

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Emerson, George W.	2. Court or Organization U.S. Bankruptcy Court, WDTN	3. Date of Report 04/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Suite 675, One Memphis Place 200 Jefferson Avenue Memphis, Tennessee 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Delta Materials Handling

2. 2013

The Costa Company, LLC

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Com (BAC)	A	Dividend	J	T					
2. Berkshire Hathaway Inc Del Cl B New (BRK B)		None	K	T					
3. Central Secs Corp (CET)	C	Dividend	K	T					
4.	C	Distribution							
5. Dodge & Cox Stock Fund (DODGX)	A	Dividend	L	T					
6. Fidelity Advisor Strategic Income (FSRIX)	A	Dividend	J	T					
7.	A	Distribution							
8. Fidelity Advisor New Insights Fund Class 1 (FINSX)	C	Distribution	K	T					
9. Marsico Focus Fund (MFOCX)		None			Sold	03/27/13	K	D	
10. Powershares QQQ Tr (QQQ)	A	Dividend	K	T					
11. Standard & Poors Depository Receipts (SPY)	A	Dividend	K	T					
12. Sector SPDR TR SHS BEN INT Technology (XLK)	A	Dividend	J	T					
13. Capital One 360, formerly ING Direct	A	Interest			Closed	04/02/13	J		
14. Pershing Government Account (Money Market)		None	J	T					
15. Carmax Inc. Com (KMX)		None	K	T					
16. GTX Inc. Del Com (GTXI)		None	J	T					
17. Morgan Stanley Asia Pacific FD Inc. (APF)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Petroleum & Res. Corp. (PEO)	A	Dividend	K	T					
19.	A	Distribution							
20. Cemex A B DE C V Sponsor ADR New Rep (CX)		None			Sold	02/11/13	J		
21. Fidelity Advisor Mid Cap II Fund (FIMX)	B	Distribution	J	T					
22. SPDR Index SHA FDS MSCI EX US ETF (CWI)	A	Dividend	K	T					
23. Yum Brands Inc com (YUM)	A	Dividend	K	T					
24. Market Vectors ETF TR Agribusiness ETF (MOO)	A	Dividend	K	T					
25. Guggenheim Enhanced Equity Strategy FD Com (GGE)		None			Sold	01/18/13	J	C	
26. Wisdom TR World EX US Growth FD (DNL)	A	Dividend	K	T					
27. Blackrock Income Trust Inc (BKT)	B	Dividend	K	T					
28. Pepsico Inc (PEP)	A	Dividend	K	T					
29. Wells Fargo & Co New Com (WFC)	A	Dividend	K	T					
30. Fedex Corp Com (FDX)	A	Dividend	K	T					
31. Royce Value Tr Inc Com (RVT)	B	Dividend	K	T					
32. Thornburg International (TGVIX)	A	Dividend	K	T					
33. Wisdomtree Tr Emerg Mkts LOC (ELD)	A	Dividend			Sold	08/21/13	J		
34. Prudential Short-Term Corp. Bond (PIFZX)	A	Dividend	J	T					

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35. Express Scripts Hldg Co Com (ESRX)		None	K	T					
36. Vanguard Index FDS Vanguard Value ETF (VTV)	A	Dividend	K	T	Buy	01/18/13	K		
37. Vanguard Index FDS Vanguard Growth ETF (VUG)	A	Dividend	K	T	Buy	03/27/13	K		
38. TransAmerica Emerging Markets (EMTIX)	A	Dividend	J	T	Buy	08/22/13	J		
39. Royal Global Value TR INC COM (RGT)	B	Dividend	J	T	Spinoff (from line 31)	10/23/13	J		
40. Paragon Affinity Money Mkt Savings	B	Interest	M	T	Open	02/26/13	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ George W. Emerson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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