

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Legg, Benson E.	2. Court or Organization United States District Court, Maryland	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Retired 02/11/2013)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 101 W. Lombard Street Suite 7A Baltimore, Maryland 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	UTMA Trustee	Custodial Accounts at Legg Mason
2.	UTMA Trustee	Custodial Accounts at T.Rowe Price
3.	UTMA Trustee	Custodial Accounts at Morgan Stanley Smith Barney
4.	Instructor	State of Maryland - University of Maryland Law School
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	State of Maryland - Law School -- Teaching Wages	\$3,600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Eastman Kodak Company -- Directors Fees & Restricted Stock Awards
2. 2012	SunTrust -- Directors Fees & Restricted Stock Awards
3. 2012	Brown Advisory Funds - Directors Fees
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	Revolving credit card	K
2.	Bank of America	Revolving Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T.Rowe Price New Horizons, UTMA #1	C	Dividend	K	T					
2. T.Rowe Price New Horizons, UTMA #2	C	Dividend	K	T					
3. Morgan Stanley Smith Barney (LMNSX) - IRA #1	A	Dividend	J	T					
4. Morgan Stanley Smith Barney (LMNVX) - IRA #1	D	Dividend	N	T					
5. Morgan Stanley Smith Barney (LMNOX) - IRA #1		None	N	T					
6. Morgan Stanley Smith Barney (Amazon.com) -IRA #2		None	M	T					
7. Morgan Stanley Smith Barney (Bk Dep) IRA #2 MS Liquid		None	J	T					
8. Morgan Stanley Smith Barney (LMNVX) - IRA #2	C	None	M	T					
9. Morgan Stanley Smith Barney (LMNOX) - IRA #2		None	O	T					
10. Morgan Stanley Smith Barney (LMNSX) - IRA #2	A	Dividend	J	T					
11. Morgan Stanley Smith Barney (LMNVX) IND #2	B	Dividend	M	T					
12. Morgan Stanley Smith Barney (LMNOX) - IND #2		None	N	T					
13. Morgan Stanley Smith Barney (LMNSX) - IND #2	B	Dividend	M	T	Sold (part)	01/14/12	J		
14.					Sold (part)	1/17/12	J		
15. Morgan Stanley Smith Barney (Amazon) - IND #2		None	M	T					
16. Morgan Stanley Smith Barney (Biosante Pharm) IND #2 X		None	J	T	Sold (part)	06/07/12	J		
17. Morgan Stanley Smith Barney (Qualcomm) -IND #2	A	Dividend			Donated				

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. Morgan Stanley Smith Barney (Legg Mason Inc)-IND #2	E	Dividend	P1	T	Donated (part)				
19. Morgan Stanley Smith Barney (SunTrust) - IND #2	A	Dividend	K	T					
20. Morgan Stanley Smith Barney (Bank Deposit) IND #2		None	K	T					
21. Legg Mason 401K (Special Investment)	A	Dividend	K	T					
22. Legg Mason 401K (Value/Inst)	D	Dividend	N	T					
23. [REDACTED] Baltimore, MD		None	J	U					
24. Pioneer Natural Resources		None	J	T					
25. HCW Drilling Partnership Units 1980-1, Unpriced Security		None	J	W					
26. HCW Drilling Partnerships Units 1979-1, Unpriced Security		None	J	W					
27. Koger Partnership, LTD		None	J	W					
28. Legg Mason Inst Funds #1 (Value Trust), UTMA #1	A	Dividend	L	T					
29. Legg Mason Inst Funds #1 (Oppr Trust), UTMA #1		None	M	T					
30. Legg Mason Inst Funds #2 (Value Trust), UTMA #2	A	Dividend	K	T					
31. Legg Mason Inst Funds #2 (Oppr Trust), UTMA #2		None	M	T					
32. LMCM Income Opp Fund	C	Int./Div.	K	U					
33. LMCM Small Cap Fund LLC	A	Int./Div.	K	U					
34. LMCM Systematic Value Fund LLC	A	Int./Div.	K	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Morgan Stanley Smith Barney (LMOPX)-IRA #3		None	J	T					
36. Morgan Stanley Smith Barney (LMASX) IRA #3	A	Int./Div.	K	T					
37. Morgan Stanley Smith Barney (LMASX) IRA #4	A	Int./Div.	K	T					
38. Morgan Stanley Smith Barney (Bank Deposit)	A	Interest	K	T					
39. Rental Property - Baltimore City, MD \$1,248,900.00	E	Rent			Sold	07/13/12	P1		
40. Morgan Stanley Smith Barney - SEP IRA (Bank Deposit)	A	Interest	K	T					
41. Fidelity (SunTrust Restricted Stock)	A	Dividend	M	T					
42. Kodak Restricted Stock		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Benson E. Legg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544