

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wells, Brooke C.	2. Court or Organization U.S. District Court - Utah	3. Date of Report 01/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 351 South West Temple Salt Lake City, Utah 84101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Personal Representative	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Salt Lake County, Criminal Justice Services, salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	Credit Cards	None
2.	Discover	Credit Card	None
3.	American Express	Credit Card	None
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank Accounts	A	Interest	J	T					
2. University of Utah Credit Union	A	Interest	J	T					
3. Wells Fargo IRA Acct (H)	A	Dividend	K	T					
4. - Franklin Small Mid Cap Growth Fund									
5. - Gavelli Value Fund Inc									
6. - Janus Flexible Bond Fund									
7. - Janus Invt Fd Worldwide Fund									
8. - Janus Twenty Fund Inc									
9. - Davis New York Venture Fund									
10. - Target Portfolio Tr Mtg Backed Secs Port									
11. - Wells Fargo FDS Tr Advantage Gov Secs Fd									
12. Equi-vest EQ Aggressive Stock Fund--Guaranteed Interest Account	A	Interest			Redeemed	10/01/13	K	B	
13. Massachusetts Mutual Whole Life Policy #1	A	Interest	K	T					
14. Quail Creek Bank Accounts (Trust #1)	A	Int./Div.	K	T					
15. Security Whole Life Insurance Policy	A	Int./Div.	L	T					
16. XTO Energy, mineral rights, Major County, Oklahoma	A	Royalty	J	W					
17. Mustang Gas Products LLC.	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,001 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Oneok Field Services, mineral rights, Major County, Oklahoma	A	Royalty	J	W					
19. Ringwood Gathering mineral rights, Major County, Oklahoma	A	Royalty	J	W					
20. Union Central cash IRA	A	Distribution			Redeemed	01/01/13	J		
21. Real Estate #1, Mt. Pleasant, Sanpete County, Utah	F	Distribution			Sold	01/01/13	M	F	M. Wilcox
22. Wells Fargo Bank Accounts	A	Interest	J	T					
23. Mountain America Credit Union, term life insurance		None	L	T					
24. Bott Energy Parnters, mineral rights, Oklahoma	A	Royalty	J	W					
25. Cross Timbers Oil Co., mineral rights, Oklahoma	A	Royalty	J	W					
26. Hall Resource Corp., mineral rights, Pontotoc County, Oklahoma	A	Royalty	J	W					
27. Sunoco mineral rights, Major County, Oklahoma	A	Royalty	J	W					
28. KCS Energy, Inc., mineral rights, Major County, Oklahoma	A	Royalty	J	W					
29. Massachusetts Mutual Life Policy #(Y) (whole life)									
30. OG&E Stock Certificate	A	Dividend	J	T					
31. Real Estate, San Juan County, Utah		None	M	T					
32. Chase Investment Account	B	Interest	M	T					
33. Real Estate parcel, San Juan County, Utah		None	M	T					
34.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All reportable assets related to Trust #1 are included in Part VII

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brooke C. Wells**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544