

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Atkins, Edward B.	2. Court or Organization E.D.Ky	3. Date of Report 05/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 110 Main Street Suite 201-B Pikeville, Kentucky 41501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

employee earnings, Pikeville Medical Center

2. 2017

employee earnings, Marshalls

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. A Common (Agilent Technologies, Inc.)		None	J	T					
2. AAPL common (Apple Inc.)	D	Dividend	N	T					
3. ADBE common (Adobe Systems Inc)		None	J	T					
4. ADP Common (Automatic Data Processing, Inc.)	A	Dividend	K	T					
5. AIG Common (American International Group, Inc.)		None	J	T					
6. AIG WS (Warrants)		None	J	T					
7. AMZN (Amazon.com Inc)		None	M	T					
8. BAC common (Bank of America)	A	Dividend	J	T					
9. BR (Broadridge Financial Solutions)	A	Dividend	J	T					
10. C common (Citigroup, Inc.)	A	Dividend	J	T					
11. CDK (CDK Global Inc.)	A	Dividend	J	T					
12. CC Chemours Co Com	A	Dividend	J	T					
13. CL Colgate Palmolive Co	A	Dividend	K	T					
14. COP (ConocoPhillips)	A	Dividend	K	T					
15. DD common (E.I. du Pont de Nemours and Company)	A	Dividend	K	T					
16. DOWDUPONT (DWDP)	A	Dividend	K	T	Spinoff (from line 15)	8/31/17	K		
17. DBD common (Diebold, Incorporated)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. ESRX (Express Scripts Holding Company)	A	Dividend	K	T					
19. FB Facebook	A	Dividend	K	T					
20. GE common (General Electric Company)	A	Dividend	J	T					
21. GILD (Gilead Sciences Inc.)	A	Dividend	J	T					
22. GOOG (Google)		None	M	T					
23. HSY common (The Hershey Company)	A	Dividend	J	T					
24. HPQ common (Hewlett-Packard Company)		None	J	T					
25. DXC Technology DXC		None	J	T	Spinoff (from line 27)	04/3/17	J		
26. MFGP Micro Focus International		None	J	T	Spinoff (from line 27)	09/01/17	J		
27. HPE Hewlett Packard Enterprise Co Com		None	J	T					
28. INTC common (Intel Corporation)	A	Dividend	J	T					
29. JNJ common (Johnson & Johnson)	C	Dividend	M	T					
30. JPM common (JPMorgan Chase & Co.)	A	Dividend	L	T					
31. KEYS (Keysight Technologies, Inc.)		None	J	T					
32. KMB (Kimberly-Clark Corporation)	B	Dividend	K	T					
33. KRFT common (Kraft Heinz Company)	A	Dividend	J	T					
34. LOW common (Lowe's Companies, Inc.)	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MDT common (Medtronic Plc)	B	Dividend	K	T					
36. MSFT common (Microsoft Corporation)	A	Dividend	K	T					
37. MMM common (3M Company)	A	Dividend	K	T					
38. MDLZ (Mondelez Intl Inc.)	A	Dividend	J	T					
39. NKE Nike	A	Dividend	K	T					
40. PG common (The Procter & Gamble Company)	B	Dividend	L	T					
41. PEP common (Pepsico, Inc.)	A	Dividend	K	T					
42. PNC common (the PNC Financial Services Group)	A	Dividend	K	T					
43. RTN common (Raytheon Company)	A	Dividend	K	T					
44. SJM common (The J.M Smucker Company)	A	Dividend	J	T					
45. SBUX Starbucks	A	Dividend	K	T					
46. TJX (The TJX Companies, Inc.)	A	Dividend	K	T					
47. TRMB common (Trimble Navigation Limited)		None	J	T					
48. UA (Under Armour, Inc.)		None	J	T	Sold (part)	10/2/17	J	A	
49. UAA (UnderArmour, Inc)		None	J	T	Sold (part)	10/2/17	J	A	
50. UNH common (UnitedHealth Group Incorporated)	A	Dividend	L	T					
51. VISA Inc (B)	A	Dividend	K	T					

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52. XOM common (Exxon Mobil Corporation)	B	Dividend	K	T					
53. YUM common (Yum! Brands, Inc.)	A	Dividend	L	T					
54. YUMC Yum China Holdings Inc	A	Dividend	K	T					
55. American Balanced Fund-529-B (not self directed)		None	L	T					
56. FDAXX cash account to FZBXX	B	Interest	O	T					
57. GABC (German American Bancorp common)	A	Dividend	J	T					
58. Nytis Exploration Co., (formerly Interstate Nat'l Gas Co.)	A	Royalty	J	W					
59. Citizens Bank, formerly Ky Nat'l Bank (savings account)	A	Interest	J	T					
60. ()Prudential Life Insurance Policy	A	Interest	K	T					
61. () FITB (Fifth Third Bancorp)	A	Dividend	J	T					
62. Aero-vision technologies, Hauppauge New York common		None	J	T					
63. Lincoln Alliance Program mutual funds (ret.acct).	C	Interest	K	T					
64. Harlan county real property Interest assessed at 3,333.00		None	J	S					
65. AGTHX (American Fund)	A	Dividend	K	T					
66. CWIGX (American Fund)	B	Dividend	M	T					
67. ANCFX (American Fund)	A	Dividend	L	T					
68. NEWFX (American Fund)	A	Distribution	K	T					

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69. SMCWX (American Fund)		None	K	T					
70. AMCPX (American Funds AMCAP A)	A	Dividend	M	T					
71. Community Trust Bank Cash Acct	A	Interest	K	T					
72. Land and Building in Scott Co, Kentucky Assess: 1,407,000	F	Rent	P1	S					
73. Springfield Ky EDL Dev bonds	A	Dividend	J	T					
74. KOC Life Ins.	A	Int./Div.	J	U					
75. KOC Life Ins.	A	Int./Div.	J	U					
76. KOC Life Ins	A	Int./Div.	J	U					
77.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Edward B. Atkins

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544