

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nugent, Robert E.	2. Court or Organization District of Kansas	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 104 U.S. Courthouse 401 North Market Wichita, Kansas 67202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Secretary	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1-12/11	Teaching Income,
2. 1-12/11	Realtor Income,
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Nat'l Conf. of Bankruptcy Judges	10/11/11-10/15/11	Tampa, FL	NCBJ Annual Meeting	travel, meals and lodging
2. American Bankruptcy Institute	09/28/11	Kansas City MO	Midwestern Bky Institute	mileage, lodging and meals
3. Nat'l Conf. of Bankruptcy Judges	4/4/11-4/5/11	Desert Springs, CA	NCBJ Midyear Meeting	travel, meals and lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Century Cash Reserve Money market Account ("MMA")	A	Interest	J	T					
2. Fidelity Cash Reserve MMA	A	Interest			Closed	10/29/11	J	A	
3. Austin Project LP	C	Int./Div.			Closed	09/15/11	J	A	
4. AmFunds Fundamental Inv. (R/O)	A	Dividend	K	T					
5. AmFunds Growth (R/O IRA)	A	Dividend	J	T					
6. AmFund New Perspective (R/O IRA)	A	Dividend	K	T					
7. Centennial MMA (R/O IRA)--SEE Part VIII	A	Interest			Closed	10/11/09	J	A	
8. Emprise Bank Account	A	Interest	J	T					
9. Emprise Bank MMA	A	Interest	J	T					
10. Emprise Bank Passbook Account II	A	Interest	J	T					
11. Emprise CD 2	A	Interest	J	T	Redeemed (part)	08/1/11	J	A	
12. Citizens Bank CD	A	Interest	J	T	Redeemed (part)	3/13/11	J	A	
13. Citizens Bank Saving	A	Interest	K	T					
14. Learning Quest 529 Fund Short-term (American Century)	A	Distribution	J	T					
15. AG Edwards Centennial MMA (brokerage cash account)	A	Interest			Closed	10/07/11	J	A	
16. Vanguard Small Cap Value Index	A	Dividend	J	T	Sold (part)	10/10/11	J	A	
17. Vanguard Total Bond Mkt IDX	A	Dividend	J	T	Sold (part)	8/18/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	8/18/11	J	A	
19.					Sold (part)	8/18/11	J	A	
20.					Sold (part)	10/10/11	J	A	
21. Vanguard 500 Index	A	Dividend	J	T	Sold (part)	10/10/11	J	A	
22. Vanguard Value Index	A	Dividend	J	T	Sold (part)	10/10/11	J	A	
23. Vanguard Total Internat. Index	A	Dividend	J	T	Sold (part)	10/10/11	J	A	
24. AmFunds Income Fund America (R/O IRA)	A	Dividend	K	T					
25. Citizens Bank of Kansas Dep Acct	A	Interest	J	T					
26. Northwestern Mutual Whole Life #1	A	Dividend	K	T					
27. Northwestern Mutual Whole Life #2	A	Dividend	K	T					
28. Northwestern Mutual Whole Life #3	A	Dividend	J	T					
29. Vanguard High Div Yield Idx (R/O IRA)	A	Dividend	K	T					
30. Vanguard Target Retirement 2020 (R/O IRA)	D	Dividend	N	T					
31. Vanguard Prime MM Fund (R/O IRA)	A	Int./Div.	J	T					
32.									
33.									
34.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part 7:

Lines 7: This account closed out; inadvertant omission from prior report. Proceeds to Citizens Bank CD noted on line 12.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert E. Nugent**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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