

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cavender, Jeffery W.	2. Court or Organization United States Bankruptcy Court (N.D.Ga.)	3. Date of Report 06/01/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 06/01/2018
7. Chambers or Office Address Richard B. Russell Federal Building 75 Ted Turner Drive, S.W. Suite 1270 Atlanta, Georgia 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Troutman Sanders LLP
2.	Director, Chair	Atlanta Bar Association Bankruptcy Section
3.	Member	Berry College Planned Giving Council
4.	Member	American Bankruptcy Institute Southeastern Workshop Advisory Board
5.	Member	University of Georgia Board of Visitors
6.	Trustee	Non-Family Insurance Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Agreement with former firm Troutman Sanders LLP relative to compensation paid for work performed 1/1/18 through 3/1/18 and return of Capital.
2. 2011	Troutman Sanders LLP Cash or Deferred Profit Sharing Plan
3. 2016	Troutman Sanders LLP Partners' Cash Balance Pension Plan
4. 2016	Troutman Sanders LLP Health Savings Plan
5. 2011	Troutman Sanders LLP Partnership Agreement

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Troutman Sanders LLP	\$686,864.00
2. 2016	Taylor, Bean & Whitaker Plan Trust	\$8,822.00
3. 2017	Troutman Sanders LLP	\$657,471.33
4. 2017	Taylor, Bean & Whitaker Plan Trust	\$1,061.68
5. 2018	Troutman Sanders LLP	\$93,184.43

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	MS Realty, LLC (wages)
2. 2018	MS Realty, LLC (wages)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Cash Accounts	A	Interest	N	T	Exempt				
2. Wells Fargo Bank Cash Accounts	A	Interest	M	T	Exempt				
3. IRA #1 (H)									
4. - American Funds AMCAP Fund-A	B	Dividend	L	T	Exempt				
5. - American Funds American Balanced Fund-A	B	Dividend	K	T	Exempt				
6. - American Funds Capital Income Builder-A	C	Dividend	L	T	Exempt				
7. - American Funds Capital World Growth and Income Fund-A	D	Dividend	L	T	Exempt				
8. - American Funds Fundamental Investors-A	E	Dividend	M	T	Exempt				
9. - American Funds The Growth Fund of America-A	D	Dividend	M	T	Exempt				
10. - American Funds New Perspective Fund-A	C	Dividend	L	T	Exempt				
11. - American Funds New World Fund-A	B	Dividend	L	T	Exempt				
12. - American Funds SMALLCAP World Fund-A	C	Dividend	L	T	Exempt				
13. - American Funds Washington Mutual Investors Fund-A	C	Dividend	K	T	Exempt				
14. IRA #2 (H)									
15. - American Funds Capital Income Builders	A	Dividend	J	T	Exempt				
16. - American Funds Capital World Growth and Income Fund	A	Dividend	J	T	Exempt				
17. - American Funds Fundamental Investors	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - American Funds The Growth Fund of America	A	Dividend	J	T	Exempt				
19. - American Funds New Perspective Fund	A	Dividend	J	T	Exempt				
20. - American Funds SMALLCAP World A	A	Dividend	J	T	Exempt				
21. Roth IRA #1 (H)									
22. - Fidelity Government Cash Reserves	A	Int./Div.	J	T	Exempt				
23. - ACI Worldwide Inc. Common Stock	A	Dividend	J	T	Exempt				
24. - Intel Corp. Common Stock	A	Dividend	J	T	Exempt				
25. Roth IRA #2 (H)									
26. -American Funds Capital World Growth & Income Fund A Shares	B	Dividend	K	T	Exempt				
27. SunTrust Bank Common Stock	A	Dividend			Exempt				
28. The CocaCola Company Common Stock	A	Dividend	J	T	Exempt				
29. Principal Financial Common Stock	A	Dividend	J	T	Exempt				
30. Troutman Sanders LLP Capital Account		None			Exempt				
31. Troutman Sanders LLP Cash Balance Pension Plan (H)									
32. -Vanguard Target Retirement 2035	D	Dividend			Exempt				
33. Troutman Sanders LLP Cash and Deferred Profit Sharing Plan (H)									
34. - Schwab Managed Retirement Target Fund 2035 IV	F	Dividend	N	T	Exempt				

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.	Troutman Sanders LLP Health Savings Account		None			Exempt			
36.	Guardian Whole Life Insurance Policy		None	L	T	Exempt			
37.	Metlife Whole Life Insurance Policy		None	K	T	Exempt			
38.	Webster Bank Health Savings Account		None	K	T	Exempt			
39.									
40.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions:

I resigned as a partner of Troutman Sanders LLP effective March 1, 2018.

I resigned as a member of the Berry College Planned Giving Council in February, 2018.

I resigned as member of the American Bankruptcy Institute Southeastern Workshop Advisory Board in February, 2018.

I have advised the settlors of the Non-Family Insurance Trust identified in Part I of my need to resign as Trustee of the trust consistent with Canon 4E and Advisory Opinion 96, and I am working with the settlors to effectuate a transition as soon as reasonably possible. Assets of the trust involve a whole life insurance policy, and neither I, my [REDACTED] nor any of my [REDACTED] (1) receives income from the trust, or (2) has a beneficial interest in principal or income from the trust. Therefore, there are no reportable assets in the Trust for purposes of Part VII of this Report. I receive no compensation for my service as trustee.

Part II. Agreements:

All compensation for services rendered while a partner of Troutman Sanders LLP has been paid and all Capital returned as of the date of this report.

My interest in the Troutman Sanders LLP Cash Balance Pension Plan was terminated in May, 2018 and all related funds have been transferred to IRA #1 identified in Part VII.

My interest in the Troutman Sanders LLP Health Savings Plan was terminated, and all funds are currently on deposit with Webster Bank as identified in Part VII.

Part III-A. Non-Investment Income.

Non-investment income from the Taylor, Bean & Whitaker Plan Trust represents distributions on a claim filed relative to severance benefits owed by a former employer who filed for relief under Chapter 11 of the Bankruptcy Code.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Cavender, Jeffery W.

Date of Report

06/01/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffery W. Cavender**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544