

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 08/30/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 40 Centre Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Co-Executor	Estate #2
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Library of America, consultant pay
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Harvard Law School	01/10/16 - 01/16/16	Cambridge, MA	Teaching	Transportation, Meals and Lodging
2. Harvard Law School	10/05/16 - 10/06/16	Cambridge, MA	Teaching	Transportation, Meals and Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
3. Coho Relative Value Eqty Fd Instl	D	Int./Div.	O	T					
4. iShares MSCI Emerging Market Index Fund	B	Int./Div.	L	T					
5. Eaton Vance Structured Emerging Markets I	D	Int./Div.	N	T					
6. FPA Crescent	E	Int./Div.	N	T					
7. Prudential Jennison Natural Resources Fund CI Z	B	Int./Div.	M	T					
8. First Eagle Global Inst CL I	D	Int./Div.	M	T	Sold (part)	01/08/16	M	B	
9. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
10. WCM Focused International Growth Instl	C	Int./Div.	M	T	Buy	01/08/16	M		
11. Energy Select Sector SPDR	D	Int./Div.	N	T					
12. Brokerage #2 (H)									
13. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	N	T					
14. Brokerage #3 (H)									
15. -Schwab NY AMT Tax-Free Money Fund (Y)									
16. Brokerage #4 (H)									
17. BBH Core Select N	F	Int./Div.	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Berkshire Hathaway Inc Del C1 A		None	P2	T					
19. Berkshire Hathaway Inc Del B		None	M	T					
20. Wisdom Tree LargeCap Dividend Fund	E	Int./Div.	O	T					
21. FPA Crescent	D	Int./Div.	M	T					
22. JPMorgan Strategic Income Opports Sel	E	Int./Div.	N	T	Sold (part)	10/06/16	N		
23. Parnassus Core Equity Fund-Institutional Shares	D	Int./Div.	N	T					
24. Victory Global Natural Resources Fund		None	K	T					
25. First Eagle Global Inst CL I	E	Int./Div.	O	T	Sold (part)	01/08/16	L	C	
26. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					
27. WCM Focused International Growth Instl	D	Int./Div.	N	T	Buy	01/08/16	L		
28. Energy Select Sector SPDR	D	Int./Div.	M	T					
29. GNMA II 000644 (36202AWD)	A	Int./Div.			Matured	10/20/16	J		
30. Brokerage #5 (H)									
31. Long Island Pwr Authn Y Elec Sys Rev (5426902H4)	C	Int./Div.	M	T					
32. Maine Mun Bd Bk Ref Ser. 2010C (5604595S4)	A	Int./Div.	K	T	Buy	03/16/16	K		
33. Metropolitan Transn Auth NY Dedicated (59259N2G7)	B	Int./Div.	L	T	Buy	03/15/16	L		
34.					Buy (add'l)	11/16/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Metropolitan Transn Auth NY Dedicated (59259NR54)	C	Int./Div.	M	T	Buy (add'l)	11/15/16	K		
36. Metropolitan Transn Auth NY Rev (59259Y6K0)	C	Int./Div.	M	T	Buy	04/07/16	M		
37. Metropolitan Trans Auth NY Rev 5% 11/15/2017 (59261AJM0)		None	M	T	Buy	12/09/16	M		
38. New York N Y Go Bds Ser. H (64966JJ80)	B	Int./Div.	L	T					
39. New York N Y Go Bds Ser. Fiscal 2014 D-1 (64966K3U5)	C	Int./Div.	M	T					
40. New York N Y Go Bds Ser. Fiscal 2014 D-1 (64966K3X9)	C	Int./Div.	M	T	Buy (add'l)	10/07/16	J		
41. New York NY City Transitional Fin Auth Rev (64971QHR7)	C	Int./Div.	L	T	Sold (part)	10/07/16	K		
42. New York City Transitional (64971QXQ1)	C	Int./Div.	M	T					
43. New York NY City Transitional Fin (64971WC24)	B	Int./Div.	M	T	Buy	04/13/16	M		
44. New York City Transitional Fin 5% 08/01/2029 (64971WR36)		None	L	T	Buy	09/15/16	L		
45. New York NY City Transitional Fin (64972HTN2)	D	Int./Div.	M	T					
46. New York ST Dorm Auth Rev Non ST (649906PS7)	C	Int./Div.	M	T					
47. New York St Dorm Auth Revs Non St (649906QE7)	C	Int./Div.	M	T	Buy (add'l)	11/16/16	K		
48. New York St Dorm Auth Sales Tax Rev (64990AAL9)	C	Int./Div.	M	T					
49. New York St. Dorm Auth Sales Tax Rev 5% 03/15/2027 (64990AEX9)		None	M	T	Buy	09/27/16	M		
50.					Buy (add'l)	10/07/16	J		
51. New York St. Dorm Auth St. Pers Income 5% 02/15/2027 (64990E4U8)		None	M	T	Buy	12/22/16	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. New York St Dorm Auth St Pers Income (64990ECG0)	C	Int./Div.	L	T					
53. New York St Dorm Auth St Pers Income (64990EDF1)	D	Int./Div.	M	T					
54. New York St Dorm Auth St Pers Income) (64990EX85)	C	Int./Div.	L	T					
55. New York St Dorm Auth Revs St (64990HMQ0)	C	Int./Div.	M	T					
56. New York St Dorm Auth Revs St (64990HPC8)	C	Int./Div.	L	T					
57. New York St Twy Authgen Rev Gen Rev Bds (650009YC1)	C	Int./Div.	M	T					
58. Triborough Brdg & Tunl Auth N Y Revs 05 (89602NYB4)	C	Int./Div.	L	T					
59. Triborough Brdg & Tunl Auth N Y Revs 05 (89602NZE7)	C	Int./Div.	L	T					
60. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
61. Metropolitan Transn Auth NY Rev For (59259YRF8)	B	Int./Div.			Sold	04/07/16	M		
62. New York St Twy Authst Pers Income Tax (650028RX3)	B	Int./Div.			Sold	08/09/16	L	A	
63. New York N Y Go Bds Subseries L-1 Fiscal (64966G4H2)	C	Int./Div.			Sold	04/13/16	M		
64. New York N Y City Transitional Fin (64972HTM4)	C	Int./Div.			Sold	03/15/16	M	A	
65. New York St Dorm Auth Revs St. (64990HFT2)	C	Int./Div.			Sold	08/23/16	L		
66. New York N Y City Transitional Fin (64972HSF0)	D	Int./Div.			Sold	09/26/16	M		
67. IRA #1 (H)									
68. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					

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69. BBH Core Select N	C	Int./Div.	L	T	Buy (add'l)	01/13/16	J		
70. Victory Global Natural Resources Fund		None	K	T	Buy (add'l)	01/08/16	K		
71. WCM Focused International Growth Instl	A	Int./Div.	K	T	Buy	01/11/16	K		
72. First Eagle Global Inst CL I	A	Int./Div.	J	T	Sold (part)	01/08/16	K		
73. Scout Unconstrained Bond Instl	A	Int./Div.	K	T	Sold (part)	01/08/16	K		
74. IRA #3 (H)									
75. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
76. BBH Core Select N	B	Int./Div.	K	T	Buy (add'l)	01/13/16	J		
77. Victory Global Natural Resources Fund		None	J	T					
78. -First Eagle Global Inst CL I	A	Int./Div.	J	T					
79. -Scout Unconstrained Bond Instl	A	Int./Div.	K	T					
80. IRA #4 (H)									
81. Victory Global Natural Resources Fund		None	L	T	Buy (add'l)	01/08/16	K		
82. -Scout Unconstrained Bond Instl	B	Int./Div.	M	T	Sold (part)	01/08/16	K		
83.					Sold (part)	10/12/16	J		
84. IRA #5 (H)									
85. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T	Sold (part)	10/12/16	J		

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86. -Scout Unconstrained Bond Instl	A	Int./Div.	K	T					
87. IRA #6 (H)									
88. BlackGold Opportunity Offshore Fund, LTD		None	N	T					
89. FPA Crescent	D	Int./Div.	M	T					
90. JPMorgan Strategic Income Opports Sel	D	Int./Div.	M	T	Sold (part)	10/25/16	K	A	
91. Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	L	T					
92. Victory Global Natural Resources Fund		None			Sold	01/08/16	M		
93. Definitive Guardian Fund, Ltd	E	Distribution			Redeemed	03/21/16	K		
94. Trust #1 (H)									
95. BBH Core Select N	B	Int./Div.	K	T					
96. Berkshire Hathaway Inc Del B		None	L	T					
97. BlackRock Strategic Income Opportunities Portfolio Institutional Share	B	Int./Div.	K	T					
98. Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T					
99. Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T					
100. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
101. WisdomTree MidCap Dividend	A	Int./Div.	J	T					
102. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. FPA Crescent	A	Int./Div.	K	T					
104. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
105. Prudential Jennison Natural Resources Fund Cl Z	A	Int./Div.	J	T					
106. First Eagle Global Inst CL I	B	Int./Div.	K	T					
107. Schwab Municipal Money Fund Sweep Shares	A	Int./Div.	J	T					
108. Templeton Global Bond Fund Cl A	A	Int./Div.	J	T	Sold (part)	10/05/16	J		
109. WCM Focused International Growth Instl	A	Int./Div.	J	T	Buy	10/05/16	J		
110. Energy Select Sector SPDR	A	Int./Div.	J	T					
111. Trust #2 (H)									
112. BBH Core Select N	A	Int./Div.	J	T					
113. Berkshire Hathaway Inc Del B		None	L	T					
114. BlackRock Strategic Income Opportunities Portfolio Institutional Share	B	Int./Div.	K	T					
115. Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T					
116. Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T					
117. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
118. -WisdomTree MidCap Dividend	A	Int./Div.	J	T					
119. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. FPA Crescent	A	Int./Div.	J	T					
121. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
122. Prudential Jennison Natural Resources Fund CI Z	A	Int./Div.	J	T					
123. First Eagle Global Inst CL I	A	Int./Div.	J	T					
124. Schwab Municipal Money Fund Sweep Shares	A	Int./Div.	J	T					
125. Templeton Global Bond Fund CI A	A	Int./Div.	J	T	Sold (part)	10/05/16	J		
126. WCM Focused International Growth Instl	A	Int./Div.	J	T	Buy	10/05/16	J		
127. UTMA #1 (H)									
128. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
129. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
130. WisdomTree MidCap Dividend	A	Int./Div.	J	T					
131. Prudential Jennison Natural Resources Fund CI Z	A	Int./Div.	J	T					
132. First Eagle Global Inst CL I	A	Int./Div.	J	T					
133. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
134. Templeton Global Bond Fund CI A	A	Int./Div.	K	T					
135. Energy Select Sector SPDR	A	Int./Div.	J	T					
136. UTMA #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
138. Berkshire Hathaway Inc Del B		None	L	T					
139. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
140. -Prudential Jennison Natural Resources Fund C1 Z	A	Int./Div.	J	T					
141. -First Eagle Global Inst CL I	B	Int./Div.	K	T					
142. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
143. UTMA #3 (H)									
144. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					
145. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
146. -WisdomTree MidCap Dividend	A	Int./Div.	J	T					
147. -Prudential Jennison Natural Resources Fund C1 Z	A	Int./Div.	J	T					
148. -First Eagle Global Inst CL I	A	Int./Div.	J	T					
149. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
150. Templeton Global Bond Fund C1 A	A	Int./Div.	K	T					
151. Energy Select Sector SPDR	A	Int./Div.	J	T					
152. UTMA #4 (H)									
153. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Berkshire Hathaway Inc Del B		None	L	T					
155. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
156. -Prudential Jennison Natural Resources Fund CI Z	A	Int./Div.	J	T					
157. -First Eagle Global Inst CL I	B	Int./Div.	K	T					
158. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
159. 529 Plan #1 (H)									
160. New York Saves Bond Market Index Portfolio		None	K	T	Sold (part)	07/15/16	J	A	
161. New York Saves Growth Stock Index Portfolio		None	J	T	Sold (part)	07/15/16	J	A	
162. New York Saves Interest Accumulation Portfolio		None	L	T	Sold (part)	07/15/16	J	A	
163.					Sold (part)	08/29/16	J	A	
164.					Sold (part)	09/06/16	J	A	
165.					Sold (part)	09/08/16	J	A	
166. New York Saves Value Stock Index Portfolio		None	J	T	Sold (part)	07/15/16	J	A	
167. 529 Plan #2 (H)									
168. New York Saves Aggressive Growth Portfolio		None	K	T	Sold (part)	07/13/16	L	D	
169.					Sold (part)	12/19/16	J	A	
170. New York Saves Interest Accumulation Portfolio		None	L	T	Sold (part)	07/13/16	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	12/19/16	J	A	
172. New York Saves Mid Cap Stock Index Portfolio		None	J	T	Sold (part)	07/13/16	J	D	
173.					Sold (part)	12/19/16	J		
174. New York Saves Developed Markets Income Portfolio		None	J	T	Sold (part)	07/13/16	J	B	
175.					Sold (part)	12/19/16	J		
176. 529 Plan #3 (H)									
177. New York Saves Aggressive Growth Portfolio		None	K	T					
178. New York Saves Interest Accumulation Portfolio		None	M	T					
179. New York Saves Mid Cap Stock Index Portfolio		None	K	T					
180. New York Saves Developed Markets Income Portfolio		None	K	T					
181. 529 Plan #4 (H)									
182. New York Saves Bond Market Index Portfolio		None	K	T					
183. New York Saves Growth Stock Index Portfolio		None	K	T					
184. New York Saves Interest Accumulation Portfolio		None	L	T					
185. New York Saves Value Stock Index Portfolio		None	K	T					
186. Teachers Retirement System of NY 403(b) (H)									
187. Diversified Equity		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Stable Value		None	M	T					
189. International Equity		None	L	T					
190. Citibank Checking Account (H)		None	M	T					
191. Chase Checking Account (H)		None	K	T					
192. Real Estate Investment Partnerships (H)									
193. TC Albuquerque- Private Estate Partnership	D	Interest			Distributed	12/31/16	K		
194. Estate #2- Checking Account A (H)									
195. Chase Checking Account		None	L	T					
196. Brokerage #7 (H)									
197. -CapitalSpring Direct Lending Partners, LP (Priv Equity)	F	Distribution	O	T					
198. Brokerage #8 (H)									
199. East Hampton Twn N Ypub Impt Go Bds Ser.	A	Int./Div.	J	T	Sold (part)	05/15/16	J	A	
200. -BBH Core Select N	D	Int./Div.	L	T					
201. Baron Growth	C	Int./Div.	K	T					
202. Berkshire Hathaway Inc Del B		None	L	T	Sold (part)	10/24/16	K	E	
203.					Sold (part)	11/08/16	J	C	
204.					Sold (part)	11/11/16	J	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Fidelity Contrafund	B	Int./Div.	L	T					
206. Fidelity Low-Priced Stock Fund	B	Int./Div.	K	T					
207. Midcap SPDR Trust Series 1	A	Int./Div.	K	T					
208. Victory Global Natural Resources Fund		None	M	T	Buy	01/08/16	M		
209. Royce Total Return Fund	B	Int./Div.	K	T					
210. S&P 500 Depository Receipt	B	Int./Div.	L	T					
211. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	M	T					
212. Tweedy Browne Global Value Fund	B	Int./Div.	K	T					
213. Blackrock Global Allocation I		None			Sold	01/08/16	M		
214. IRA #7 (H)									
215. -Aberdeen Emerging Markets Instl	B	Int./Div.	M	T	Sold (part)	10/12/16	K		
216. -BBH Core Select N	D	Int./Div.	L	T					
217. Victory Global Natural Resources Fund		None	L	T	Buy (add'l)	01/08/16	K		
218. First Eagle Global Inst CL I	D	Int./Div.	N	T					
219. Scout Unconstrained Bond Instl	B	Int./Div.	M	T	Sold (part)	01/08/16	K		
220. Schwab Advisor Cash Reserves Premier Sweep (Y)									
221. IRA #8 (H)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -BBH Core Select N	D	Int./Div.	L	T					
223. -First Eagle Global Inst Cl I	C	Int./Div.	L	T	Sold (part)	10/12/16	J	A	
224. -Scout Unconstrained Bond Instl	A	Int./Div.	L	T					
225. Trust #3 (H)									
226. SPDR S&P 500 EFT Trust	A	Int./Div.	J	T					
227. SPDR S&P Midcap 400 EFT Trust	A	Int./Div.	J	T	Sold (part)	02/12/16	J	C	
228. Fidelity Contrafund	A	Int./Div.	K	T					
229. Fidelity Low Price Stock	A	Int./Div.	J	T					
230. BBH Core Select Fund	A	Int./Div.	J	T					
231. Baron Growth Fund Retail Shares		None			Sold	01/12/16	J	B	
232. BlackRock Global Allocation Fd Instl	A	Int./Div.	J	T					
233. Tweedy Browne Global Value Fund		None			Sold	01/14/16	J	A	
234. Vanguard Intermediate Tax Exempt Admiral	A	Int./Div.	K	T					
235. Vanguard Limited Term Tax Exempt Admiral	A	Int./Div.	J	T					
236. Fidelity Municipal Money Market	A	Int./Div.	J	T					
237. BlackRock Strategic Muni Opportunities I	A	Int./Div.	K	T					
238. BlackRock National Muni Fund Instl	A	Int./Div.			Buy	04/22/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.		None			Sold	12/19/16	J		
240. Deutsche Intermediate Tax/Amt Free Fd Instl	A	Int./Div.	K	T	Buy (add'l)	12/21/16	J		
241. iShares Core S&P Small Cap ETF		None			Sold	02/12/16	J		
242. Fidelity Total MKT Index Premium CL	A	Int./Div.	J	T					
243. Glenmede Large Cap Core	A	Int./Div.	J	T	Buy	01/14/16	J		
244. WisdomTree Trust European Hedged EQ		None			Buy	01/15/16	J		
245.		None			Sold	02/12/16	J		
246. MSCI DBX EFT Trust Europe Hedged Equity		None			Buy	01/15/16	J		
247.		None			Sold	02/12/16	J		
248. Trust #4 (H)									
249. Chase Checking Account		None	J	T					
250. UTMA (#5) (H)									
251. SPDR Bloomberg Barclays 1-3 Month T Bill ETF	A	Int./Div.	J	T					
252. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
253. JPMorgan Strategic Income Opports Sel	A	Int./Div.	J	T					
254. -Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	J	T					
255. UTMA (#6) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. SPDR Bloomberg Barclays 1-3 Month T Bill ETF	A	Int./Div.	J	T	Buy (add'l)	08/05/16	J		
257. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T	Buy (add'l)	08/05/16	J		
258. First Trust Dow Jones Internet Index		None	J	T					
259. -JPMorgan Strategic Income Opports. Sel.	A	Int./Div.	J	T					
260. -Schwab Advisor Cash Reserves Premier Sweep	A	Int./Div.	J	T					
261. Trust #5 (H)									
262. New York Go Bds Ser D 5% 08/01/2018		None	J	T	Buy	12/02/16	J		
263. -BBH Core Select N	B	Int./Div.	K	T					
264. -Berkshire Hathaway Inc Del B		None	K	T					
265. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
266.					Sold (part)	10/05/16	K		
267. -Credit Suisse Floating Rate Hi Inc	B	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
268.					Sold (part)	10/05/16	J		
269. Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
270. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
271. WisdomTree MidCap Dividend	A	Int./Div.	J	T					
272. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T	Buy (add'l)	01/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. FPA Crescent	B	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
274. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
275. Prudential Jennison Natural Resources Fund Cl Z	A	Int./Div.	J	T					
276. Victory Global Natural Resources Fund		None	J	T	Buy (add'l)	01/08/16	J		
277. First Eagle Global Inst CL I	A	Int./Div.	J	T	Sold (part)	01/08/16	K	A	
278.					Sold (part)	10/05/16	K	C	
279. Scout Unconstrained Bond Instl	A	Int./Div.	J	T					
280. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					
281. Templeton Global Bond Fund Cl A	A	Int./Div.	J	T	Sold (part)	10/05/16	J		
282. WCM Focused International Growth Instl	A	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
283.					Buy (add'l)	10/15/16	J		
284. Energy Select Sector SPDR	A	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
285. Trust #6 (H)									
286. New York Go Bds Ser D 5% 08/01/2018		None	J	T	Buy (add'l)	12/02/16	J		
287. -BBH Core Select N	C	Int./Div.	K	T					
288. -Berkshire Hathaway Inc Del B		None	K	T					
289. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T	Buy (add'l)	01/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	10/05/16	K		
291. -Credit Suisse Floating Rate Hi Inc	B	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
292.					Sold (part)	10/05/16	J		
293. Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
294. Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
295. WisdomTree MidCap Dividend	A	Int./Div.	J	T					
296. iShares MSCI Emerging Market Index Fund	A	Int./Div.	J	T	Buy (add'l)	01/08/16	J		
297. FPA Crescent	B	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
298. iShares Tr S&P 400 BARRA Midcap Growth	A	Int./Div.	J	T					
299. Prudential Jennison Natural Resources Fund CI Z	A	Int./Div.	J	T					
300. Victory Global Natural Resources Fund		None	J	T	Buy	01/08/16	J		
301. First Eagle Global Inst CL I	B	Int./Div.	K	T	Sold (part)	01/08/16	K	A	
302.					Sold (part)	10/05/16	K	C	
303. Scout Unconstrained Bond Instl	A	Int./Div.	J	T					
304. Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					
305. Templeton Global Bond Fund CI A	A	Int./Div.	J	T	Sold (part)	10/05/16	J		
306. WCM Focused International Growth Instl	A	Int./Div.	K	T	Buy (add'l)	01/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	10/05/16	J		
308. Energy Select Sector SPDR	A	Int./Div.	K	T	Buy (add'l)	01/08/16	J		
309. IRA #9 (H) (x)									
310. CapitalSpring Investment Partners V Parallel III, LP (x)	A	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to Committee's request for additional information, line item 242 is the same asset as line item 250-251 in the 2015 report. By looking at the Fidelity account statements provided for 2015 & 2016, Fidelity used different naming conventions. Both securities are for ticker symbol FSTVX. The statement from 2015 read Spartan Total Market Index Fid Advantage Class and the 2016 statement read Fidelity Total MKT Index Premium CL. Fidelity rebranded the security from Spartan Total Market Index FID Advantage Class to Fidelity Total MKT Index Premium CL.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul A. Engelmayer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544