

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>O'Grady, Liam                                                                                                         | <b>2. Court or Organization</b><br><br>USDC- EDVA                                                                                                                                                                                                                                        | <b>3. Date of Report</b><br><br>07/15/2015                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Active United States District Judge                            | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2014<br>to<br>12/31/2014 |
| <b>7. Chambers or Office Address</b><br><br>401 Courthouse Square<br>Alexandria, Virginia 22314                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                   |
|-----------------|------------------------------------------------------|
| 1. President    | Pauline Newman Inns of Court, June 2013 to June 2014 |
| 2.              |                                                      |
| 3.              |                                                      |
| 4.              |                                                      |
| 5.              |                                                      |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Suntrust Money Market                                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Suntrust IRA                                                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Wells Fargo IRA                                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Brokerage Account #1 (Nos. 5 through 78)                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. - Visa Incorporated                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. - Amphenol Corp.                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 03/26/14                | J                               | B                              |                                                                   |
| 7. - Aflac Inc Stock                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. - Abbott Labs Stock                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. - Bard CR                                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/29/14                | J                               | D                              |                                                                   |
| 10. - Apple Incorporated                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/25/14                | J                               |                                |                                                                   |
| 11. - ADP, Inc.                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 12/16/14                | J                               | B                              |                                                                   |
| 12. - AT&T                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. - AGL Res Incorporated                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/25/14                | J                               | A                              |                                                                   |
| 14. - American Express                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. - Colgate Stock                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. - Dover Corp Stock                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 03/25/14                | J                               | A                              |                                                                   |
| 17. - Raymond James Bank                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| (See Column C2)         | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
|                         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                |   |          |   |   |                |          |   |   |  |
|--------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. - Exxon Mobil Stock        | A | Dividend | J | T |                |          |   |   |  |
| 19. - Nextera Energy Inc.      | A | Dividend | J | T | Sold<br>(part) | 09/25/14 | J | B |  |
| 20. - Anadarko Petroleum       | A | Dividend | J | T |                |          |   |   |  |
| 21. - Cognizant Technology     |   | None     | K | T |                |          |   |   |  |
| 22. - Cerner Corporation       | A | Dividend | K | T |                |          |   |   |  |
| 23. - Dominion Resources, Inc. | A | Dividend | K | T |                |          |   |   |  |
| 24. BB&T Corporation           | A | Dividend | J | T | Buy            | 09/25/14 | J |   |  |
| 25. - General MLS Inc.         | A | Dividend | J | T |                |          |   |   |  |
| 26. - Fiserv Incorporated      | A | Dividend | J | T | Sold<br>(part) | 03/26/14 | J | B |  |
| 27. - IBM Stock                | A | Dividend | J | T |                |          |   |   |  |
| 28. - Becton Dickinson Corp.   | A | Dividend | J | T | Sold<br>(part) | 12/16/14 | J | A |  |
| 29. - Johnson & Johnson Stock  | A | Dividend | K | T |                |          |   |   |  |
| 30. - Chevron Corporation      | A | Dividend | J | T |                |          |   |   |  |
| 31. - CVS Health Corporation   |   | None     | J | T | Buy            | 12/16/14 | J |   |  |
| 32. - WW Grainger Stock        | A | Dividend | J | T |                |          |   |   |  |
| 33. - Nike, Inc., Class B      | A | Dividend | K | T | Sold<br>(part) | 09/25/14 | J | B |  |
| 34. - McCormick & Company      | A | Dividend |   |   | Sold           | 03/26/14 | J | C |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                  |   |          |   |   |                |          |   |   |  |
|----------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 35. - Allergan, Incorporated     | A | Dividend | K | T | Sold<br>(part) | 06/24/14 | J | B |  |
| 36. - Genuine Parts Company      | A | Dividend | J | T | Sold<br>(part) | 01/16/14 | J | A |  |
| 37. - Illinois Tool Works Stock  | A | Dividend | K | T |                |          |   |   |  |
| 38. - Amazon.com                 |   | None     | J | T |                |          |   |   |  |
| 39. - Sigma Aldrich Corporation  | A | Dividend | K | T | Sold<br>(part) | 12/16/14 | J | C |  |
| 40. - Walt Disney Company        | A | Dividend | K | T | Buy            | 03/26/14 | J |   |  |
| 41. - Pepsico Stock              | A | Dividend | K | T |                |          |   |   |  |
| 42. - Ecolab, Inc.               | A | Dividend | K | T | Sold<br>(part) | 06/24/14 | J | B |  |
| 43. - Schlumberger Stock         | A | Dividend | J | T |                |          |   |   |  |
| 44. - Stryker Stock              | A | Dividend | K | T | Sold<br>(part) | 03/26/14 | J | B |  |
| 45. - Royal Dutch Shell          | A | Dividend | J | T |                |          |   |   |  |
| 46. - Edwards Lifesciences Corp. |   | None     | K | T | Buy            | 03/26/14 | J |   |  |
| 47. - Wal-Mart Stock             | A | Dividend | J | T |                |          |   |   |  |
| 48. - Amerisourcebergen          | A | Dividend | K | T | Sold<br>(part) | 09/25/14 | J | B |  |
| 49. - Ball Corporation           | A | Dividend | K | T |                |          |   |   |  |
| 50. - Danaher Corp. Stock        | A | Dividend | J | T |                |          |   |   |  |
| 51. - Conoco Phillips            | A | Dividend | J | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. - Idexx Labs Inc.                                                                                                       |                                         | None                                          | J                                               | T                                         | Buy                                             | 06/24/14                | J                               |                                |                                                                   |
| 53. - Home Depot                                                                                                            | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. - United Technologies Stock                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. - Costco Wholesale Stock                                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. - Amgen Stock                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 12/16/14                | J                               | B                              |                                                                   |
| 57. - Express Scripts, Inc.                                                                                                 |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. - Microsoft Stock                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/25/14                | J                               | B                              |                                                                   |
| 59. - Oracle Corp.                                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. - Proctor & Gamble Stock                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. - Church & Dwight                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. - Clorox Company                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. - Walgreen Stock                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 06/24/14                | J                               | C                              |                                                                   |
| 64. - McDonalds                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. - Emerson Electric Stock                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. - Fastenal Company                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. - 3M                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 06/24/14                | J                               | B                              |                                                                   |
| 68. - Google, Inc.                                                                                                          |                                         | None                                          | J                                               | T                                         | Sold<br>(part)                                  | 09/25/14                | J                               | B                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000 |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |           |   |   |                |          |   |   |  |
|-------------------------------------|---|-----------|---|---|----------------|----------|---|---|--|
| 69. - JP Morgan Chase Stock         | A | Dividend  | J | T |                |          |   |   |  |
| 70. - Stericycle, Inc.              |   | None      | J | T |                |          |   |   |  |
| 71. - Target Corporation Stock      | A | Dividend  | J | T |                |          |   |   |  |
| 72. - Praxair, Incorporated         | A | Dividend  | J | T |                |          |   |   |  |
| 73. - Verizon, Inc.                 | A | Dividend  | J | T |                |          |   |   |  |
| 74. - Qualcomm, Inc.                |   | None      | J | T |                |          |   |   |  |
| 75. - Roper Industries              | A | Dividend  | J | T | Sold<br>(part) | 09/25/14 | J | A |  |
| 76. - Thermo Fisher Scientific Inc. | A | Dividend  | J | T | Sold<br>(part) | 03/26/14 | J | B |  |
| 77. - O'Reilly Automotive           |   | None      | J | T | Sold<br>(part) | 12/16/14 | J | C |  |
| 78. - Lockheed Martin Corp.         | A | Dividend  | K | T | Buy            | 06/24/14 | J |   |  |
| 79. Jefferson Pilot Ins. Policy     | A | Interest  | J | W |                |          |   |   |  |
| 80. Dreyfus Prem Worldwide Stock    | A | Dividend  | J | T |                |          |   |   |  |
| 81. Trust No. 1 (items 82-187)      | D | Int./Div. | N | T |                |          |   |   |  |
| 82. - Artisan Mid Cap Fund          |   |           |   |   | Buy            | 08/21/14 | J |   |  |
| 83.                                 |   |           |   |   | Buy<br>(add'l) | 11/20/14 | J |   |  |
| 84.                                 |   |           |   |   |                |          |   |   |  |
| 85. -                               |   |           |   |   |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

Date of Report

07/15/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                        |  |  |  |  |                |          |   |   |  |
|----------------------------------------|--|--|--|--|----------------|----------|---|---|--|
| 86.                                    |  |  |  |  |                |          |   |   |  |
| 87. - Nationwide Geneva Mid Cap Growth |  |  |  |  | Sold           | 08/21/14 | J | B |  |
| 88.                                    |  |  |  |  | Buy            | 05/21/14 | J |   |  |
| 89.                                    |  |  |  |  |                |          |   |   |  |
| 90.                                    |  |  |  |  |                |          |   |   |  |
| 91.                                    |  |  |  |  |                |          |   |   |  |
| 92.                                    |  |  |  |  |                |          |   |   |  |
| 93.                                    |  |  |  |  |                |          |   |   |  |
| 94. - Fidelity Advisor Int Muni        |  |  |  |  | Buy            | 02/21/14 | J |   |  |
| 95.                                    |  |  |  |  | Buy            | 08/21/14 | J |   |  |
| 96.                                    |  |  |  |  | Buy            | 12/29/14 | J |   |  |
| 97.                                    |  |  |  |  |                |          |   |   |  |
| 98.                                    |  |  |  |  |                |          |   |   |  |
| 99.                                    |  |  |  |  | Sold<br>(part) | 05/21/14 | J | A |  |
| 100.                                   |  |  |  |  | Sold<br>(part) | 10/21/14 | J | A |  |
| 101.                                   |  |  |  |  |                |          |   |   |  |
| 102.                                   |  |  |  |  |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

Date of Report

07/15/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                              |  |  |  |  |                |          |   |   |  |
|----------------------------------------------|--|--|--|--|----------------|----------|---|---|--|
| 103. - MFS Value Fund CLI                    |  |  |  |  | Sold           | 08/21/14 | K | C |  |
| 104.                                         |  |  |  |  |                |          |   |   |  |
| 105.                                         |  |  |  |  |                |          |   |   |  |
| 106.                                         |  |  |  |  |                |          |   |   |  |
| 107.                                         |  |  |  |  |                |          |   |   |  |
| 108.                                         |  |  |  |  |                |          |   |   |  |
| 109.                                         |  |  |  |  |                |          |   |   |  |
| 110.                                         |  |  |  |  |                |          |   |   |  |
| 111.                                         |  |  |  |  |                |          |   |   |  |
| 112.                                         |  |  |  |  |                |          |   |   |  |
| 113. - R S Small Cap Growth Fund             |  |  |  |  | Buy            | 08/21/14 | J |   |  |
| 114.                                         |  |  |  |  | Buy<br>(add'l) | 10/29/14 | J |   |  |
| 115.                                         |  |  |  |  | Sold<br>(part) | 02/21/14 | J | A |  |
| 116.                                         |  |  |  |  | Sold<br>(part) | 05/21/14 | J | A |  |
| 117.                                         |  |  |  |  | Sold<br>(part) | 12/23/14 | J | A |  |
| 118. - American Century Growth Fund - Instl. |  |  |  |  | Sold<br>(part) | 02/21/14 | J | A |  |
| 119.                                         |  |  |  |  | Sold           | 08/21/14 | J | C |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000                                   | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

Date of Report

07/15/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                         |  |  |  |  |                |          |   |   |  |
|-----------------------------------------|--|--|--|--|----------------|----------|---|---|--|
| 120.                                    |  |  |  |  |                |          |   |   |  |
| 121.                                    |  |  |  |  |                |          |   |   |  |
| 122.                                    |  |  |  |  |                |          |   |   |  |
| 123.                                    |  |  |  |  |                |          |   |   |  |
| 124. - BMO Intermediate Tax Free Fund   |  |  |  |  | Buy            | 02/21/14 | K |   |  |
| 125.                                    |  |  |  |  | Buy            | 08/21/14 | J |   |  |
| 126.                                    |  |  |  |  | Sold<br>(part) | 05/21/14 | J | A |  |
| 127.                                    |  |  |  |  | Sold<br>(part) | 10/28/14 | J | A |  |
| 128. - Thornburg Ltd Term Muni Fund     |  |  |  |  | Buy<br>(add'l) | 02/21/14 | J |   |  |
| 129.                                    |  |  |  |  | Buy<br>(add'l) | 08/21/14 | J |   |  |
| 130.                                    |  |  |  |  | Sold<br>(part) | 10/29/14 | J | A |  |
| 131.                                    |  |  |  |  |                |          |   |   |  |
| 132.                                    |  |  |  |  |                |          |   |   |  |
| 133.                                    |  |  |  |  |                |          |   |   |  |
| 134. - Invesco Diversified Dividend Fd. |  |  |  |  | Buy<br>(add'l) | 08/21/14 | K |   |  |
| 135.                                    |  |  |  |  | Buy<br>(add'l) | 10/29/14 | J |   |  |
| 136.                                    |  |  |  |  | Buy<br>(add'l) | 12/16/14 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/21/14                | J                               | A                              |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/14                | J                               | A                              |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 140. - Inivesco Int'L. Growth Fd.                                                                                           |                                         |                                               |                                                 |                                           | Buy                                             | 08/21/14                | J                               |                                |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/26/14                | J                               |                                |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/14                | J                               | A                              |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/14                | J                               | A                              |                                                                   |
| 146. - American Century Small Cap Value Inst.                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/29/14                | J                               |                                |                                                                   |
| 147.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/14                | J                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/21/14                | J                               | A                              |                                                                   |
| 150.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/21/14                | J                               | A                              |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 153. - JP Morgan Mid-Cap Value Fd.                                                                                          |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/16/14                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 155.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/21/14                | J                               | A                              |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/14                | J                               | A                              |                                                                   |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/21/14                | J                               | A                              |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 160. - JP Morgan Large Cap Growth SL Fd.                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 05/21/14                | J                               |                                |                                                                   |
| 161.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 08/21/14                | K                               |                                |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/29/14                | J                               |                                |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/17/14                | J                               |                                |                                                                   |
| 164.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/21/14                | J                               | A                              |                                                                   |
| 165. - MFS Resh Int'l. Fd.                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/14                | J                               | A                              |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/14                | J                               | A                              |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/21/14                | J                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 08/21/14                | J                               |                                |                                                                   |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 170. - Virtus Emerging Markets Opportunity                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/21/14                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

Date of Report

07/15/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/23/14                | J                               |                                |                                                                   |
| 172.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 08/21/14                | J                               |                                |                                                                   |
| 173.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 10/28/14                | J                               |                                |                                                                   |
| 174.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 12/29/14                | J                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/14                | J                               | A                              |                                                                   |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 177. - Ivy Municipal High Income Fund                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/14                | J                               | A                              |                                                                   |
| 178.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/14                | J                               | A                              |                                                                   |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 181. - Virtus Small-MidCap Fund I                                                                                           |                                         |                                               |                                                 |                                           | Sold                                            | 02/21/14                | J                               | B                              |                                                                   |
| 182.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 184.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 185. - Regions Trust MMA                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 186. - Life Insurance Dixie National                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 187. - Life Insurance Liberty National                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A - \$1,000 or less<br>F - \$50,001 - \$100,000                                       | B - \$1,001 - \$2,500<br>G - \$100,001 - \$1,000,000   | C - \$2,501 - \$5,000<br>H1 - \$1,000,001 - \$5,000,000                                  | D - \$5,001 - \$15,000<br>H2 - More than \$5,000,000         | E - \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J - \$15,000 or less<br>N - \$250,001 - \$500,000<br>P3 - \$25,000,001 - \$50,000,000 | K - \$15,001 - \$50,000<br>O - \$500,001 - \$1,000,000 | L - \$50,001 - \$100,000<br>P1 - \$1,000,001 - \$5,000,000<br>P4 - More than \$5,000,000 | M - \$100,001 - \$250,000<br>P2 - \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q - Appraisal<br>U - Book Value                                                       | R - Cost (Real Estate Only)<br>V - Other               | S - Assessment<br>W - Estimated                                                          | T - Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. Burke & Herbert Cking/ Money Market                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 189. United Security Bancshares                                                                                             | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 190. Burke & Herbert 529 Plan - cash                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 191. Burke & Herbert 529 Plan - cash                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 192. IRA - PNC Financial Services                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 193. Undivided Int. Timberland Choctaw County, AL                                                                           | G                                       | Rent                                          | P1                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 194. Undivided Int Timberland Wayne County, MS                                                                              | C                                       | Rent                                          | O                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 195. Undivided Mineral Interest Choctaw County, AL                                                                          | F                                       | Royalty                                       | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 196. Undivided Mineral Interest Clark & Wayne County, MS                                                                    | D                                       | Royalty                                       | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 197. Undivided Mineral interest Mobile County, AL                                                                           | A                                       | Royalty                                       | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 198. IRA - Merrill Lynch (199-216)                                                                                          | E                                       | Int./Div.                                     | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 199. - Cohen & Steers Realty                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 200. - Fidelity Advisor New                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 201. - Invesco Diversified Divided                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 202. - JP Morgan Strategic                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 10/01/14                | K                               |                                |                                                                   |
| 203. - Lord Abbett Convertible                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 204. - Oakmark Intl. Fund                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/14                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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Name of Person Reporting

O'Grady, Liam

Date of Report

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. - Metropolitan West Total                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/01/14                | J                               |                                |                                                                   |
| 206. - MFS Utilities Fd                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 207. - Nuveen Mtg Opportunity                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 208. - Oppenheimer Developing Markets                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 04/07/14                | J                               |                                |                                                                   |
| 209. - JP Morgan Mid Cap                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 210. - Matthews Asia Divided                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 211. - Royce Total Return Fund                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 212. - Thornburg International                                                                                              |                                         |                                               |                                                 |                                           | Sold                                            | 04/07/14                | J                               |                                |                                                                   |
| 213. - Doubleline Total Return Fund                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/01/14                | J                               |                                |                                                                   |
| 214. - Pimco Foreign Bond Fund                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 215. - Pimco All Asset All                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 04/07/14                | K                               |                                |                                                                   |
| 216. - First Eagle Global Class                                                                                             |                                         |                                               |                                                 |                                           | Buy                                             | 04/07/14                | K                               |                                |                                                                   |

|                         |                                 |                             |                               |                                |                        |
|-------------------------|---------------------------------|-----------------------------|-------------------------------|--------------------------------|------------------------|
| 1. Income Gain Codes:   | A ~\$1,000 or less              | B ~\$1,001 - \$2,500        | C ~\$2,501 - \$5,000          | D ~\$5,001 - \$15,000          | E ~\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F ~\$50,001 - \$100,000         | G ~\$100,001 - \$1,000,000  | H1 ~\$1,000,001 - \$5,000,000 | H2 ~More than \$5,000,000      |                        |
| 2. Value Codes          | J ~\$15,000 or less             | K ~\$15,001 - \$50,000      | L ~\$50,001 - \$100,000       | M ~\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N ~\$250,001 - \$500,000        | O ~\$500,001 - \$1,000,000  | P1 ~\$1,000,001 - \$5,000,000 | P2 ~\$5,000,001 - \$25,000,000 |                        |
|                         | P3 ~\$25,000,001 - \$50,000,000 |                             | P4 ~More than \$50,000,000    |                                |                        |
| 3. Value Method Codes   | Q = Appraisal                   | R = Cost (Real Estate Only) | S = Assessment                | T = Cash Market                |                        |
| (See Column C2)         | U = Book Value                  | V = Other                   | W = Estimated                 |                                |                        |

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Name of Person Reporting

O'Grady, Liam

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Name of Person Reporting

O'Grady, Liam

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Liam O'Grady**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544