

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fashing, Laura N.	2. Court or Organization U.S. District Court, District of New Mexico	3. Date of Report 5/9/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 333 Lomas Blvd. NW, Suite 680 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary/Treasurer	Undaunted Carnival Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

self-employed physician

2. 2017

Presbyterian -- salary

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Ironstone Bank	Mortgage on Commercial Property	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Commercial Property, Albuquerque, NM	E	Rent	N	W					
2. IRA Contributory Acct (H)									
3. - American Beacon Gbl	A	Dividend	J	T					
4. - American Fd Amcap Fund	A	Dividend	J	T					
5. - Artisan Intl Fund Adv		None			Sold	05/16/17	J	A	
6. - Elements International Port	A	Dividend	J	T	Buy	05/17/17	J		
7. - Elements US Port	A	Dividend	J	T	Buy	04/19/17	J		
8. - FMI Large Cap Fund Inst		None			Sold	04/18/17	J	A	
9. - Hennessy Cornerstone Mid	A	Dividend	J	T					
10. - Stone Ridge All Asset	A	Dividend	J	T					
11. Investment Acct #1 (H)									
12. - Schwab Money Market Acct	A	Interest	J	T					
13. - American Beacon Gbl	A	Dividend	J	T					
14. - Angel Oak Flexible Incm	A	Dividend			Sold	09/22/17	J	A	
15. - Doubleline Total Return	A	Dividend	J	T					
16. - Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
17. - AMG Yackman Fd Svc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Artisan Intl Fund Inv	A	Dividend	J	T					
19. - Dodge & Cox Intl Stock Fund	A	Dividend	J	T					
20. - Driehaus Frontier Emrg Mkts Fd	A	Dividend	J	T					
21. - Carillon Eagle Mid Cap Stk Fd A (formerly Eagle Mid Cap Stk Fd C1 A)	A	Dividend	J	T					
22. - Elements International Small Cap Port	A	Dividend	J	T	Buy	05/26/17	J		
23. - Fidelity Contra Fund	A	Dividend	J	T					
24. - FMI Large Cap Fund Inst	A	Dividend	J	T					
25. - Hennessy Cornerstone Mid	A	Dividend	J	T					
26. - Oberweis Intl Oppty Fd Inst		None			Sold	05/25/17	J	A	
27. - Stone Ridge All Asset Variance Risk Prem Com C1	A	Dividend	J	T					
28. - Stone Ridge Reinsurance	A	Dividend	J	T					
29. Investment Acct #2 (H)									
30. - Angel Oak Flexible Incm	A	Dividend			Sold	09/22/17	J	A	
31. - Doubleline Total Return	A	Dividend	J	T					
32. - Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
33. - Voya Securitized Credit	A	Dividend	J	T					
34. - American Beacon Bridgeway Lg Cap Value Y	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Artisan Intl Fund Adv	A	Dividend	J	T					
36. - Dodge & Cox Intl Stock Fund	A	Dividend	J	T	Sold (part)	10/04/17	J	A	
37. - Driehaus Frontier Emrg Mkts Fd		None			Sold	07/18/17	J	A	
38. - Elements Emerging Mkts Port	A	Dividend	J	T	Buy	07/19/17	J		
39. - Elements International Small Cap Port	A	Dividend	J	T	Buy	05/26/17	J		
40. - Elements US Small Cap Port	A	Dividend	J	T	Buy	04/21/17	J		
41. - Fidelity Contra Fund	A	Dividend	J	T					
42. - FMI Large Cap Fund Inst	A	Dividend	J	T					
43. - Glenmede Secured Options		None			Sold	03/23/17	J	A	
44. - Glenmede Secured Options Inst	A	Dividend	J	T	Buy	03/23/17	J		
45. - JPMorgan Mid Cap Value Inst	A	Dividend	J	T					
46. - Oberweiss Intl Oppty Fd Inst		None			Sold	05/25/17	J	A	
47. - Stone Ridge All Asset Variance Risk Prem Com Cl	A	Dividend	J	T					
48. - Stone Ridge Reinsurance Risk Prem Interval	A	Dividend	J	T					
49. - Voya Midcap Oppty Fd I	A	Dividend	J	T					
50. IRA Rollover Acct (H)									
51. - Schwab Govt Money Fund (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52. - American Beacon Gbl	A	Dividend	J	T					
53. - Angel Oak Flexible Incm Inst	A	Dividend			Sold	09/22/17	J	A	
54. - Doubleline Total Return Bd Fd Cl 1	A	Dividend	J	T					
55. - Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
56. - Pimco Total Return Fund Instl Cl	A	Dividend	J	T					
57. - American Beacon Bridgeway Lg Cap Value Y		None			Sold	04/18/17	J	A	
58. - Artisan Intl Fund Adv		None			Sold	05/16/17	J	A	
59. - Dodge & Cox Intl Stock Fund		None			Sold	05/17/17	J	A	
60. - Driehaus Frontier Emrg Mkts Fd		None			Sold	06/23/17	J	A	
61. - Elements Emerging Mkts Port	A	Dividend	J	T	Buy	06/26/17	J		
62. - Elements International Port	A	Dividend	J	T	Buy	05/17/17	J		
63.					Buy (add'l)	05/18/17	J		
64. - Elements International Small Cap Port	A	Dividend	J	T	Buy	05/19/17	J		
65. - Elements US Port	A	Dividend	K	T	Buy	04/19/17	K		
66.					Buy (add'l)	04/20/17	J		
67. - Elements US Small Cap Port	A	Dividend	J	T	Buy	04/21/17	J		
68. - Fidelity Contra Fund	A	Dividend			Sold	04/18/17	J	A	

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69. - FMI Large Cap Fund Inst		None			Sold	04/18/17	J	A	
70. - JPMorgan Mid Cap Value Inst		None			Sold	04/19/17	J	A	
71. - Longleaf Partners Small Cap Fund	B	Dividend	J	T					
72. - Oberweis Intl Oppty Fd Inst		None			Sold	05/18/17	J	A	
73. - Stone Ridge All Asset Variance Risk Prem Com Cl	B	Dividend	J	T					
74. - Stone Ridge Reinsurance Risk Prem Interval	A	Dividend	J	T					
75. - Voya Midcap Oppty Fd 1		None			Sold	04/20/17	J	A	
76. Inherited IRA (H)									
77. - Angel Oak Flexible Incm Inst	A	Dividend			Sold	09/22/17	J	A	
78. - Doubleline Total Return Fd Fd Cl 1	A	Dividend	J	T					
79. - Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
80. - American Fd Amcap Fund F1	A	Dividend	J	T	Sold (part)	12/07/17	J	A	
81. - Artisan Intl Fund Inv	A	Dividend	J	T					
82. - Dodge & Cox Intl Stock Fund		None			Sold	05/17/17	J	A	
83. - Elements International Port	A	Dividend	J	T	Buy	05/18/17	J		
84. - Elements US Port	A	Dividend	J	T	Buy	04/19/17	J		
85. - Elements US Small Cap Port	A	Dividend	J	T	Buy	04/21/17	J		

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86. - FMI Large Cap Fund Inst		None			Sold	04/18/17	J	A	
87. - Stone Ridge All Asset Variance Risk Prem Com Cl	A	Dividend	J	T					
88. - Touchstone Small Cap Fd Cl Y		None			Sold	04/20/17	J	A	
89. - Voya Midcap Oppty Fd 1		None			Sold	04/20/17	J	A	
90. [REDACTED] Simple IRA (H)									
91. - Schwab Govt Money Fund (Y)									
92. - Angel Oak Flexible Incm Inst	A	Dividend			Sold	09/22/17	J	A	
93. - Angel Oak Multi Strategy Incm Fd Instl	A	Dividend	J	T					
94. - Calvert Bond Port Cl A	A	Dividend	J	T					
95. - Domini Impact Bond Fund (formerly Domini Social Bond Fund Inv Cl)	A	Dividend	J	T					
96. - Doubleline Total Return Bd Fd Cl 1	A	Dividend	J	T	Buy (add'l)	03/16/17	J		
97. - Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
98. - Stone Ridge Alt Lending Risk Premium Inst	A	Dividend	J	T					
99. - American Beacon Bridgeway Lg Cap Value Y		None			Sold	04/18/17	J	A	
100. - Artisan Intl Fund Adv		None			Buy (add'l)	03/16/17	J		
101.					Sold	05/16/17	J	A	
102. - Ashmore Emrg Mkts Frontier Eqty Inst	A	Dividend			Sold	06/09/17	J	A	

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103. - Calvert Equity Portfolio CI A	B	Dividend	K	T					
104. - Dodge & Cox Intl Stock Fund		None			Sold	05/17/17	J	A	
105. - Driehaus Frontier Emrg Mkts Fd		None			Sold	06/23/17	J	A	
106. - Elements Emerging Mkts Port	A	Dividend	J	T	Buy	06/12/17	J		
107.					Buy (add'l)	06/26/17	J		
108. - Elements International Port	A	Dividend	K	T	Buy	05/17/17	J		
109.					Buy (add'l)	05/18/17	J		
110. - Elements International Small Cap Port	A	Dividend	J	T	Buy	05/19/17	J		
111. - Elements US Port	A	Dividend	K	T	Buy	04/19/17	K		
112. - Elements US Small Cap Port	A	Dividend	J	T	Buy	04/21/17	J		
113. - FMI Large Cap Fund Inst		None			Sold	04/18/17	J	A	
114. - Glenmede Secured Options		None			Sold	03/23/17	J	A	
115. - Glenmede Secured Options Inst.	B	Dividend	J	T	Buy	03/16/17	J		
116.					Buy (add'l)	03/23/17	J		
117.					Sold (part)	12/13/17	J	A	
118. - Oberweis Intl Oppty Fd Inst		None			Sold	05/18/17	J	A	
119. - PAX ESG Beta Quality Fd (formerly PAX Growth Indvl Inv CI)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Sentinel Sustainable Core Oppty A	A	Dividend			Merged (with line 125)	10/27/17	K		
121. - Stone Ridge All Asset Variance Risk Prem Com Cl	B	Dividend	K	T					
122. - Stone Ridge Reinsurance Risk Prem Interval	A	Dividend	J	T	Buy (add'l)	12/14/17	J		
123. - Touchstone Small Cap Fd Cl Y		None			Buy	03/16/17	J		
124.					Sold	04/20/17	J	A	
125. - Touchstone Sustainability & Impact Eqty A	B	Dividend	K	T					
126. Investment Acct (H)									
127. - Schwab Markettrack All Equity	A	Int./Div.	J	T					
128. Rollover IRA (H)									
129. - Schwab Govt Money Fund (Y)									
130. - Angel Oak Flexible Incm Inst	A	Dividend			Sold	09/22/17	J	A	
131. - Calvert Bond Port Cl A	A	Dividend	J	T					
132. - Domini Impact Bond Fund (formerly Domini Social Bond Fund Inv Cl)	A	Dividend	J	T					
133. - Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
134. - Voya Securitized Credit	A	Dividend	J	T					
135. - American Beacon Bridgeway Lg Cap Value Y		None			Sold	04/18/17	K	A	
136. - Artisan Intl Fund Adv		None			Sold	05/16/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Ashmore Emrg Mkts Frontier Eqty Inst	A	Dividend			Sold	06/09/17	J	A	
138. - Calvert Equity Portfolio C1 A	B	Dividend	K	T	Sold (part)	03/29/17	J	A	
139. - Dodge & Cox Intl Stock Fund		None			Sold	05/17/17	J	A	
140. - Driehaus Frontier Emrg Mkts Fd		None			Sold	06/23/17	J	A	
141. - Elements Emerging Mkts Port	A	Dividend	J	T	Buy	06/12/17	J		
142.					Buy (add'l)	06/26/17	J		
143. - Elements International Port	A	Dividend	K	T	Buy	05/17/17	J		
144.					Buy (add'l)	05/18/17	J		
145. - Elements International Small Cap Port	A	Dividend	J	T	Buy	05/19/17	J		
146. - Elements US Port	A	Dividend	K	T	Buy	04/19/17	K		
147.					Buy (add'l)	04/20/17	J		
148. - Elements US Small Cap	A	Dividend	J	T	Buy	04/21/17	J		
149. - FMI Large Cap Fund Inst		None			Sold	04/18/17	J	A	
150. - Glenmede Secured Options		None			Sold	03/23/17	J	A	
151. - Glenmede Secured Options Inst	A	Dividend	J	T	Buy	03/23/17	J		
152.					Sold (part)	12/13/17	J	A	
153. - JPMorgan Mid Cap Value L		None			Sold	04/19/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Oberweis Intl Oppty Fd Inst		None			Sold	05/18/17	J	A	
155. - PAX ESG Beta Quality Fd	A	Dividend	J	T					
156. - Sentinel Sustainable Core Oppty A	A	Dividend			Merged (with line 160)	10/27/17	K		
157. - Stone Ridge All Asset Variance Risk Prem Com Cl	B	Dividend	J	T					
158. - Stone Ridge Reinsurance Risk Prem Interval	A	Dividend	J	T	Buy (add'l)	12/14/17	J		
159. - Touchstone Small Cap Fd Cl Y		None			Sold	04/20/17	J	A	
160. - Touchstone Sustainability & Impact Eqty A	B	Dividend	K	T					
161. USAA Bank Accts	A	Interest	K	T					
162. Bank of the West Bank Accts	A	Interest	L	T					
163. USAA Precious Metals Investmt Fund		None	J	T					
164. USAA Precious Metals Investmt Fund		None	J	T					
165. The Education Plan, Index Age Based Portfolio 60		None	L	T					
166. The Education Plan, Index Age Based Portfolio 10	A	Int./Div.			Sold	05/22/17	J	A	
167. The Education Plan, Index Low Duration Fixed Income		None	J	T	Sold (part)	11/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laura N. Fashing**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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