

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vyskocil, Mary Kay	2. Court or Organization US Bankruptcy Court for the Southern District of New York	3. Date of Report 11/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 07/31/2016
7. Chambers or Office Address United States Bankruptcy Court Alexander Hamilton U.S. Custom House One Bowling Green New York, New York 1004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner in Law Firm (retired March 31, 2016)	Simpson Thacher & Bartlett LLP
2. Vice President	Federal Bar Council
3. Trustee (Withdrew 3/17/2016)	New York Community Trust
4. Director	Sanctuary for Families
5. Trustee	St. Joseph's Seminary
6. Trustee	Dominican College
7. Director and Executive Committee Member	Judges and Lawyers Breast Cancer Alert ("JALBCA")
8. Trustee	Historical Society of New York Courts
9. Vice President	New York American Inn of Court
10. Director and President Emeritus	St. John's University School of Law Alumni Association
11. Director and Vice President	[New York City] Owners Corporation (Co-op Board)
12. Trustee and Clerk	Condominium Association

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 1990 Simpson Thacher & Bartlett -- Retirement Plan under partnership Agreement (with former law firm)--Unfunded Pension Plan and equity payout

2.

3.

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Simpson Thacher & Bartlett LLP -- Partner Draw	\$3,813,081.00
2. 2015	Simpson Thacher & Bartlett LLP --Partner Draw	\$3,775,000.00
3. 2016	Simpson Thacher & Bartlett LLP --Partner Draw & Pension	\$894,924.00
4. 2014	Roaring Fever LLC--New York State Breeders Awards	\$1,343.20
5. 2015	Roaring Fever LLC --New York State Breeders Awards	\$1,481.47
6. 2016	Roaring Fever LLC --New York State Breeders Awards	\$959.86
7.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. EXEMPT

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. 425 Private Fund I, LLC	G	Dividend	N	T					
2. CTC Madison Fund at Millenium Trust-IRA	A	Dividend	P1	T					
3. STB, LLP PROFIT SHARING PLAN - FIDELITY (H)									
4. WT CIF II CR PL BD 1	A	Dividend	J	T					
5. FID 500 Index Inst	F	Dividend	P1	T					
6. TRP Equity Income	D	Dividend	M	T					
7. Champlain Midcap Inst	B	Dividend	K	T					
8. MIP II CL 2	A	Dividend	J	T					
9. STB SUPP PROFIT SHARING PLAN _ FIDELITY (H)									
10. WT CIF II CR PL BD 1	A	Dividend	K	T					
11. FID 500 Index Inst	F	Dividend	P1	T					
12. TRP Equity Income	C	Dividend	M	T					
13. Champlain Midcap Inst	B	Dividend	L	T					
14. MIP II CL 2	A	Dividend	J	T					
15. FID Intm Govt Income	A	Dividend	J	T					
16. MERRILL LYNCH HSA (H)									
17. Black Rock Global	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

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18. ML Bank Deposit Program	A	Interest	K	T					
19. Black Rock S&P 500 Index	B	Dividend	J	T					
20. TRANSAMERICA IRA (H)									
21. TFLIC Fixed	A	Dividend	J	T					
22. Transamerica Partners Large Growth	A	Dividend	J	T					
23. JP Morgan Chase- Cash Accounts	A	Interest	N	T					
24. Citibank, N.A.- Cash Accounts	D	Interest	P2	T					
25. People's United Bank- Cash Accounts	D	Interest	P1	T					
26. Western Asset NY Tax- Free MMF CL N	A	Dividend	M	T					
27. Fidelity IRA	B	Dividend	K	T					
28. Fidelity Government Cash Reserves	A	Dividend	J	T					
29. Vanguard 500 Index Inv	B	Dividend	K	T					
30. FIDELITY BROKERAGE #1 (H)									
31. American Growth Fund of America, Class F1	E	Dividend	N	T					
32. Dodge & Cox Stock	D	Dividend	M	T					
33. Fidelity NY AMT Free MMKT Inst Class	A	Dividend	M	T					
34. Fidelity NY Muni Money Market	A	Dividend	P1	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

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35. Royce Total Return Fund Investment Class	E	Dividend	N	T					
36. Fidelity 500 Index Premium Class	D	Dividend	M	T					
37. SPDR S&P Midcap 400 ETF TR UT SER1	C	Dividend	N	T					
38. Tweedy Browne Global Value Fund	D	Dividend	M	T					
39. Vanguard 500 Index Fund Investor Shares	A	Dividend	K	T					
40. Baron Growth Fund	E	Dividend	N	T					
41. Fidelity Government Money Market	A	Dividend	J	T					
42. FIDELITY BROKERAGE #2 (H)									
43. American Beacon Large Cap Investor	B	Dividend	J	T					
44. American Europacific Growth Fund Class F2	A	Dividend	K	T					
45. Deutsche Strategic High Yield Tax Free Fund	A	Dividend	K	T					
46. Deutsche NY Tax Free Income Fund	E	Dividend	N	T					
47. Fidelity Government Cash Reserves	A	Dividend	J	T					
48. Fidelity Advisor New Insights Class 1	C	Dividend	L	T					
49. Fidelity Limited Term Muni Income Fund	A	Dividend	L	T					
50. Fidelity Municipal Income Fund	D	Dividend	M	T					
51. FIMM Money Market Portfolio	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

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52. Harbor International Institutional Fund	A	Dividend	J	T					
53. ISHARES Russell 1000 Growth ETF	A	Dividend	J	T					
54. ISHARES S&P Smallcap 600 Value	A	Dividend	J	T					
55. ISHARES Russell 1000 Value ETF	B	Dividend	L	T					
56. MFS International Diversification Fund	A	Dividend	K	T					
57. MFS International Value Fund	A	Dividend	K	T					
58. MFS Research International Fund	A	Dividend	J	T					
59. Morgan Stanley Midcap Growth Portfolio	C	Dividend	K	T					
60. Morgan Stanley Global Real Estate Portfolio	A	Dividend	K	T					
61. Nuveen Intermediate Duration Muni Bond Fund	B	Dividend	L	T					
62. Nuveen NY Municipal Bond Fund	D	Dividend	M	T					
63. Oppenheimer International Growth Fund	A	Dividend	J	T					
64. Royce PA Mutual Fund Service	B	Dividend	J	T					
65. RS Small Cap Growth Fund	A	Dividend	J	T					
66. Sector SPDR Trust Shares Ben Int Financial	A	Dividend	K	T					
67. SPDR S&P 500 ETF Trust SER1	B	Dividend	L	T					
68. Wells Fargo Special Mid Cap Administration Fund	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

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69. Wells Fargo Muni Bond Fund- Administration Class	D	Dividend	M	T					
70. Wells Fargo Ultra Short Term Muni Income Fund	B	Dividend	N	T					
71. Western Asset Managed Muni Fund	A	Dividend	K	T					
72. MORGAN STANLEY #1 (H)									
73. ABBVIE, Inc.	A	Dividend	K	T					
74. Allstate Corp	A	Dividend	K	T					
75. Apple	A	Dividend	K	T					
76. Bank of NY Mellon Corp	A	Dividend	K	T					
77. Chevron Corp	B	Dividend	K	T					
78. CVS Health Corp	A	Dividend	K	T					
79. General Electric Co	A	Dividend	K	T					
80. Home Depot, Inc.	A	Dividend	K	T					
81. Microsoft, Corp	A	Dividend	K	T					
82. Republic Services, Inc.	A	Dividend	K	T					
83. Starbucks Corporation	A	Dividend	K	T					
84. Unitedhealth Group, Inc.	A	Dividend	K	T					
85. Visa, Inc.	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Vyskocil, Mary Kay

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86. Alerian MLP ETF	B	Dividend	K	T					
87. Mead Johnson Nutrition Co.	A	Dividend	K	T					
88. Mylan NV	A	Dividend	K	T					
89. Palo Alto Networks, Inc.	A	Dividend	J	T					
90. Royal Bank of Canada	A	Dividend	K	T					
91. Berkshire Hathaway Class B	A	Dividend	K	T					
92. Davita, Inc.	A	Dividend	K	T					
93. Dunkin Brands Group	A	Dividend	K	T					
94. Facebook, Inc.	A	Dividend	K	T					
95. Guess, Inc.	A	Dividend	K	T					
96. Honeywell International	A	Dividend	K	T					
97. INFOSYS Limited ADR	A	Dividend	J	T					
98. International Paper Co.	A	Dividend	K	T					
99. Intuitive Surgical Inc.	A	Dividend	K	T					
100. Lockheed Martin Corp	A	Dividend	K	T					
101. Alphabet, Inc.	A	Dividend	K	T					
102. Morgan Stanley Bank, N.A.	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 12 of 15

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103. MORGAN STANLEY #2 (H)									
104. Bank of America Corp.	D	Dividend	L	T					
105. JP Morgan Chase Co	C	Dividend	L	T					
106. Morgan Stanley	C	Dividend	L	T					
107. Wells Fargo & Co	C	Dividend	L	T					
108. General Motors Co.	C	Dividend	L	T					
109. Goldman Sachs Group	B	Dividend	L	T					
110. HCA, Inc.	B	Dividend	L	T					
111. Hewlett-Packard	C	Dividend	L	T					
112. ICAHN Enterprises	C	Dividend	K	T					
113. JP Morgan Chase CD	A	Interest	L	T					
114. Microsoft Corp.	B	Dividend	L	T					
115. Morgan Stanley Bank, N.A.	A	Interest	M	T					
116. Morgan Stanley Private Bank, N.A.	A	Interest	M	T					
117. Pitney Bowes, Inc.	C	Dividend	L	T					
118. SL Green Realty Co.	C	Dividend	L	T					
119. United Technologies	A	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 13 of 15

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Verizon Communications	B	Dividend	L	T					
121. Ally Financial, Inc.	A	Dividend	L	T					
122. American Airlines Group	A	Dividend	L	T					
123. General Motors Financial Co.	A	Dividend	L	T					
124. Conagra Foods, Inc.	A	Dividend	L	T					
125. HP Enterprise Co.	A	Dividend	L	T					
126. MERRILL LYNCH (H)									
127. Blackstone Group, L.P.	A	Dividend	M	T					
128. PJT Partners, Inc.	A	Dividend	J	T					
129. Global Crossing	A	Dividend	J	W					
130. Asia Global Crossing	A	Dividend	J	W					
131.									
132.									
133.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Vyskocil, Mary Kay

Date of Report

11/07/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Kay Vyskocil**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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