

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Haight, Jr., Charles S.                                                                                               | <b>2. Court or Organization</b><br><br>District Court, SDNY                                                                                                                                                                                                                              | <b>3. Date of Report</b><br><br>07/12/2017                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Senior United States District Judge                            | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>U.S. District Court<br>141 Church Street<br>New Haven, CT 06510                                                                                |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                     |                                  |
|---------------------|----------------------------------|
| 1. Advisory Trustee | American Scandinavian Foundation |
| 2.                  |                                  |
| 3.                  |                                  |
| 4.                  |                                  |
| 5.                  |                                  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

Haight, Jr., Charles S.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Bank of America Money Market                                                                                             | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Walt Disney Co.                                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Home Depot Inc.                                                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 09/29/16                | J                               | A                              |                                                                   |
| 4. Exxon Mobil Corp.                                                                                                        | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Berkshire Hathaway                                                                                                       |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Quest Diagnostics                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Conn St Gen Rev Bonds                                                                                                    | C                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Conn State Spc Tax Oblig Rev Bonds                                                                                       | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Capital One Savings Bank                                                                                                 | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. US Trust Co of NY Bank of America<br>Checking Account                                                                   |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Guilford Savings Bank                                                                                                   |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Columbia Select Large Cap. Fund                                                                                         |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. MasterCard Inc.                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 09/29/16                | J                               | A                              |                                                                   |
| 14. Manchester, CT GO Bonds                                                                                                 | C                                       | Interest                                      |                                                 |                                           | Matured                                         | 07/15/16                | M                               |                                |                                                                   |
| 15. Southington, CT GO Bonds (Non-Callable -<br>due 9/1/17 & 8/1/18)                                                        | D                                       | Interest                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Easton, CT GO Bonds                                                                                                     | C                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. West Hartford, CT GO Bonds                                                                                              | C                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                                                       |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000<br>J = More than \$50,000,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                                                       |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                                                       |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                              |   |          |   |   |                |          |   |   |  |
|------------------------------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. Regional School District 18 CT Bonds                                     | D | Interest | M | T |                |          |   |   |  |
| 19. Wethersfield, CT GO Bonds                                                | D | Interest | M | T |                |          |   |   |  |
| 20. TJX Cos., Inc.                                                           | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 21. Church & Dwight, Inc.                                                    | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 22. Hershey Co.                                                              | A | Dividend | K | T |                |          |   |   |  |
| 23. Cerner Corp. (erroneously marked Sold<br>instead of Sold (part) 4/23/15) |   | None     | J | T |                |          |   |   |  |
| 24. Hunt JB Trans Svcs. Inc.                                                 | A | Dividend | J | T |                |          |   |   |  |
| 25. Apple, Inc.                                                              | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 26. Southington, CT GO Bonds (Non-Callable -<br>due 8/1/18)                  | C | Interest | M | T |                |          |   |   |  |
| 27. Johnson & Johnson                                                        | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 28. LyondellBasell Industries                                                | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 29. Costco Wholesale Corp.                                                   | A | Dividend | K | T |                |          |   |   |  |
| 30. Gilead Sciences, Inc.                                                    | A | Dividend | J | T |                |          |   |   |  |
| 31. Priceline Group                                                          |   | None     | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 32. Amphenol Corp.                                                           | A | Dividend | K | T |                |          |   |   |  |
| 33. Regeneron Pharmaceuticals, Inc.                                          |   | None     | J | T |                |          |   |   |  |
| 34. Morgan Stanley                                                           | A | Dividend |   |   | Sold<br>(part) | 06/23/16 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |          |   |   |                |          |   |   |  |
|---------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 35.                                         |   |          |   |   | Sold           | 06/23/16 | K | A |  |
| 36. Salesforce.com                          |   | None     | K | T | Buy<br>(add'l) | 11/08/16 | J |   |  |
| 37. Metro-West T/R BD                       | E | Interest |   |   | Redeemed       | 02/05/16 | L | A |  |
| 38. Autozone, Inc.                          |   | None     | K | T |                |          |   |   |  |
| 39. Starbucks                               | A | Dividend | K | T |                |          |   |   |  |
| 40. Tesla Motors                            |   | None     | J | T |                |          |   |   |  |
| 41. McKesson Corp.                          | A | Dividend |   |   | Sold<br>(part) | 09/29/16 | J |   |  |
| 42.                                         |   |          |   |   | Sold           | 11/08/16 | K |   |  |
| 43. Zoetis, Inc.                            | A | Dividend | K | T |                |          |   |   |  |
| 44. Danaher Corp.                           | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 45. Facebook, Inc.                          |   | None     | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 46. Microsoft Corp.                         | B | Dividend | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 47. Verizon                                 | A | Dividend | K | T |                |          |   |   |  |
| 48. Columbia CT Intermediate Muni Bond Fund | D | Interest | M | T |                |          |   |   |  |
| 49. Amazon.com                              |   | None     | K | T | Sold<br>(part) | 09/29/16 | J | A |  |
| 50. Alphabet Inc.                           |   | None     | K | T |                |          |   |   |  |
| 51. Lauder Estee Cos. Inc.                  | A | Dividend | K | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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|                                 |   |          |   |   |                |          |   |   |  |
|---------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 52. BB & T Corp.                | A | Dividend | K | T | Sold<br>(part) | 09/29/16 | J |   |  |
| 53. Ishares Russell 1000 Growth | A | Dividend |   |   | Sold           | 11/22/16 | K | B |  |
| 54. Allergan PLC                |   | None     |   |   | Buy<br>(add'l) | 01/20/16 | J |   |  |
| 55.                             |   |          |   |   | Sold<br>(part) | 09/29/16 | J |   |  |
| 56.                             |   |          |   |   | Sold<br>(part) | 09/30/16 | J |   |  |
| 57.                             |   |          |   |   | Sold<br>(part) | 11/08/16 | J |   |  |
| 58.                             |   |          |   |   | Sold           | 11/08/16 | J |   |  |
| 59. Stericycle Inc.             |   | None     |   |   | Buy            | 04/08/16 | J |   |  |
| 60.                             |   |          |   |   | Buy<br>(add'l) | 04/08/16 | J |   |  |
| 61.                             |   |          |   |   | Buy<br>(add'l) | 04/11/16 | J |   |  |
| 62.                             |   |          |   |   | Buy<br>(add'l) | 04/11/16 | J |   |  |
| 63.                             |   |          |   |   | Sold<br>(part) | 09/29/16 | J |   |  |
| 64.                             |   |          |   |   | Sold<br>(part) | 09/29/16 | J |   |  |
| 65.                             |   |          |   |   | Sold           | 09/30/16 | J |   |  |
| 66. CVS Health Corp.            |   | None     |   |   | Buy            | 09/29/16 | J |   |  |
| 67.                             |   |          |   |   | Buy<br>(add'l) | 09/29/16 | J |   |  |
| 68.                             |   |          |   |   | Buy<br>(add'l) | 09/30/16 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

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| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 11/08/16                | J                               |                                |                                                                   |
| 70. Palo Alto Networks, Inc.                                                                                                |                                         | None                                          | J                                               | T                                         | Buy                                             | 04/08/16                | J                               |                                |                                                                   |
| 71. Charles Schwab Corp.                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/23/16                | J                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/23/16                | J                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/23/16                | J                               |                                |                                                                   |
| 74. Celgene Corp.                                                                                                           |                                         | None                                          | J                                               | T                                         | Buy                                             | 09/29/16                | J                               |                                |                                                                   |
| 75. EOG Resources Inc.                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/29/16                | J                               |                                |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/30/16                | J                               |                                |                                                                   |
| 77. Intercontinental Exchange Inc.                                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/29/16                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/30/16                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/08/16                | J                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/08/16                | J                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/08/16                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/09/16                | J                               |                                |                                                                   |
| 83. Jacobs Engineering Group Inc.                                                                                           |                                         | None                                          | K                                               | T                                         | Buy                                             | 11/22/16                | K                               |                                |                                                                   |
| 84. Raytheon Co.                                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 11/22/16                | J                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/22/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                   |   |          |   |   |                |          |   |  |  |
|---------------------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 86.                                               |   |          |   |   | Buy<br>(add'l) | 11/23/16 | J |  |  |
| 87. Columbia AMT-Free Conn. Inter. Muni Bond Fund | D | Interest | M | T | Buy            | 12/05/16 | L |  |  |
| 88. Vanguard Small-Cap. ETF                       | B | Dividend | L | T | Buy            | 12/02/16 | L |  |  |
| 89. Fortive Corp.                                 | A | Dividend | J | T | Buy            | 12/02/16 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 5, line 23 (Cerner Corp.) - Erroneously marked on 2015 FDR (line 32) as "Sold" (in full) instead of "Sold (part)" on 4/23/2015 so Cerner remains on 2016 FDR in its prior place on list of Investments held

Part VII, page 9, line 89 (Fortive Inc.) - Date of purchase to be confirmed with financial advisor and revised/amended if necessary.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haight, Jr., Charles S.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles S. Haight, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544