

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GUIN, J. FOY, JR.	2. Court or Organization U.S.DISTRICT COURT, N.D. ALA.	3. Date of Report 07/03/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1729 FIFTH AVENUE NORTH SUITE 918 BIRMINGHAM, ALABAMA 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1/3 interest in lot located in Haleyville, Ala.	A	Rent	J	W					
2. Regions Bank (checking)	A	Interest	J	T					
3. Regions Bank (checking)	A	Interest	J	T					
4. ExxonMobil Corp com	E	Dividend	P1	T					
5. AT&T	C	Dividend	L	T					
6. FMI Funds	A	Dividend	J	T					
7. IRA ACCT. A	B	Div & int	K	T					
8. -Pershing Govt Acct (Money market fund)									
9. -Teco Energy Inc com.					Sold	03/30/11	J	A	
10. -Regions Financial Corp com									
11. -Walgreen Cod					Sold	05/11/11	J	A	
12. -Darden Restaurants, Inc									
13. -Molex, Inc									
14. -Procter & Gamble Co com					Buy	04/12/11	J		
15. -Waste Management, Inc com					Buy	11/04/11	J		
16. IRA ACCT. B	C	Div & Int	M	T					
17. -Applied Materials, Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Pershing Govt Fund (Money market fund)									
19. -Lowes Cos, Inc com									
20. -IBM					Sold	01/27/11	J	A	
21. -Arès Cap Corp									
22. -Masco Corp					Sold	04/21/11	J	A	
23. -Genuine Parts Co					Distributed	12/09/11	K		Trans to brokerage acct #1
24. -Intel Corp									
25. -Paychex, Inc					Sold	03/03/11	J	A	
26. -Pepsico, Inc									
27. -Sonoco Peoducts Co									
28. -Qualcomm, Inc					Sold	06/01/11	J	A	
29. -Abbott Labs									
30. -Raytheon Co									
31. -Medtronic, Inc									
32. -V F Corp					Sold	05/27/11	J	A	
33. -Avon Products, Inc					Buy	09/14/11	J		
34. -GAP, Inc					Buy	02/17/11	J		

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35. -GAP, Inc					Sold	10/06/11	J	A	
36. -Disney Walt Co Disney com					Buy	09/16/11	J		
37. -Dow Chem Co					Buy	06/20/11	J		
38. -McDonalds Corp					Buy	02/04/11	J		
39. -McDonalds Corp					Sold (part)	09/14/11	J	A	
40. -Thompson Reuters Corp com					Buy	11/09/11	J		
41. -Walgreen Co					Buy	08/23/11	J		
42. IRA ACCT. C	A	Div & Int	K	T					
43. -Pershing Govt Acct (Money market fund)									
44. -IBM					Sold	01/27/11	J	A	
45. -V F Corp					Sold	05/27/11	J	A	
46. -Pepsico, Inc									
47. -Pepsico, Inc					Buy (add'l)	01/31/11	J		
48. -Lowes Cos, Inc					Sold (part)	12/06/11	J	A	
49. -Lowes Cos, Inc					Buy (add'l)	08/19/11	J		
50. -Intel, Corp					Buy	05/06/11	J		
51. -Walgreen Co					Buy	08/23/11	J		

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52. BROKERAGE ACCOUNT #1									
53. -Barrick Gold com	A	Dividend	J	T					
54. -Abbott Laboratories com.	A	Dividend	K	T					
55. -Hewlett-Packard, Inc com	A	Dividend	J	T					
56. -Pepsico Inc com	A	Dividend	K	T					
57. -Regions Financial Corp	A	Dividend	J	T	Sold (part)	05/27/11	J	A	
58. -Regions Financial Corp					Buy (add'l)	04/21/11	J		
59. -PPG Industries com		None			Sold	02/18/11	K	D	
60. -Federated Govt Reserves	A	Dividend	J	T					
61. -Home Depot Inc com	A	Dividend	J	T					
62. -General Electric Co com	A	Dividend	J	T	Sold (part)	04/29/11	J	A	
63. -General Electric Co com					Buy (add'l)	03/28/11	J		
64. -Automatic Data Processing, Inc com	A	Dividend	J	T					
65. -Pfizer, Inc com	A	Dividend			Sold	03/03/11	J	A	
66. -Kimberly Clark Corp com	A	Dividend			Sold	09/29/11	J	C	
67. -Johnson & Johnson com	A	Dividend	J	T					
68. -Allstate Corp com	A	Dividend			Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -Chevron Corp com	A	Dividend	K	T					
70. -3M Company com	A	Dividend	K	T					
71. -Colgate-Palmolive Co com	A	Dividend	K	T					
72. -Colgate-Palmolive Co com					Buy (add'l)	03/16/11	J		
73. -Walgreen Co com	A	Dividend	K	T					
74. -Walgreen Co com					Buy (add'l)	03/22/11	J		
75. -Procter & Gamble Co	A	Dividend	K	T					
76. -Procter & Gamble Co					Buy (add'l)	03/16/11	J		
77. -Intel Corp	A	Dividend	K	T					
78. -Intel Corp					Buy (add'l)	03/17/11	J		
79. -Royal Dutch Shell PLC	A	Dividend	K	T					
80. -V F Corp	A	Dividend	K	T					
81. -Emerson Electric Co	A	Dividend	K	T					
82. -Emerson Electric Co					Buy (add'l)	09/08/11	J		
83. -Air Products & Chems, Inc	A	Dividend	J	T					
84. -Texas Instruments, Inc	A	Dividend			Sold	04/25/11	J	C	
85. -Diebold, Inc		None			Sold	02/09/11	J	B	

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86. -McDonalds Corp	A	Dividend	K	T					
87. -Waste Management, Inc	A	Dividend	K	T					
88. -Waste Management, Inc					Buy (add'l)	11/18/11	J		
89. -Becton Dickinson & Co	A	Dividend	K	T					
90. -Becton Dickinson & Co					Buy (add'l)	03/18/11	J		
91. -Baxter International, Inc	A	Dividend	K	T					
92. -Baxter International, Inc					Buy (add'l)	03/08/11	J		
93. -Baxter International, Inc					Buy (add'l)	03/25/11	J		
94. -Nucor Corp	A	Dividend	K	T					
95. -Nucor Corp					Buy (add'l)	09/09/11	J		
96. -ITT Corp	A	Dividend			Sold	01/12/11	K	C	
97. -Nokia Corp Sponsored ADR	A	Dividend	J	T	Buy	01/18/11	J		
98. -Family Dollar Stores com	A	Dividend	K	T	Buy	01/18/11	J		
99. -Norfolk Southern Corp com	A	Dividend	K	T	Buy	02/08/11	J		
100. -Norfolk Southern Corp com					Buy (add'l)	02/08/11	J		
101. -Illinois Tool Works, Inc com	A	Dividend	J	T	Buy	03/04/11	K		
102. -Kohls Corp com	A	Dividend	J	T	Buy	06/24/11	J		

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103. -Sigma Aldrich Corp	A	Dividend	J	T	Buy	11/25/11	J		
104. -Genuine Parts Co com	A	Dividend	K	T	Buy	12/09/11	K		Transfer from IRA B
105. BROKERAGE ACCOUNT #3									
106. -Federal Government Reserves	A	Dividend	J	T					
107. -Disney Walt Co Holding Co	A	Dividend	J	T					
108. -Kimberly Clark Corp	A	Dividend			Sold	09/29/11	J	A	
109. -Intel Corp	A	Dividend	J	T	Buy	03/05/11	J		
110. -Emerson Elec Co	A	Dividend	J	T	Buy	09/30/11	J		
111. Scudder Var. Life Invest. Fund (Charter Nat'l - money market		None	L	T					
112. BROKERAGE ACCOUNT #4									
113. -AT&T, Inc	A	Dividend	J	T					
114. -Abbott Labs	A	Dividend			Sold	11/30/11	J	A	
115. -Automataic Data Processing, Inc	A	Dividend			Sold	07/28/11	J	B	
116. -Caterpillar, Inc	A	Dividend	J	T					
117. -Chevron Corp	A	Dividend	J	T					
118. -Coca Cola Company	A	Dividend	J	T					
119. -Coca Cola Company					Buy (add'l)	02/28/11	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Consolidated Edison, Inc	A	Dividend			Sold	09/22/11	J	A	
121. -Dominion Res, Inc	A	Dividend	J	T					
122. -Du Pont E I De Nemours & Co	A	Dividend	J	T					
123. -Du Pont E I De Nemours & Co					Buy (add'l)	09/20/11	J		
124. -Emerson Electric Co	A	Dividend	J	T					
125. -Emerson Electric Co					Buy (add'l)	08/08/11	J		
126. -Exxon Mobil Corp	A	Dividend	K	T					
127. -Genuine Parts Co	A	Dividend	J	T					
128. -Heinz H J Co	A	Dividend	J	T					
129. -Lilly Eli & Co	A	Dividend			Sold	03/21/11	J	A	
130. -Lowes Cos, Inc	A	Dividend	J	T					
131. -Lowes Cos, Inc					Buy (add'l)	08/12/11	J		
132. -Occidental Petroleum Corp	A	Dividend	J	T					
133. -Paychex, Inc	A	Dividend			Sold	02/25/11	J	A	
134. -Progressive Energy, Inc	A	Dividend	J	T					
135. -Regions Finl Corp	A	Dividend	J	T					
136. -Southern Co	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

GUIN, J. FOY, JR.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Federated Govt Reserves (Money Market Fund)	A	Dividend	J	T					
138.									
139.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

GUIN, J. FOY, JR.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page9, line 94 in the prior report had a value of .33 as of 12/31/10. This item was not reqortable because of the reduction in value.

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Name of Person Reporting

GUIN, J. FOY, JR.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. FOY, JR. GUIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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