

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KOLLAR-KOTELLY, COLLEEN	2. Court or Organization United States District Court	3. Date of Report 5/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Robert Shuler Scholarship Memorial Fund
2.	Director	Historical Society of District of Columbia Circuit
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	D.C. Superior Court Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	D.C. Superior Court Retirement Fund	\$192,583.98
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	K
2.	Chase Bank	Credit Card	K
3.	U.S. Bank	Credit Card	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property [REDACTED]	E	Rent	M	W					
2. PNC National Bank		None	J	T					
3. Bank of America		None	J	T					
4. DSM Poseidon L.L.C.		None	K	U					
5. General Electric Com. St.	B	Dividend	K	T					
6. Schwab Money Market Fund	A	Dividend	K	T					
7. Sterling One Industrial LLC	D	Dividend	K	U					
8. Apache Corp. Com. St.	A	Dividend	K	T	Buy	10/09/15	J		
9. Pioneer Natural Resource Com. St.	A	Dividend	J	T					
10. Walt Disney Com. St.	A	Dividend	K	T					
11. Norfolk Southern Com. St.	A	Dividend	K	T					
12. Wilmington Trust bonds	B	Interest	K	T					
13. Dupont E.I. Nemour Com. St.	A	Dividend	K	T	Sold (part)	05/07/15	J	A	
14. Dupont E.I. Nemour Com. St.	A	Dividend	K	T	Sold (part)	10/05/15	J	A	
15. Dominion Resource Com. St.	A	Dividend			Sold	02/12/15	J	D	
16. Vanguard Corp. Bond	B	Interest	K	T					
17. HCP Inc. Com. St.	B	Dividend	K	T	Sold (part)	10/05/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Eaton Com. St.	B	Dividend			Sold	10/05/15	K	A	
19. Atlas Pipeline Partners Com. St.	B	Dividend			Merged (with line 38)	04/20/15	K		
20. DOW Chemical Com. St.	B	Dividend	K	T	Sold (part)	07/01/15	J	D	
21. Anadarko Petroleum Notes	B	Interest	K	T					
22. SPDR Barclays Capital Bond	C	Interest	K	T					
23. Eaton Vance Ltd. Notes	B	Interest	J	T					
24. Ishares Gold Trust Com. St.		None	J	T					
25. Jeffries Group Bonds	A	Interest	K	T					
26. Titan Internation Inc. Com. Stock	A	Dividend	K	T	Sold (part)	10/05/15	J	D	
27. Home Depot Com. Stock	A	Dividend	K	T	Sold (part)	05/07/15	J	B	
28. Home Depot Com. Stock	A	Dividend	K	T	Sold (part)	10/05/15	J	B	
29. Global X Funds Com. St.	A	Dividend	J	T					
30. Powershares Senior Loan Port Com. St.	A	Dividend	K	T					
31. United Health Group Com. St.	A	Dividend	K	T	Sold (part)	05/07/15	J	B	
32. United Health Group Com. St.	A	Dividend	K	T	Sold (part)	12/16/15	J	B	
33. Gilead Sciences Com. St.	A	Dividend	K	T	Buy (add'l)	05/07/15	J		
34. Bristol-Myers Squibb Com. Stock	A	Dividend	K	T	Sold (part)	03/05/15	K	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Apple Inc Com Stock	A	Dividend	K	T	Buy	08/03/15	K		
36. Nextera Energy Inc Com Stock	A	Dividend	K	T	Buy	08/06/15	K		
37. Chemours Co. Com Stock	A	Dividend	J	T	Spinoff (from line 13)	06/19/15	J		
38. Targa Resources Partners LP Com. St.		None			Sold (part)	03/04/15	J	A	
39. Targa Resources Partners LP Com. St.		None			Sold	07/01/15	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Section VII, Item 37 – Chemours Co. - Chemours Co. was created by DuPont Performance on June 19, 2015. Shareholders of Dupont E.J. Nemour received stock in the new company as a dividend.
2. Section VII, Item 19 – Atlas Pipeline Partners – and Items 38 & 39 – Targa Resources Partners – On March 4, 2015, Atlas Pipeline was acquired by Targa Resources. Stockholders of Atlas Pipeline Partners received stock in Targa Resources and Atlas Pipeline was dissolved.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ COLLEEN KOLLAR-KOTELLY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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