold (part)	11/16/17	J	A	
178. Charles Schwab Corp.	A	Dividend	J	T	Buy	01/03/17	J		
179.					Buy (add'l)	01/04/17	J		
180.					Buy (add'l)	02/10/17	J		
181.					Sold (part)	10/10/17	J	A	
182.					Buy (add'l)	11/27/17	J		
183. CHEVRON CORP.	A	Dividend	J	T					
184. Dollar Tree Inc.		None	J	T	Sold (part)	09/14/17	J	A	
185. Dreyfus Money Market	A	Dividend	J	T					
186. Edwards Lifesciences		None	J	T	Sold (part)	07/28/17	J	A	
187. EPAM Systems		None	J	T	Buy	05/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	05/25/17	J		
189.					Buy (add'l)	06/05/17	J		
190.					Sold (part)	11/15/17	J	A	
191. Facebook Inc.		None	J	T	Sold (part)	07/28/17	J	A	
192. FEDEX CORP.		None	J	T					
193. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	J	T					
194. Guidewire Software	A	Dividend	J	T	Buy	06/29/17	J		
195.					Sold (part)	09/08/17	J	A	
196. Henry Schein		None			Sold (part)	09/05/17	J	A	
197.					Sold	11/20/17	J	A	
198. Illumina Inc.		None	J	T	Buy	08/08/17	J		
199.					Buy (add'l)	08/21/17	J		
200. INTEL CORP.	A	Interest			Sold	10/23/17	J	A	
201. Intuitive Surgical Inc.		None	J	T	Sold (part)	02/09/17	J	A	
202.					Buy (add'l)	10/17/17	J		
203. JOHNSON & JOHNSON	A	Dividend	J	T					
204. KAR Auction Services Ind.	A	Dividend	J	T	Sold (part)	06/29/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. LKQ Corp.		None	J	T	Sold (part)	04/25/17	J	A	
206.					Buy (add'l)	09/25/17	J		
207.					Buy (add'l)	11/02/17	J		
208. MCDONALD'S CORP. BONDS	A	Interest	J	T					
209. MCKESSON CORP.	A	Dividend	J	T					
210. Omnicell Inc.		None	J	T	Sold (part)	05/04/17	J	A	
211.					Buy (add'l)	09/22/17	J		
212. O'REILLY AUTOMOTIVE CORP.	A	Dividend	J	T					
213. O'Reilly Automotive Inc.		None			Sold (part)	05/03/17	J	A	
214.					Sold	05/31/17	J	A	
215. Proofpoint, Inc.		None	J	T	Buy	11/16/17	J		
216.					Buy (add'l)	11/28/17	J		
217. Red Hat Inc.		None	J	T	Buy	04/04/17	J		
218.					Buy (add'l)	04/20/17	J		
219.					Buy (add'l)	06/28/17	J		
220.					Sold (part)	10/04/17	J	A	
221. Salesforce.com		None	J	T	Buy	07/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	08/24/17	J		
223.					Buy (add'l)	09/14/17	J		
224. Signature Bank NY		None	J	T	Buy	04/25/17	J		
225. Splunk Inc.		None	J	T	Buy	09/05/17	J		
226.					Buy (add'l)	09/14/17	J		
227.					Sold (part)	11/27/17	J	A	
228.					Buy (add'l)	12/15/17	J		
229. SPS Commerce		None			Buy	01/03/17	J		
230.					Buy (add'l)	02/14/17	J		
231.					Sold	09/22/17	J	A	
232. Starbucks Corp.	A	Dividend	J	T	Sold (part)	08/09/17	J	A	
233. STRYKER COPR.	A	Dividend	J	T					
234. Ulta Salon		None	J	T	Sold (part)	04/25/17	J	A	
235.					Buy (add'l)	09/08/17	J		
236.					Sold (part)	09/14/17	J	A	
237. The Ultimate Software Group		None	J	T	Sold (part)	01/09/17	J	A	
238. Verisk Analytics		None			Sold	06/01/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. WALT DISNEY CO.	A	Dividend	J	T	Buy	11/06/17	J		
240. WEYERHAEUSER CO.	A	Dividend	J	T					
241. Broker Account - DSO and SRO "(H)"									
242. Abbott Lab	A	Dividend	K	T	Buy (add'l)	06/20/17	J		
243.					Buy (add'l)	09/29/17	J		
244. Accenture LTD C1 A	A	Dividend	K	T	Sold (part)	03/03/17	J	C	
245. Allergan f/k/a Actavis		None			Sold	06/23/17	K	B	
246. Amphenol Corp. C1 A	A	Dividend	K	T	Buy (add'l)	09/19/17	K		
247. Apple Computer Inc.	A	Dividend	J	T	Sold (part)	04/03/17	J	D	
248.					Sold (part)	05/17/17	J	B	
249.					Sold (part)	06/09/17	K	D	
250. AptarGroup Inc.	A	Dividend	K	T	Buy	06/07/17	K		
251.					Buy (add'l)	06/20/17	J		
252.					Buy (add'l)	12/06/17	J		
253. Avago Technologies Ltd.	A	Dividend			Sold	07/20/17	K	B	
254. Ball Corp.	A	Dividend	K	T	Buy	03/03/17	K		
255.					Buy (add'l)	04/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	12/06/17	J		
257. Baxter Int'l Inc.	A	Dividend	K	T	Sold (part)	05/17/17	J	B	
258. Becton Dickinson	A	Dividend	K	T	Sold (part)	01/06/17	K	D	
259.					Buy (add'l)	04/03/17	K		
260. Black Rock Inc.	A	Dividend	L	T	Buy (add'l)	03/03/17	K		
261. Boeing Co.	A	Dividend	K	T	Buy	07/14/17	K		
262. Broadcom Ltd.	A	Dividend	K	T	Sold (part)	05/19/17	K	D	
263.					Buy (add'l)	09/19/17	K		
264. Canadian Nat'l Railway	A	Dividend	K	T					
265. Check Point Software		None			Sold	07/20/17	K	D	
266. Chevron Corp.	B	Dividend	L	T					
267. China Biologic Products		None			Sold (part)	08/08/17	J	A	
268.					Sold	11/14/17	J	A	
269. Colgate-Palmolive Co.	A	Dividend	J	T					
270. Comcast Corp	A	Dividend			Sold (part)	05/17/17	J	A	
271.					Sold (part)	09/07/17	K	C	
272.					Sold	11/03/17	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Costco Wholesale	A	Dividend	K	T	Buy (add'l)	03/03/17	K		
274.					Sold (part)	07/14/17	K	C	
275.					Buy (add'l)	09/29/17	K		
276. CVS Corp.	A	Dividend	K	T					
277. Dassault Systems S.A.	A	Dividend	K	T	Sold (part)	04/06/17	K	B	
278. DBS Group	A	Dividend	J	T	Buy	09/26/17	J		
279. Diageo PLC ADR	A	Dividend	K	T	Buy	08/14/17	J		
280.					Buy (add'l)	08/31/17	J		
281. Discover Financial	A	Dividend	K	T	Buy (add'l)	07/14/17	J		
282. Enterprise Prod	A	Dividend	J	T					
283. Estee Lauder C1A	A	Dividend	K	T	Buy	08/22/17	K		
284.					Buy (add'l)	09/06/17	J		
285.					Buy (add'l)	09/19/17	J		
286. Exxon Mobil Corp.	A	Dividend			Sold	12/06/17	K	A	
287. Fastenal Co.	A	Dividend	K	T	Buy	07/27/17	J		
288. Fomento Eco Mexicano	A	Dividend	J	T	Buy	05/01/17	J		
289.					Buy (add'l)	09/14/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	12/13/17	J	A	
291. General Dynamics	A	Dividend	K	T					
292. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	L	T					
293. GRAND RAPIDS	A	Dividend	K	T	Buy	09/18/17	K		
294. Grupo Aeroportuario Cen-ADR	A	Dividend			Buy	04/11/17	J		
295.					Buy (add'l)	06/28/17	J		
296.					Sold	11/14/17	K	A	
297. Henkel AG & Co. KGaA	A	Dividend	J	T	Sold (part)	11/06/17	J	A	
298. Home Depot Inc.	A	Dividend	K	T	Sold (part)	05/17/17	K	C	
299.					Sold (part)	07/20/17	K	D	
300.					Buy (add'l)	09/19/17	K		
301.					Sold (part)	12/06/17	K	C	
302. Hormel Foods Corp.	A	Dividend			Sold	06/20/17	J	A	
303. Isabella Bank Corporation	D	Dividend	N	T	Buy (add'l)	04/17/17	J		
304. iShares FTSE/China 25	A	Dividend	K	T	Buy (add'l)	12/13/17	J		
305. iShares MSCI EAFE	A	Dividend	K	T	Buy (add'l)	08/31/17	J		
306.					Buy (add'l)	12/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. iShares MSCI Emerging	B	Dividend	K	T	Buy (add'l)	03/20/17	J		
308.					Buy (add'l)	08/31/17	J		
309.					Buy (add'l)	12/13/17	J		
310. iShares MSCI EU Financial	A	Dividend	K	T	Buy (add'l)	08/31/17	J		
311.					Buy (add'l)	12/13/17	J		
312. Japan Index	A	Dividend	K	T	Buy (add'l)	12/13/17	J		
313. JB Hunt Transport	A	Dividend	K	T	Buy	07/14/17	J		
314. JOHNSON & JOHNSON	B	Dividend	K	T	Sold (part)	01/03/17	J	A	
315.					Buy (add'l)	11/14/17	K		
316. Kinder Morgan Inc.	A	Dividend			Sold	11/14/17	J	A	
317. Marsh & McLennan	A	Dividend	K	T					
318. McCormick & Co.	A	Dividend	K	T					
319. Medtronic PLC	A	Dividend			Sold (part)	01/03/17	J	A	
320.					Sold	08/22/17	J	A	
321. Microsoft Corp.	B	Dividend	K	T	Sold (part)	09/22/17	K	D	
322.					Buy (add'l)	12/15/17	K		
323. Mondelez International	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. New Oriental Education SP ADR	A	Dividend	K	T	Sold (part)	05/15/17	J	C	
325.					Sold (part)	09/13/17	J	C	
326.					Sold (part)	10/09/17	K	D	
327.					Buy (add'l)	11/14/17	K		
328. Nike Inc.	A	Dividend			Sold	08/21/17	J	D	
329. Nippon Tel & Tel ADR	A	Dividend	K	T					
330. Omnicom Group Inc.	A	Dividend			Sold	11/14/17	K	A	
331. Oneok Partners LP	B	Dividend	K	T					
332. Pepsico Inc.	A	Dividend	K	T					
333. Prudential PLC	A	Dividend	K	T	Buy	04/11/17	K		
334. Reins Grp of America	A	Dividend	K	T					
335. Republic Services	A	Dividend	K	T	Sold (part)	05/17/17	J	C	
336. Rockwell Collins Inc.	A	Dividend			Buy	05/15/17	K		
337.					Buy (add'l)	05/24/17	J		
338.					Buy (add'l)	06/20/17	J		
339.					Sold (part)	08/17/17	K	C	
340.					Sold	09/06/17	K	D	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Ross Stores	A	Dividend	K	T	Sold (part)	06/20/17	K	D	
342.					Buy (add'l)	09/19/17	J		
343.					Buy (add'l)	11/20/17	J		
344. Royal Caribbean Cruises Ltd.	A	Dividend	K	T	Buy	08/18/17	J		
345.					Buy (add'l)	09/19/17	J		
346.					Buy (add'l)	11/02/17	J		
347. S&P 500 Trust	A	Dividend	K	T					
348. Schlumberger Ltd.	A	Dividend			Sold	11/14/17	J	A	
349. Shire Ltd. ADR	A	Dividend			Sold (part)	08/04/17	J	A	
350.					Sold	11/14/17	J	A	
351. Stryker Corp.	A	Dividend	K	T	Sold (part)	05/17/17	J	C	
352.					Buy (add'l)	12/06/17	K		
353. Svenska Cellulosa a/k/a Essity Aktiebolag		None	K	T	Sold (part)	03/21/17	J	B	
354.					Sold (part)	07/12/17	J	B	
355. Taiwan Semiconductor	A	Dividend	K	T	Sold (part)	05/15/17	J	B	
356.					Sold (part)	06/05/17	J	C	
357. Tata Motors Ltd.	A	Dividend			Buy (add'l)	09/14/17	J		

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358.					Sold	12/08/17	J	A	
359. Teekay Offshore	A	Dividend			Sold	05/15/17	J	A	
360. TELUS Corp.	B	Dividend	K	T	Buy (add'l)	12/06/17	J		
361. Toronto-Dominion Bank	A	Dividend			Sold	03/10/17	J	B	
362. Total System Services, Inc.	A	Dividend	K	T	Buy (add'l)	11/02/17	J		
363. Ulta Salon		None			Sold (part)	03/03/17	K	D	
364.					Sold (part)	04/03/17	J	D	
365.					Buy (add'l)	07/14/17	K		
366.					Sold	09/19/17	L	D	
367. Unilever NV	A	Dividend	K	T	Sold (part)	09/06/17	J	B	
368. UnitedHealth Group	A	Dividend	L	T	Sold (part)	02/17/17	L	E	
369.					Buy (add'l)	07/12/17	K		
370.					Buy (add'l)	09/19/17	K		
371.					Buy (add'l)	12/01/17	K		
372. Union Pacific Corp.	B	Dividend	L	T	Buy (add'l)	01/20/17	J		
373.					Buy (add'l)	03/03/17	J		
374.					Buy (add'l)	11/14/17	J		

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375. Verizon	A	Dividend			Sold	08/22/17	J	C	
376. Visa Inc.	A	Dividend	L	T					
377. West Pharmaceutical Services	A	Dividend	J	T					
378. Westinghouse Air Brake Tech.	A	Dividend			Buy	01/26/17	J		
379.					Buy (add'l)	07/12/17	K		
380.					Sold	11/14/17	K	A	
381. Wisdom Tree Europe	A	Dividend	K	T					
382. Wisdom Tree Japan	A	Dividend	J	T	Sold (part)	09/25/17	J	B	
383. WNS Holdings Ltd-ADR		None	K	T	Buy	08/04/17	J		
384.					Buy (add'l)	09/06/17	J		
385. WPP PLC	A	Dividend			Sold (part)	03/20/17	J	A	
386.					Sold (part)	04/24/17	J	A	
387.					Sold	12/13/17	J	A	
388. Zoetis Inc.	A	Dividend	K	T					
389. Retirement Funds "(H)"									
390. ABBEY Capital Futures		None	J	T	Buy (add'l)	08/28/17	J		
391. American Mutual Fund Class F2	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

04/30/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. AMG Southernsun U.S. Equity	A	Dividend	K	T	Buy (add'l)	07/20/17	J		
393.					Sold (part)	11/14/17	J	A	
394. AQR Diversified Arbitrage Fund	A	Dividend	J	T					
395. AQR Managed Futures Strategy Fund	A	Dividend	J	T	Buy (add'l)	08/28/17	J		
396. AQR Style Premium Alternative	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
397. Artisan International Fund	A	Dividend	J	T	Buy (add'l)	10/27/17	J		
398. ASG Global Alternatives Fund	A	Dividend	J	T					
399. Blackrock Event Driven Equity Fund	A	Dividend	J	T	Buy	11/14/17	J		
400. Boston Partners Long/Short Research Fund	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
401. Bristol Myers	A	Dividend	J	T					
402. Brown Advisory Growth Equity Fund	A	Dividend	K	T	Buy (add'l)	07/20/17	J		
403. Care Cap Properties	A	Dividend			Redeemed	08/17/17	J	A	
404. Causeway International	A	Dividend	K	T	Buy (add'l)	07/20/17	J		
405.					Buy (add'l)	10/27/17	J		
406. Chiron Capital Allocation Fund	A	Dividend	J	T	Buy	11/14/17	J		
407. Clearbridge Aggressive Growth Fund	A	Dividend	K	T					
408. CM Finance	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Credit Suisse Commodity Return Strategy Fund	A	Dividend	J	T					
410. Deutsche Global Real Estate	A	Dividend	J	T					
411. Disney Walt Company Com Disney	A	Dividend	J	T					
412. Dodge & Cox Income Fund	A	Dividend	K	T					
413. Dreyfus Global Real Return	A	Dividend	J	T	Buy (add'l)	08/28/17	J		
414. Dreyfus Treas Prime Cash	A	Dividend	L	T					
415. Europacific Growth Fund Class F1	A	Dividend	L	T	Sold (part)	10/27/17	J	A	
416. Exxon Mobil - IRA	A	Dividend	K	T					
417. Gateway Fund Class	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
418. Global Sachs Strategic Income Fund	A	Dividend	J	T					
419. Harding Loevner Emerging Markets		None	J	T	Sold (part)	10/27/17	J	A	
420. Heritage Cash Trust	A	Interest	K	T					
421. International Paper Co.	B	Dividend	K	T					
422. Ivy Asset Strategy Fund	A	Dividend	J	T					
423. iShares TR Core MSCI EAFE	A	Dividend	K	T	Buy (add'l)	07/20/17	J		
424.					Buy (add'l)	11/14/17	J		
425. iSharpe TR Core US AGGBD ET	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

04/30/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. iShares TR Min Vol USA	A	Dividend	K	T	Buy	11/14/17	K		
427. John Hancock Disciplined Value Fund	A	Dividend	K	T	Sold (part)	07/20/17	J	A	
428. John Hancock Global Absolute Return	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
429. JPMorgan Certificate of Deposit		None	K	T					
430. JPMorgan Value Advantage Fund	A	Dividend	K	T	Sold (part)	07/20/17	J	A	
431. Lazard Emerging Markets Equity Port	A	Dividend	J	T	Sold (part)	07/20/17	J	A	
432.					Sold (part)	10/27/17	J	A	
433. Locorr Market Trend	A	Dividend	J	T	Buy (add'l)	08/28/17	J		
434. Loomis Sayles Growth Fund	A	Dividend	K	T					
435. Metropolitan West Total Return Bond Fund	A	Dividend	K	T					
436. MFS International Growth Fund	A	Dividend	K	T	Sold (part)	07/20/17	J	A	
437.					Sold (part)	08/28/17	J	A	
438.					Sold (part)	10/27/17	J	A	
439. Neuberger Berman Long Short Fund	A	Dividend	J	T	Sold (part)	11/13/17	J	A	
440. Oakmark International	A	Dividend	J	T	Sold (part)	10/27/17	J	A	
441. Prudential High Yield Fund	A	Dividend	J	T	Sold (part)	07/10/17	J	A	
442. Raymond James Bank Deposit Program	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Sabra Healthcare	A	Dividend	J	T	Buy	08/17/17	J		
444. Starbucks	A	Dividend	J	T					
445. Templeton Global Bond Fund	A	Dividend	J	T					
446. T. Rowe Price - Latin America - IRA	A	Dividend	K	T					
447. T Rowe Price Small Cap Value Fund	A	Dividend	K	T	Sold (part)	11/14/17	J	A	
448. Vanguard Group - Energy Fund	B	Dividend	K	T					
449. Vanguard Index Funds S&P 500	A	Dividend	K	T	Sold (part)	11/14/17	K	A	
450. Vanguard Market Neutral	A	Dividend	J	T	Sold (part)	07/20/17	J	A	
451.					Buy (add'l)	08/28/17	J		
452. Victory Integrity Small Cap Value Fund	A	Dividend	J	T	Sold (part)	07/20/17	J	A	
453.					Sold (part)	11/14/17	J	A	
454. Victory Trivalent Intl	A	Dividend	J	T	Sold (part)	10/27/17	J	A	
455. Virtus Emerging Markets	A	Dividend	J	T					
456. Voya International Real Estate	A	Dividend	J	T					
457. Wells Fargo Advantage Absolute Return Fund	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
458.					Sold (part)	11/13/17	J	A	
459. Wells Fargo Advantage Intl	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Wells Fargo Certificate of Deposit		None	J	T					
461. Wells Fargo International Value Fund	A	Dividend	J	T	Buy	10/27/17	J		
462. Western Asset Total Return	A	Dividend	J	T	Buy	07/12/17	J		
463.					Sold (part)	08/28/17	J	A	
464. William Blair Marco	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
465. William Blair Macro Allocation Fund	A	Dividend	J	T					
466. Dow Chemical Retirement Health Care Assoc.		None	J	T					
467. Dow Chemical Elective Deferral Plan		None	J	T					
468. Freeland State Bank - Certificate of Deposit - IRA	A	Dividend	K	T					
469. YUM, Inc.	A	Dividend	J	T					
470. Auto Owners Insurance - Annuity	A	Interest	K	T					
471. SEP-IRA - SRO "(H)"									
472. Acuity Brands Inc.	A	Dividend			Sold (part)	02/09/17	J	A	
473.					Sold	04/25/17	J	A	
474. Akamai Tech Inc.		None			Sold	07/28/17	J	A	
475. Allergan		None			Sold	06/05/17	J	A	
476. Amazon.com Inc.		None	K	T	Sold (part)	11/28/17	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. American Tower Corp.	A	Dividend	J	T	Sold (part)	09/19/17	J	C	
478.					Buy (add'l)	12/15/17	J		
479. A.O. Smith Corp.	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
480. BNY Deposit	A	Dividend	J	T					
481. Bristol-Myers Squibb	A	Dividend			Sold	01/11/17	J	A	
482. Carter's Inc.	A	Dividend	J	T	Buy (add'l)	04/24/17	J		
483. Celegene Corp.		None	J	T					
484. Charles Schwab Corp.	A	Dividend	J	T	Buy	01/04/17	J		
485.					Buy (add'l)	03/03/17	J		
486.					Buy (add'l)	04/24/17	J		
487.					Sold (part)	10/10/17	K	B	
488.					Buy (add'l)	12/15/17	J		
489. Dollar Tree Inc.		None	J	T	Buy (add'l)	04/24/17	J		
490.					Sold (part)	09/14/17	J	A	
491. Edwards Lifesciences		None	K	T	Buy (add'l)	12/15/17	J		
492. EPAM Systems		None	K	T	Buy	05/15/17	J		
493.					Buy (add'l)	05/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	06/05/17	J		
495.					Sold (part)	11/15/17	J	A	
496. Facebook Inc.		None	J	T	Buy (add'l)	07/12/17	J		
497.					Sold (part)	08/15/17	J	B	
498. Google Inc. C1 a/k/a A/Alphabet C1 A		None	K	T	Sold (part)	10/23/17	J	B	
499. Guidewire Software	A	Dividend	J	T	Buy	06/29/17	J		
500.					Buy (add'l)	07/12/17	J		
501.					Sold (part)	09/08/17	J	A	
502.					Buy (add'l)	12/15/17	J		
503. Henry Schein		None			Sold (part)	09/05/17	J	A	
504.					Sold	11/20/17	J	A	
505. Illumina Inc.		None	J	T	Buy	08/08/17	J		
506.					Buy (add'l)	08/21/17	J		
507. Intuitive Surgical Inc.		None	J	T	Sold (part)	02/09/17	J	A	
508.					Sold (part)	03/13/17	J	A	
509.					Buy (add'l)	08/15/17	J		
510. KAR Auction Services Inc.	A	Dividend	J	T	Sold (part)	06/29/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. LKQ Corp.		None	J	T	Buy (add'l)	11/03/17	J		
512. O'Reilly Automotive Inc.		None			Buy (add'l)	04/24/17	J		
513.					Sold	05/31/17	J	A	
514. Omnicell Inc.		None	J	T	Sold (part)	09/08/17	J	A	
515.					Buy (add'l)	09/22/17	J		
516. Proofpoint, Inc.		None	J	T	Buy	11/15/17	J		
517.					Buy (add'l)	11/28/17	J		
518. Red Hat Inc.		None	J	T	Buy	04/04/17	J		
519.					Buy (add'l)	04/20/17	J		
520.					Buy (add'l)	04/24/17	J		
521.					Buy (add'l)	06/28/17	J		
522.					Sold (part)	10/04/17	J	B	
523. Salesforce.com		None	K	T	Buy	07/28/17	J		
524.					Buy (add'l)	08/24/17	J		
525.					Buy (add'l)	09/14/17	J		
526. Splunk Inc.		None	J	T	Buy	09/05/17	J		
527.					Buy (add'l)	09/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Buy (add'l)	09/28/17	J		
529.					Sold (part)	12/15/17	J	B	
530. SPS Commerce		None			Sold	09/22/17	J	A	
531. Signature Bank NY		None	J	T	Buy (add'l)	03/03/17	J		
532.					Buy (add'l)	04/24/17	J		
533.					Sold (part)	11/03/17	J	A	
534.					Buy (add'l)	12/15/17	J		
535. Starbucks Corp.	A	Dividend	J	T	Buy (add'l)	04/24/17	J		
536.					Sold (part)	08/09/17	J	A	
537. The Ultimate Software Group		None	J	T	Sold (part)	01/09/17	J	A	
538.					Sold (part)	08/10/17	J	A	
539. Ulta Salon		None	J	T	Buy (add'l)	07/12/17	J		
540.					Sold (part)	09/14/17	J	A	
541. Verisk Analytics		None			Sold	06/01/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel S. Opperman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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