

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vratil, Kathryn H.	2. Court or Organization District of Kansas	3. Date of Report 12/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 500 State Avenue Suite 529 Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Director	The Rehabilitation Institute Foundation
4.	Director	Federal Judges Association
5.	Trustee	Trust #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	AT&T Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	May 17-18	Washington, DC	Board of Directors meeting	Lodging and Subsistence
2. University of Miami Class Action Conference	December 1-2	Miami, Florida	Class Action Forum	Lodging and Subsistence
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	National Education	Student Loans	K
2.	Citibank NY State	Student Loans	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies (whole life)	B	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy (whole life)	C	Dividend	L	T					
3. Othologic Corp. (IRA)		None	J	T					
4. California Amplifier (IRA)		None	J	T					
5. iShares MSCI Emrg Mkt Fd Emerging	A	Dividend	J	T	Buy	06/29/16	J		
6.					Buy	12/29/16	J		
7. Schwab Cash Reserves	A	Dividend			Sold	12/14/16	J		
8. Schwab Cash Reserves (IRA)	A	Interest	J	T					
9. Schwab 1 Cash Acct.	A	Interest			Sold	12/14/16	J		
10. Schwab Cash Reserves Sweep Shares (Trust #1)	A	Interest	M	T					
11. Technology Select Sector SPDS a/k/a Sector	A	Dividend	K	T					
12. Vanguard Total Stock Mkt	A	Dividend			Sold (part)	03/09/16	J	C	
13.					Sold (part)	04/11/16	J	C	
14.					Sold (part)	06/01/16	J	C	
15.					Sold (part)	06/14/16	J	C	
16.					Sold (part)	07/12/16	J	C	
17.					Sold	10/05/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Schwab Bank	A	Interest			Sold	03/01/16	J		
19. Schwab Bank Deposit	A	Interest			Sold	12/16/16	J		
20. Commerce Bank (Trust #2)	A	Interest	J	T					
21. Cash-Edward Jones Account (Trust #3)		None			Sold	01/20/16	J		
22. Wyandotte County Kansas City Rev. Bonds 4.125% (Trust #3)	A	Interest			Sold	05/13/16	J		
23. Kansas St. Dev Fin. Auth. Rev. Bonds (Trust #3)	A	Interest			Sold	05/13/16	J		
24. Olathe KS Ser 218 GO Bonds (Trust #3)	A	Interest			Sold	05/13/16	J		
25. Wyandotte Tnty KS City KS Rev. Bonds (Trust #3)	A	Interest			Sold	05/13/16	J		
26. Invesco Gib 45 Div Stgy 2015-1 Unit Trust (Trust #3)	A	Dividend			Matured	04/06/16	J		
27. AT&T Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	B	
28. Berkshire Hathaway Inc. C1 B (Trust #3)		None			Sold	03/22/16	J	A	
29. Caterpillar Inc.(Trust #3)	A	Dividend			Sold	03/22/16	J	A	
30. Chevron Corp. (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
31. Coca Cola Co. (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
32. ConocoPhillips (Trust #3)	A	Dividend			Sold	03/22/16	J	B	
33. Express Scripts Hldg Co. (Trust #3)		None			Sold	03/22/16	J		
34. Exxon Mobil Corp (Trust #3)	A	Dividend			Sold	03/22/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. General Mills Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
36. Home Depot Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
37. IBM (Trust #3)	A	Dividend			Sold	03/22/16	K		
38. Illinois Tool Works Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	B	
39. JP Morgan Chase & Co. (Trust #3)	A	Dividend			Sold	03/22/16	J		
40. McDonalds Corp (Trust #3)	A	Dividend			Sold	03/22/16	J	B	
41. Medtronic PLC (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
42. Merck & Co Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
43. Microsoft Corp (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
44. Pfizer Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J		
45. Phillip Morris INTL Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	B	
46. Phillips 66 (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
47. Potash Corp Sask (Trust #3)	A	Dividend			Sold	03/22/16	J		
48. Principal Financial Group Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J		
49. Proctor & Gamble Co. (Trust #3)	A	Dividend			Sold	03/22/16	K	B	
50. US Bancorp (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
51. Walt Disney Co. (Trust #3)		None			Sold	03/22/16	J		

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52. Waste Management Inc. (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
53. Westar Energy (Trust #3)	A	Dividend			Sold	03/22/16	J	A	
54. Zoetis Inc. (Trust #3)		None			Sold	03/22/16	J		
55. 3M Co. (Trust #3)	A	Dividend			Sold	03/22/16	K	B	
56. Franklin Equity Income Fund A (Trust #3)		None			Sold	03/11/16	J		
57. Franklin Income Fund C1 A (Trust #3)	A	Dividend			Sold	03/11/16	K		
58. Franklin Mut Gbl Dscv Fd C1 A (Trust #3)		None			Sold	03/11/16	J		
59. Nuveen KS Muni Bond Fund C1 A (Trust #3)	A	Dividend			Sold	03/11/16	J	A	
60. Templeton Global Bond Fund A (Trust #3)	A	Dividend			Sold	03/11/16	K		
61. Energy Transfer Partners L.P. (Trust #3)	A	Dividend			Sold	03/22/16	J		
62. Ferrellgas Partners L.P. (Trust #3)	A	Dividend			Sold	03/22/16	J		
63. Bond Fund of America C1 C (IRA)	A	Dividend	J	T					
64. Capital World Grth & Incm C1 C (IRA)	A	Dividend	J	T					
65. Europacific Growth Fund C1 C (IRA)	A	Dividend	J	T					
66. Franklin Founding Fd Alloc C (IRA)	A	Dividend	J	T					
67. Growth Fund of America C1 C (IRA)	A	Dividend	J	T					
68. Investment Co of America C1 C (IRA)	A	Dividend	J	T					

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69. Lord Abbett Developing Grwth A (IRA)		None	J	T					
70. Washington Mutual Invs FD F1 (IRA)	A	Dividend	J	T					
71. Cash account-Edward Jones (IRA)		None	J	T					
72. Bank account-Charles Schwab (Trust #3)	A	Interest			Sold	11/15/16	M		
73. Schwab Deposit Account (X) (Trust #2)	A	Interest	K	T	Buy	02/10/16	J		
74. Bank of America (X) (Trust #3)	A	Interest	M	T	Buy	09/24/16	K		
75. Wyandotte ETC K 4.125% (X) (Trust #1)	A	Interest	J	T	Buy	05/13/16	J		
76. KS ST DEV FA 4.4% (X) (Trust #1)	A	Interest	J	T	Buy	05/13/16	J		
77. Olathe KS 4% 29 DB (X) (Trust #1)	A	Interest	J	T	Buy	05/13/16	J		
78. Wyandotte ETC KS 4.75% (X) (Trust #1)	A	Interest	J	T	Buy	05/13/16	J		
79. Edward Jones Cash Acct. (X) (Trust #1)	A	Interest			Buy	09/07/16	J		
80.	A	Interest			Sold	11/25/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn H. Vratil

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544