

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ray, Jr., Hal R.	2. Court or Organization U. S. District Court for the Northern District of Texas	3. Date of Report 10/18/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 07/29/2016
7. Chambers or Office Address 1000 Lamar Street Room 203 Wichita Falls, TX 76301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director and Past Chairman	Masonic Temple Library & Museum of Fort Worth, Inc.
2. Director and Past Chairman	Masonic Temple Association of Fort Worth, Inc.
3. Director	Fort Worth Scottish Rite Foundation, Inc.
4. General Counsel and Assistant Secretary	Home for Aged Masons, also known as Texas Masonic Retirement Center
5. Partner	Pope, Hardwicke, Christie, Schell, Kelly & Ray, LLP
6. Partner	Panther City Bank Partners
7. International Chapter Leader	University of London International Programmes Alumni Association
8. Co-Trustee	Family Trust # 1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 06-24-2016	Pope law firm will pay partnership interest through 08-31-16, pay one other fee, contribute to retirement plan, send oil and gas checks, and keep table, chair.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Pope, Hardwicke, Christie, Schell, Kelly & Ray, LLP partnership income	\$319,477.00
2. 2014	Teacher Retirement System survivor pension	\$25,302.00
3. 2015	Pope, Hardwicke, Christie, Schell, Kelly & Ray, LLP partnership income	\$433,322.00
4. 2015	Teacher Retirement System survivor pension	\$27,410.24
5. 2016	Pope, Hardwicke, Christie, Schell, Kelly & Ray, LLP partnership draws	\$116,600.00
6. 2016	Teacher Retirement System survivor pension	\$14,759.36
7. 2015	Brokerage firm marketing bonus for funding account	\$1,000.00
8. 2015	Bank of America class action settlement payment	\$319.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Teacher Retirement System survivor benefit
2. 2015	PERS of Mississippi survivor benefit
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust # 1 (H)					Exempt				
2. - Cisco Systems Inc. common stock	B	Dividend	K	T	Exempt				
3. - Intel Corp common stock	B	Dividend	K	T	Exempt				
4. - Williams Companies Inc. common stock	C	Dividend	K	T	Exempt				
5. - Yum Brands, Inc. common stock	A	Dividend	J	T	Exempt				
6. - ETrade Cash Account	A	Interest	M	T	Exempt				
7. - U.S. Savings Bonds	C	Interest	K	T	Exempt				
8. - BBVA Bank cash account	A	Interest	J	T	Exempt				
9. IRA # 1 (H)					Exempt				
10. - Vanguard Target Retirement Fund 2025	A	Dividend	J	T	Exempt				
11. - Vanguard Target Retirement Fund 2030	C	Dividend	L	T	Exempt				
12. - Vanguard Target Retirement Fund 2035	B	Dividend	K	T	Exempt				
13. - Vanguard Target Retirement Fund 2040	B	Dividend	L	T	Exempt				
14. - Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Exempt				
15. - Vanguard Prime Money Market Fund	A	Dividend	J	T	Exempt				
16. IRA # 2 (H)					Exempt				
17. - Vanguard Target Retirement Fund 2025	C	Dividend	M	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. - Vanguard Target Retirement Fund 2030	D	Dividend	M	T	Exempt				
19. - Vanguard Target Retirement Fund 2035	D	Dividend	M	T	Exempt				
20. - Vanguard Target Retirement Fund 2040	D	Dividend	M	T	Exempt				
21. - Vanguard Total Stock Market Index Fund	A	Dividend	K	T	Exempt				
22. - Vanguard Prime Money Market Fund	A	Dividend	K	T	Exempt				
23. IRA # 3 (H)					Exempt				
24. - Vanguard Target Retirement Fund 2025	A	Dividend	J	T	Exempt				
25. - Vanguard Target Retirement Fund 2030	A	Dividend	K	T	Exempt				
26. - Vanguard Target Retirement Fund 2040	A	Dividend	K	T	Exempt				
27. - Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Exempt				
28. - Vanguard Prime Money Market Fund	A	Dividend	J	T	Exempt				
29. - Bank of America common stock	A	Dividend	J	T	Exempt				
30. IRA # 4 (H)					Exempt				
31. - Bank of America common stock	A	Dividend	J	T	Exempt				
32. -ETrade Cash Account	A	Interest	J	T	Exempt				
33. IRA # 5 (H)					Exempt				
34. - Prime Money Market Fund	A	Dividend			Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. IRA # 6 (H)					Exempt				
36. - ETrade Cash Account	A	Interest	L	T	Exempt				
37. Account # 1 (H)					Exempt				
38. - Fidelity Spartan Short-Term Treasury Bond Fund	A	Dividend			Exempt				
39. - Fidelity Cash Account	A	Interest			Exempt				
40. Account # 2 (H)					Exempt				
41. - Fidelity Cash Account	A	Interest	J	T	Exempt				
42. - Fidelity Four in One Index Fund	C	Dividend	M	T	Exempt				
43. - Fidelity Spartan Short-Term Treasury Bond Fund	A	Dividend			Exempt				
44. Account # 3 (H)					Exempt				
45. - Vanguard Short-Term Tax Exempt Bond Fund	A	Interest	J	U	Exempt				
46. - Vanguard Target Retirement Fund 2030	D	Dividend	N	T	Exempt				
47. - Vanguard Target Retirement Fund 2035	D	Dividend	N	T	Exempt				
48. - Vanguard Target Retirement Fund 2040	D	Dividend	M	T	Exempt				
49. Account # 4 (H)					Exempt				
50. - Vanguard 529 Plan Moderate Age-Based Option: Income Portfolio Fund		None	K	T	Exempt				
51. Account # 5 (H)					Exempt				

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52. - Vanguard 500 Index Fund	B	Dividend	K	T	Exempt				
53. - Vanguard Prime Money Market Fund	A	Dividend	M	T	Exempt				
54. - Vanguard Windsor Fund	B	Dividend	J	T	Exempt				
55. - American Funds Capital Income Builders Fund	B	Dividend	K	T	Exempt				
56. - American Funds-The Income Fund of America	C	Dividend	K	T	Exempt				
57. - Franklin High Yield Tax Free Income Fund	C	Dividend	L	T	Exempt				
58. - Franklin Income Fund	B	Dividend	K	T	Exempt				
59. - Thornburg Investment Income Builder Fund	B	Dividend	K	T	Exempt				
60. - Altria Group Inc. common stock	A	Dividend	J	T	Exempt				
61. - American Electric Power Co. common stock	C	Dividend	L	T	Exempt				
62. - AT&T common stock	D	Dividend	M	T	Exempt				
63. - Bank America Corp. common stock	A	Dividend	J	T	Exempt				
64. - Chemours Company common stock	A	Dividend	J	T	Exempt				
65. - Citigroup Inc. common stock	A	Dividend	J	T	Exempt				
66. - E.I. DuPont de Nemours & Company common stock	B	Dividend	K	T	Exempt				
67. - Energy Transfer Partners Unit Ltd. Partnership units	A	Dividend	J	T	Exempt				
68. - Entergy Corp. common stock	B	Dividend	K	T	Exempt				

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69. - ExxonMobil Corp. common stock	A	Dividend	J	T	Exempt				
70. - General Electric Company common stock	A	Dividend	J	T	Exempt				
71. - GlaxoSmithKline PLC Sponsored ADR	A	Dividend	J	T	Exempt				
72. - Intel Corp. common stock	A	Dividend	J	T	Exempt				
73. - Merck & Company Inc. common stock	A	Dividend	J	T	Exempt				
74. - Microsoft Corporation common stock	A	Dividend	J	T	Exempt				
75. - Nextera Energy Inc. common stock	C	Dividend	L	T	Exempt				
76. - Pepsico Inc. common stock	A	Dividend	J	T	Exempt				
77. - Westar Energy Inc. common stock	A	Dividend	K	T	Exempt				
78. - American Municipal Power of Ohio Inc. bond	A	Interest	J	T	Exempt				
79. - Grand River Dam Authority of Oklahoma bond	A	Interest	J	T	Exempt				
80. - Keller Texas Independent School District bond	A	Interest	J	T	Exempt				
81. - Port of Oakland California bond	A	Interest	J	T	Exempt				
82. - Investment Company of America Fund Class A	D	Dividend			Exempt				
83. - University of Texas Permanent University Fund Bond	A	Interest			Exempt				
84. - Regency Energy Partners LP units	A	Dividend			Exempt				
85. Account 6 (H)					Exempt				

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86. - Berkshire Hathaway common stock		None	J	T	Exempt				
87. - Coca Cola Company common stock	A	Dividend	K	T	Exempt				
88. - Pepsico Inc. common stock	B	Dividend	K	T	Exempt				
89. - AT&T Inc. common stock	A	Dividend	J	T	Exempt				
90. - ExxonMobil common stock	A	Dividend	J	T	Exempt				
91. - Yum Brands Inc. common stock	A	Dividend	J	T	Exempt				
92. - Addison, Texas CTFS Obligations Bond	A	Interest	J	T	Exempt				
93. - Bridgeport Independent School District Bond	A	Interest	J	T	Exempt				
94. - Denton Co., Texas Bond	A	Interest	J	T	Exempt				
95. - Eagle Mountain & Saginaw ISD School Bond	A	Interest	J	T	Exempt				
96. - Edinburg, Texas Local Government Bond	A	Interest	J	T	Exempt				
97. - El Paso County, Texas Hospital District Bond	A	Interest	J	T	Exempt				
98. - Harris County, Texas Cultural Educational Facilities Bond	A	Interest	J	T	Exempt				
99. - Illinois Finance Authority Bond	A	Interest	J	T	Exempt				
100. - Lower Colorado River Authority Bond	A	Interest	J	T	Exempt				
101. - Prosper ISD Bond	B	Interest	K	T	Exempt				
102. - Texas Transportation Commission Bond	A	Interest	J	T	Exempt				

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103. - Tyler, Texas Health Facilities Development Corp. Bonds	B	Interest	K	T	Exempt				
104. - Melissa ISD Bond	A	Interest			Exempt				
105. - Tarrant County, Texas Cultural Educational Facilities Bond	A	Interest			Exempt				
106. - Indiana Bd Bk Rev Spl Program Bond	A	Interest			Exempt				
107. - Winkler County, Texas Bond	A	Interest			Exempt				
108. - ETrade Cash Account	A	Interest	N	T	Exempt				
109. Account 7 (H)					Exempt				
110. - Abbie, Inc. common stock	A	Dividend	J	T	Exempt				
111. - Abbot Labs common stock	A	Dividend	J	T	Exempt				
112. - American Electric Power Inc. common stock	A	Dividend	J	T	Exempt				
113. - Applied Materials Inc. common stock	A	Dividend	K	T	Exempt				
114. - Apache Corp. common stock	A	Dividend	J	T	Exempt				
115. - Caterpillar Inc. common stock	A	Dividend	J	T	Exempt				
116. - CME Group Inc. common stock	B	Dividend	J	T	Exempt				
117. - Cummins Inc. common stock	A	Dividend	J	T	Exempt				
118. - CSX Corp. common stock	A	Dividend	J	T	Exempt				
119. - Chevron Corp. common stock	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Huntington Bancshares Inc. common stock	A	Dividend	J	T	Exempt				
121. - HollyFrontier Corp. common stock	A	Dividend	J	T	Exempt				
122. - Hewlett Packard Co. common stock	A	Dividend			Exempt				
123. - Hewlett Packard Enterprise Co. common stock	A	Dividend	J	T	Exempt				
124. - HP Inc. common stock	A	Dividend	J	T	Exempt				
125. - Harris Corp. common stock	A	Dividend	J	T	Exempt				
126. - Humana Inc. common stock	A	Dividend	J	T	Exempt				
127. - Mondelez International Inc. common stock	A	Dividend	J	T	Exempt				
128. - Merck & Co., Inc. common stock	A	Dividend	J	T	Exempt				
129. - ExxonMobil Corp. common stock	A	Dividend	J	T	Exempt				
130. - ETrade Cash Account	A	Interest	J	T	Exempt				
131. - Miscellaneous (H)					Exempt				
132. - Walt Disney Company common stock	C	Dividend	L	T	Exempt				
133. - MetLife, Inc. common stock	A	Dividend	J	T	Exempt				
134. - AT&T common stock	D	Dividend	M	T	Exempt				
135. - First Texas BHC, Inc. common stock		None	K	U	Exempt				
136. - Texas Tomorrow Fund account		None	K	V	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - HSA Bank	A	Interest	K	T	Exempt				
138. - Bank of America cash accounts	B	Interest	O	T	Exempt				
139. - BBVA Bank cash accounts	A	Interest			Exempt				
140. - American General Life Insurance Co. cash value of policies	B	Dividend	K	T	Exempt				
141. - Jackson National Life Insurance Co. cash value of policy	C	Dividend	K	T	Exempt				
142. - Reassure America Life Insurance Co. cash value of policy	A	Dividend	J	T	Exempt				
143. - MetLife, Inc. cash value of policy	A	Dividend	J	T	Exempt				
144. - Royalty Interests, Tarrant County, Texas	D	Royalty	K	V	Exempt				
145. - Working Interests, Bonds Ranch Wells, Tarrant County, Texas	C	Royalty	J	W	Exempt				
146.									
147.									
148.									
149.									
150.									
151.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On Part I, Positions, I resigned Positions # 1 through # 6 on or before 06-24-2016 when I was sworn in as a U.S. Magistrate Judge. I resigned Position # 7 on 07-27-2016. On Part VII, line 136 of the amended initial report (line 139 of the original report), the value of the Texas Tomorrow Fund account is calculated by multiplying the number of credit hours remaining under the contract by the per hour value set each year by the Fund. In Part VII, line 144 (originally line 146), the value of the royalty interests is based on the property tax values assigned by the appraisal district. In Part VII, line 145 (originally line 147), the estimated value of the Working Interest is based on a multiple of cashflow from the interest. For the Amended Report dated October 18, 2016, I made the following revisions in response to the Letter of Inquiry dated October 3, 2016: (1) deleted Part IIIA, lines 5 and 8, because they were reportable only on Part VII, Column D, for which I was exempted from reporting on the initial report; (2) combined Part VII, lines 6, 7, and 8 and lines 38 and 39 from the original initial report and identified the institution holding the cash accounts on new line 6 and new line 36, respectively; (3) identified the institutions holding the cash accounts on new line 32 (originally line 34), new line 39 (originally line 42), new line 41 (originally line 44), new line 42 (originally line 44), new line 108 (originally line 111), and new line 130 (originally line 133); (4) corrected the spelling of "Grand" on line 79 (originally line 82); and added on line 143 an additional insurance policy that I inadvertently omitted from the original initial report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hal R. Ray, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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