

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R.	2. Court or Organization U.S. Court of Appeals-10th Cir	3. Date of Report 05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address P.O. Box 2388 Roswell, New Mexico 88202-2388		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Pepperdine University School of Law	March 14-16, 2012	Malibu, California	Speaker	Transportation, meals, lodging
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2.	Alliance Defense Fund - The Blackstone Legal Fellowship	July 31 - August 1, 2012	Phoenix, Arizona	Legal Fellowship - Speaker	Transportation, meals, lodging
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3.	Christian Legal Society National Conference	October 18-20, 2012	Colorado Springs, Colorado	Participant on Judges' Panel	Transportation, meals, lodging
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4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pioneer Savings	Rental Property #2, Chaves County, NM	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch IRA	C	Dividend	M	T					
2. -XCEL									
3. -Invesco Global Core Equity Fund									
4. -Invesco Comstock Fund *									
5. -Lord Abbett (Mutual R Fund)									
6. -Franklin Inves. Sec. TR Equity Income (Mutual Fund)									
7. -Merrill Lynch Retirement Reserves									
8. -Merrill Lynch Cash Equivalent Accounts									
9. Hunker Commune (Holly, Bluestem*)	B	Royalty	J	W					
10. Lot in South Spring Acres, Roswell, NM		None	J	W					
11. Stock in South Spring Acres, Roswell, NM		None	K	W					
12. Minerals, Washita County, OK (BBX Oil Corp.)	A	Rent	J	W					
13. Silver and silver coins		None	J	T					
14. Gold coins		None	J	T					
15. Navajo, Hockley County, TX	B	Royalty	J	W					
16. Pioneer Savings Bank	A	Interest	L	T					
17. Working interest in Hodges - Hockley County, TX	A	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Working interest in Jeffers-Hockley County, TX	A	Royalty	J	T					
19. Working interest in Pair- Hockley County, TX	A	Royalty	J	T					
20. Working interest in Reed-Hockley County, TX	A	Royalty	J	T					
21. Working interest in White-Hockley County, TX	A	Royalty	J	T					
22. Working interest in Terry-Hockley County, TX	A	Royalty	J	T					
23. Cash Value Life Insurance, AXA Equitable(Money Market Acct)	A	Interest	J	T					
24. Oil & Gas Items:									
25. XTO Energy Production	A	Royalty	J	W					
26. BP Am Production Company	D	Royalty	K	W					
27. Conoco Phillips	E	Royalty	K	W					
28. Sunco Oil & Gas Production	A	Royalty	J	W					
29. Great Western Drilling Company	C	Royalty	K	W					
30. El Paso Production Company	C	Royalty	K	W					
31. Working interest in Mewbourn Oil, Eddy County, NM	B	Royalty	J	W					
32. Property #2, Chaves County, NM	D	Rent	L	W					
33. Trust #1	E	Dividend	O	T					
34. -CITIGROUP INC COM NEW					Sold	03/13/12	J		

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35. -COMPUTER SCIENCE CRP					Sold	03/13/12	J		
36. -HALLIBURTON COMPANY					Sold	03/13/12	K		
37. -INNSUITES HOSP TR OH SBI					Sold	03/13/12	J		
38. -KB HOME					Sold	03/13/12	J		
39. -KIMBERLY CLARK					Sold	03/13/12	J		
40. -MEADWESTVACO CORP					Sold	03/13/12	J		
41. -3M COMPANY					Sold	03/13/12	J		
42. -WALGREEN CO					Sold	03/13/12	J		
43. -WELLS FARGO & CO NEW DEL					Sold	03/13/12	J		
44. -COLUMBIA TAX EXEMPT FUND CL A					Sold	03/13/12	N		
45. -FRANKLIN T/F TR AZ TAX FREE INCOME FUND CL A					Sold	03/13/12	M		
46. -OPPENHEIMER GLOBAL FUND CL A					Sold	03/13/12	J		
47. -INVESCO VAN KAMPEN MUNI INCOME FUND A					Sold	03/13/12	K		
48. -GLENDALE AZ UN HGH SCH									
49. -PIMA CNTY AZ STR & HWY REV									
50. -ARIZONA ST UNIV REVS					Redeemed	06/18/12	K		
51. -ISHARES RUSSELL 1000 GROWTH INDEX FUND					Buy	03/13/12	L		

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52. -ISHARES MSCI EAFE INDEX FUND					Buy	03/13/12	M		
53. -ISHARES IBOXXS INVT GRADE CORP BD FUND					Buy	03/13/12	K		
54. -ISHARES GOLD TR					Buy	03/13/12	K		
55. -ISHARES BARCLAYS MBS BON FUND					Buy	03/13/12	L		
56. -ISHARES IBOXXS HIGH YIEL CORPORATE BOND FUND					Buy	03/13/12	K		
57. -ISHARES JP MORGAN EM BON					Buy	03/13/12	J		
58. -ISHARES DOW JONES US REAL ESTATE INDEX FUND					Buy	03/13/12	K		
59.					Buy (add'l)	08/01/12	J		
60.					Sold (part)	12/03/12	J		
61. - ISHARES BARCLAYS 1-3 YEAR TREAS INDEX FUND					Buy	4/2/12	J		
62.					Buy (add'l)	6/1/12	K		
63.					Buy (add'l)	7/2/12	J		
64.					Buy (add'l)	8/1/12	J		
65.					Sold (part)	9/4/12	K		
66.					Sold	10/1/12	J		
67. -ISHARES BARCLAYS 3-7 YEA TRSY BD FD					Buy	3/13/12	K		
68.					Sold	8/1/12	K		

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69. -VANGUARD FINANCIALS ETF					Buy	3/13/12	K		
70. -VANGUARD CONSUMER STAPLES					Buy	10/01/12	K		
71. -VANGUARD MATERIALS ETF					Buy	03/13/12	K		
72. -VANGUARD HEALTH CARE ETF					Buy	10/01/12	K		
73. -VANGUARD TELECOMM SRVCS ETF					Buy	7/02/12	K		
74. -VANGUARD INDUSTRIAL ETF					Buy	03/13/12	K		
75. -VANGUARD MSCI EMERGING MRKTS ETF					Buy	03/13/12	L		
76. -VANGUARD INTERMEDIATE TERM BOND ETF					Buy	03/13/12	K		
77. -VANGUARD SHORT TERM BOND					Buy	06/1/12	K		
78. -VANGUARD INFORMATION TECH					Buy	3/13/12	K		
79.					Sold	10/1/12	K		
80. -SECTOR SPDR FINANCIAL					Buy	4/2/12	K		
81.					Sold	10/01/12	K		
82. -SECTOR SPDR INDUSTRIAL					Buy	4/2/12	K		
83.					Sold	7/2/12	K		
84. -ELEMENTS - ROGERS TR					Buy	09/04/12	J		
85. -ML BANK DEPOSIT PROGRAM									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 4, line 4 - This asset was formerly Invesco Van Kampen Comstock Fund.

Part VII, page 4, line 9 - Adventure Exploration Partners, LLC changed to Bluestem Energy Holdings LLC.. El Paso, Navajo, and Western no longer buy production.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bobby R. BALDOCK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544