

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Baxalta Incorporated - Salary & Bonus

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Mortgage on Rental Property #1 - Bloomingdale, MI	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Chase Bank	A	Interest	J	T					
3. Wells Fargo Bank Account	A	Interest	J	T					
4. US Employees Credit Union Account	A	Interest	K	T					
5. Baxter International - Exercisable Stock Options		None	N	T					
6. Baxter International - Unvested Stock Options		None	J	T					
7. Baxter Int'l Common Stock	C	Dividend	M	T	Sold (part)	01/05/16	K	A	
8. Shire PLC (formerly Baxalta Inc.) - Exercisable Stock Options		None	O	T					
9. Shire PLC (formerly Baxalta Inc.) - Unvested Stock Options		None	L	T					
10. Shire PLC (formerly Baxalta, Inc.) - Common Stock	A	Dividend	M	T					
11. Baxalta Inc. Common Stock	B	Dividend			Sold	06/03/16	M	E	
12. Edward Lifesciences Common		None	K	T					
13. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
14. Rental Property #1 -Bloomingdale, MI. Paid \$217,000 11/25/09. Owns 50%	A	Rent	M	R					
15. BAXALTA INC. 401K PLAN									
16. Stable Income Fund	C	Dividend	M	T					
17. S&P 500 Equity Index Fund	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. International EAFE Equity Index Fund	B	Dividend	L	T					
19. Target Retirement Fund	C	Dividend	M	T					
20. Small Cap Fund	A	Dividend	L	T					
21. Baxter Int'l Common Stock	B	Dividend	L	T					
22. Shire PLC Common Stock	A	Dividend	K	T	Buy	06/03/16	K		
23. BROKERAGE ACCOUNT #1									
24. Amazon.com		None	J	T	Buy	01/28/16	J		
25. Berkshire Hathaway Inc C1 B		None	K	T					
26. CSX Corp	A	Dividend			Sold	01/21/16	K	B	
27. Energy Transfer Equity	A	Dividend			Sold	01/21/16	J	A	
28. Enterprise Products LP	A	Dividend			Sold	01/21/16	J	A	
29. Fidelity Cash Reserves Fund	A	Interest	J	T					
30. Fidelity Floating Rate High Income	A	Int./Div.	J	T					
31. Fidelity Short Term Bond Fund	A	Interest	K	T					
32. Fidelity Utilities ETF	A	Dividend	J	T	Buy	05/24/16	J		
33. General Motors Corp	B	Dividend	K	T					
34. Kohlberg Kravis Roberts	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Las Vegas Sands Corp	A	Dividend	J	T					
36. Matthews Asia Dividend	A	Dividend	J	T					
37. Northern Property Trust	A	Dividend			Sold	01/21/16	J	A	
38. Oakmark International	B	Dividend	K	T					
39. PayPal Holdings		None			Sold	01/21/16	J	A	
40. Pioneer High Yield Bond	A	Interest			Sold	01/21/16	J	A	
41. S&P 500 ETF	A	Dividend	K	T	Buy	01/28/16	K		
42. Starbucks	A	Dividend	K	T					
43. Symantec Corp	A	Dividend	K	T	Buy (add'l)	01/28/16	J		
44. Toyota Motor Corp	A	Dividend			Sold	01/21/16	J	A	
45. BROKERAGE ACCOUNT #2									
46. Abbott Laboratories	A	Dividend			Sold	01/21/16	J	A	
47. Alphabet/Google Inc C1 A		None	J	T					
48. Apple Inc.	A	Dividend			Sold	11/15/16	K	D	
49. Bank of New York Mellon Corp	A	Dividend			Sold	01/21/16	J	A	
50. Baxter Int'l	A	Dividend			Sold	11/11/16	J	B	
51. Berkshire Hathaway Inc C1 B		None	J	T					

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52. Berwyn Income	A	Int./Div.	J	T	Buy	05/24/16	J		
53. Boeing Bond 8.75% 8-15-21	A	Interest	J	T	Buy	02/01/16	J		
54. Canadian Imperial Bank of Commerce	A	Dividend			Sold	01/21/16	J	A	
55. Direxion 20+ Year Treasury Bear		None			Sold	01/21/16	J	A	
56. Express Scripts		None			Sold	05/13/16	J	A	
57. FedEx Corp	A	Dividend			Sold	11/15/16	J	A	
58. Fidelity Convertible Securities	B	Int./Div.			Sold	05/13/16	J	A	
59. Fidelity Floating Rate High Income	A	Interest	J	T	Buy	01/28/16	J		
60. Fidelity Muni Money Market Fund	A	Interest	J	T					
61. Fidelity New Markets Income	A	Int./Div.	J	T	Buy	12/23/16	J		
62. Fidelity Select Healthcare	A	Dividend			Sold	11/11/16	J	A	
63. Fidelity Short Term Bond	A	Interest	J	T	Sold (part)	01/12/16	J	A	
64. Fidelity Utilities ETF	A	Dividend	J	T	Buy	05/24/16	J		
65.					Sold (part)	07/12/16	J	A	
66. First Trust Dow Jones Internet ETF	A	Dividend			Sold	11/15/16	J	C	
67. General Electric	A	Dividend			Sold	01/21/16	J	A	
68. Ishares S&P US Preferred Stock Index	A	Dividend	J	T	Sold (part)	07/12/16	J	A	

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69. Ishares Treasury Inflation Protected	A	Interest	K	T	Buy	11/15/16	K		
70. IBM Common	A	Dividend			Sold	11/11/16	J	C	
71. JPMorgan Chase	A	Dividend			Sold	01/21/16	K	B	
72. Keycorp Note 2.9% 9-15-20	A	Interest	J	T	Buy	01/29/16	J		
73. Magellan Midstream Partners	A	Dividend			Sold	11/11/16	J	D	
74. Mainstay High Yield Muni Bond	B	Interest	K	T	Sold (part)	07/12/16	J	A	
75. Pioneer High Yield Bond	A	Interest			Sold	05/13/16	J	A	
76. Powershares ETF Int'l Dividend	A	Dividend	J	T					
77. S&P 500 ETF	A	Dividend	K	T	Buy	11/15/16	K		
78.					Sold (part)	12/15/16	K	B	
79.					Buy (add'l)	12/23/16	K		
80. S&P 500 Call Options		None			Open	11/23/16	J		
81.					Expired	12/15/16	J	A	
82. Shire PLC (formerly Baxalta, Inc.)	A	Dividend			Sold	11/11/16	J	A	
83. Sanofi ADR	A	Dividend			Sold	01/21/16	J	A	
84. SPDR Gold Trust		None	J	T	Buy	05/24/16	J		
85. Target Corp	A	Dividend	J	T					

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86. United Parcel Services	A	Dividend			Sold (part)	07/12/16	J	A	
87.					Sold	11/15/16	K	A	
88. Vanguard Total Bond ETF	A	Interest	K	T					
89. Vanguard Wellesley Income	A	Int./Div.	K	T	Buy	01/28/16	K		
90. Wells Fargo Bond 2.55% 12-7-20	A	Interest	J	T	Buy	02/01/16	J		
91. Wells Fargo Bond 3% 1-22-21	A	Interest	J	T	Buy	02/01/16	J		
92. Wisdom Tree Europe Hedged Equity ETF	B	Dividend			Sold	06/24/16	J	A	
93. BROKERAGE ACCOUNT #3									
94. Apple Inc.	A	Dividend	J	T					
95. Direxion 20+ Year Treasury Bear		None			Sold	01/21/16	J	A	
96. Fidelity Blue Chip Growth	A	Dividend	J	T					
97. Fidelity Cash Fund	A	Interest	J	T					
98. Fidelity High Income	A	Int./Div.	J	T					
99. Fidelity Select Healthcare	A	Dividend	J	T					
100. Fidelity Short Term Bond	A	Interest	J	T	Buy	09/29/16	J		
101. Fidelity Utilities ETF	A	Dividend	J	T	Buy	01/28/16	J		
102. Pioneer High Yield Bond	A	Interest			Sold	05/13/16	J	A	

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103. S&P Midcap 400	A	Dividend	J	T					
104. Vanguard Wellesley Income	A	Int./Div.	J	T	Buy	05/24/16	J		
105. BROKERAGE ACCOUNT #4									
106. Apple Inc.	A	Dividend	J	T					
107. Direxion 20+ Year Treasury Bear		None			Sold	01/21/16	J	A	
108. Fidelity Blue Chip Growth	A	Dividend	J	T	Buy (add'l)	11/15/16	J		
109. Fidelity Cash Fund	A	Interest	J	T					
110. Fidelity High Income Fund	A	Int./Div.	J	T	Sold (part)	11/15/16	J	A	
111. Fidelity Short Term Bond	A	Interest	J	T	Buy	09/29/16	J		
112. Fidelity Utilities ETF	A	Dividend	J	T	Buy	01/28/16	J		
113. Mainstay High Yield Muni	A	Interest	J	T					
114. S&P Midcap 400	A	Dividend	J	T					
115. BROKERAGE ACCOUNT #5									
116. Alphabet/Google Inc Cl A		None	K	T					
117. Amazon.com		None	J	T	Buy	05/24/16	J		
118. Amgen Inc	A	Dividend	J	T					
119. Apple Inc	A	Dividend			Sold	12/23/16	J	A	

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Cox, Susan E.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Blackstone Group	A	Dividend			Sold	11/23/16	J	A	
121. Casey's General Stores	A	Dividend			Sold	01/21/16	J	C	
122. Caterpillar Inc	A	Dividend			Sold	01/21/16	J	A	
123. Chevron Corp	A	Dividend			Sold	01/21/16	J	A	
124. Danaher Corp	A	Dividend			Sold	01/21/16	J	A	
125. Exxon Mobil Corp	A	Dividend	J	T					
126. Facebook		None	K	T					
127. FedEx Corp	A	Dividend	J	T					
128. FedEx Corp 8% 1/19 Bond	B	Interest	K	T					
129. Fidelity Cash Reserves	A	Interest	J	T					
130. Fidelity Convertible Securities	A	Int./Div.			Sold	05/13/16	J	A	
131. Fidelity Large Cap Growth	A	Dividend	J	T	Buy	12/23/16	J		
132. Fidelity Short Term Bond	A	Interest	K	T	Buy (add'l)	03/29/16	J		
133.					Buy (add'l)	09/29/16	J		
134. Fidelity Spartan 500 Index Inv	A	Dividend	K	T					
135. Fidelity Utilities ETF	A	Dividend	J	T	Buy	05/24/16	J		
136. Ford Motor Co	A	Dividend	J	T	Buy	01/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Freeport McMoran Copper & Gold	A	Dividend			Sold	12/23/16	J	A	
138. Ishares S&P US Preferred Stock	A	Dividend	J	T					
139. PJT Partners Inc	A	Dividend			Sold	01/21/16	J	A	
140. Powershares QQQ Trust	A	Dividend	K	T	Buy	01/28/16	K		
141. Procter & Gamble Co	A	Dividend	J	T					
142. Purefunds Cyber Security ETF	A	Dividend	J	T	Buy	05/24/16	J		
143. Southwest Airlines	A	Dividend	K	T					
144. SPDR Financial Sector ETF	A	Dividend	J	T	Buy	12/23/16	J		
145. Starbucks Corp	A	Dividend			Sold	01/21/16	K	B	
146. Union Pacific Corp	A	Dividend	J	T	Buy	01/28/16	J		
147. Vanguard Total Bond ETF	A	Interest	K	T					
148. Verizon Communications	A	Dividend	K	T	Sold (part)	05/13/16	J	A	
149. CUSTODIAL ACCOUNT #1									
150. First National Bank of LaGrange Account	A	Interest	K	T					
151. CUSTODIAL ACCOUNT #2									
152. First National Bank of LaGrange Account	A	Interest	J	T					
153. IL Bright Directions 529 Plan #1 - Fund 80A	A	Int./Div.			Redeemed	03/11/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IL Bright Directions 529 Plan #2 - Fund 60A	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII: Lines 8, 9, 10 and 82: On June 3, 2016, Baxalta, Inc. merged into Shire, PLC. Baxalta stock was no longer traded after 6/3/16, but filer's spouse was employed by Baxalta and in the Baxalta 401(k) Plan throughout 2016 and at 12/31/16. (See Part III,B and Part VII, Line 15).
- 2) Part VII: Lines 47 and Line 116: Google changed its name to Alphabet, Inc. No transaction was involved.

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan E. Cox**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544