

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eddy, Cynthia R.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 09/05/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court for the Western District of Pennsylvania 700 Grant Street Suite 1060 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Lawyer Self-Employed Net income from form 1040 Schedule C

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Federal Justice Center
(Judiciary)

4/10/2016 - 4/13/2016

Charleston, SC

FJC Education Seminar

Registration, food and lodging (1,697)

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dollar Bank	A	Interest	J	T					
2. PNC Bank	A	Interest	J	T					
3. UBS Fianancial IRA Account									
4. -American Funds Euro Pacific	A	Int./Div.	K	T					
5. -American Funds Wash Mutual					Sold	01/20/16	K	A	
6. -Artisan Mid Cap Value Fund	A	Int./Div.	K	T					
7. -Baron Growth Fund Stocks	C	Int./Div.	K	T					
8. -Blackrock Equity Dividend	D	Int./Div.	L	T					
9. -Blackrock Capital Appreciation	C	Int./Div.	L	T					
10. -Center Coast MLP Focus Fund	B	Int./Div.	K	T					
11. -Eagle Mid Cap Growth Fund Stocks	A	Int./Div.	K	T					
12. -Lululemon Athletica Inc	A	Int./Div.	J	T					
13. -Oppenheimer Senior Floating Rate Fund	C	Int./Div.	L	T					
14. -Pimco Low Duration Fund					Sold	01/20/16	K	A	
15. -Pimco Real Return Fund					Sold	01/20/16	K	A	
16. -Pimco Total Return Fund					Sold	01/20/16	L	A	
17. -Royce Special Equity Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Advisor Floating Rate	B	Int./Div.	L	T					
19. -Oppenheimer Developing Markets Class Y (X)	A	Int./Div.	K	T	Buy	01/20/16	K		
20. -Pimco Income Fund Class P (X)	C	Int./Div.	L	T	Buy	01/20/16	L		
21. -JP Morgan Chase Bank NA (X)	A	Int./Div.	K	T	Buy	01/20/16	K		
22. UBS Financial IRA Account									
23. -American Funds Euro Pacific	A	Int./Div.			Sold	01/20/16	K	B	
24. -American Funds Washington Mutual Investors	A	Int./Div.			Sold	01/20/16	K	D	
25. -Artisan Mid Cap Value	A	Int./Div.			Sold	01/20/16	K	A	
26. -Baron Growth Fund	A	Int./Div.			Sold	01/20/16	K	B	
27. -Blackrock Capital Appreciation	A	Int./Div.			Sold	01/20/16	L	A	
28. -Eagle Mid Cap Growth Fund	A	Int./Div.			Sold	01/20/16	K	C	
29. -Franklin Utilities	A	Int./Div.			Sold	01/20/16	J	A	
30. -Oppenheimer Developing Marktes	A	Int./Div.			Sold	01/20/16	K	A	
31. -Royce Special Equity	A	Int./Div.			Sold	01/20/16	K	A	
32. -Fidelity Advisor Floating Rate	A	Int./Div.			Sold	01/20/16	K	A	
33. -Oppenheimer Senior Floating Rate	A	Int./Div.			Sold	01/20/16	L	A	
34. -Pimco Low Duration	A	Int./Div.			Sold	01/20/16	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. -Pimco Total Return	A	Int./Div.			Sold	01/20/16	L	A	
36. -Vanguard Md-Cp Gw Idx Ad (X)	A	Int./Div.	K	T	Buy	01/20/16	K		
37. -Vanguard Mid-Cap Value Index Adm (X)	A	Int./Div.	K	T	Buy	01/20/16	K		
38. -Vanguard Growth Index Fund Admiral (X)	A	Int./Div.	L	T	Buy	01/20/16	K		
39. -Vanguard Emerging Markets Stock Index Fund Admiral (X)	A	Int./Div.	K	T	Buy	01/21/16	K		
40. -Vanguard Developed Markets Index Fund Class Admiral (X)	B	Int./Div.	K	T	Buy	01/20/16	K		
41. -Vanguard Value Index Fund Admiral (X)	B	Int./Div.	L	T	Buy	01/20/16	K		
42. -Vanguard Small Cap Index Fund Admiral (X)	A	Int./Div.	K	T	Buy	01/20/16	K		
43. -Vanguard Short-Term Corp Bd Idx Admiral (X)	B	Int./Div.	L	T	Buy	01/21/16	L		
44. -Vanguard Inter-Term Bd Idx Adm Shs (X)	B	Int./Div.	L	T	Buy	01/20/16	L		
45. -Vanguard High Yield Corporate Fund Admiral (X)	C	Int./Div.	L	T	Buy	01/20/16	L		
46. -JP Morgan Chase Bank MLCD Fixed Income Structure					Sold	01/20/16	K	A	
47. -UBS Bank Dep Acct Cash and Money Balances	A	Int./Div.	M	T					
48. -Ford Motor Co Stock	A	Int./Div.	J	T					
49. -Alpine Income Muni					Sold	01/20/16	J	A	
50. -Blackrock ST Muni Fund					Sold	01/20/16	J	A	
51. -Invesco Limited Term Muni Income					Sold	01/20/16	J	A	

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52. UBS Financial Resource Mgmt Acct									
53. -UBS Bank Dep Acct Cash and Money Balances	A	Int./Div.	K	T					
54. -American Funds Europacific	A	Int./Div.	J	T					
55. -Artisan Mid Cap Value					Sold	01/20/16	J	A	
56. -Baron Growth Fund					Sold	01/20/16	J	A	
57. -Blackrock Equity	A	Int./Div.	J	T					
58. -Blackrock Capital Appreciation	A	Int./Div.	J	T					
59. -Center Coast Mlp Focus					Sold	01/20/16	J	A	
60. -Eagle Mid Cap Growth			J	T					
61. -Franklin Utilities	A	Int./Div.	J	T					
62. -Oppenheimer Developing Markets	A	Int./Div.	J	T					
63. -Royce Special Equity	A	Int./Div.	J	T					
64. -Alpine Ultra Short Tax Mutual Fund	A	Int./Div.	J	T					
65. -Blackrock ST Municipal Fund	A	Int./Div.	J	T					
66. -Invesco Tax Free Intermediate Fund	A	Int./Div.	J	T					
67. -Fidelity Advisor Floating Rate					Sold	01/20/16	J	A	
68. -Pimco Income Fund					Sold	01/20/16	J	A	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69.									
70.									
71.									
72.									
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74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia R. Eddy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544