

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SPARKS, SAM	2. Court or Organization DISTRICT COURTS--Texas Western	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 501 W. Fifth Street, Suite 4120 Austin, Texas 78701-3822		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. U.S. Courts

May 5 - 11, 2012

Santa Fe, NM

Fifth Circuit Judicial
Conference (attendee)

Transportation, meals, lodging

2. Federal Judicial Center

July 15 - 18, 2012

San Francisco, CA

National Workshop for
District Judges

Transportation, meals, lodging

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Condominium (20%)		None	L	T					
2.									
3. CASH ACCOUNTS:									
4. American Bank of Commerce	A	Interest	M	T					
5. Chase Bank of Texas Accounts	A	Interest	M	T					
6. RBC Prime Money Market Fund (formerly Dain Rauscher account)	C	Dividend	N	T					
7. Frost Bank	A	Interest	M	T					
8.									
9. STOCKS:									
10. Occidental Petroleum	A	Dividend	J	T					
11. Excelon Corporation	A	Dividend	J	T	Buy	02/24/12	J		Market
12. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	03/21/12	J		Market
13. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	04/20/12	J		Market
14. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	05/18/12	J		Market
15. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	06/18/12	J		Market
16. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	07/18/12	J		Market
17. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	08/21/12	J		Market

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$250,001 - \$500,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	09/19/12	J		Market
19. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	10/19/12	J		Market
20. Excelon Corporation	A	Dividend	J	T	Buy (add'l)	11/30/12	J		Market
21. Royal Dutch Shell PLC	A	Dividend	J	T	Buy	02/24/12	J		Market
22. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	03/21/12	K		Market
23. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	04/20/12	K		Market
24. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	05/18/12	K		Market
25. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	06/18/12	K		Market
26. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	07/18/12	K		Market
27. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	08/21/12	K		Market
28. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	09/19/12	K		Market
29. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	10/19/12	K		Market
30. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	11/30/12	K		Market
31. Royal Dutch Shell PLC	A	Dividend	K	T	Buy (add'l)	12/26/12	K		Market
32. Seadrill Limited SHS	A	Dividend	J	T	Buy	02/24/12	J		Market
33. Seadrill Limited SHS	A	Dividend	J	T	Buy (add'l)	12/26/12	J		Market
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. BONDS:									
36. Austin TX Community College	B	Interest	K	T					
37. Austin Tx GO	A	Interest			Redeemed	03/01/12	K	A	Market
38. Austin TX LTD GO	A	Interest	L	T					
39. Barbers Hill TX GO	A	Interest	K	T					
40. Baytown Tx GO	B	Interest	K	T					
41. Bexar Cnty Tx GO	A	Interest	K	T	Redeemed (part)	06/15/12	K	A	Market
42. Brazosport TX ISD	A	Interest	K	T					
43. Canyon TX ISD GO	A	Interest			Redeemed	02/15/12	K	A	Market
44. Cedar Hill TX ISD	A	Interest	J	T					
45. Collin Co. TX GO	B	Interest	K	T					
46. Columbia & Brazoria GO	B	Interest	K	T					
47. Crowley TX ISD GO	A	Interest	J	T	Redeemed (part)	08/01/12	K	A	Market
48. Crowley TX ISD GO	A	Interest	K	T					
49. Cypress-Fairbanks TX ISD	A	Interest	K	T					
50. Dallas Cnty Tx Comnty College	A	Interest	K	T					
51. Dallas TX GO BDS 2007	A	Interest	K	T					

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52. Dallas TX GO	A	Interest			Redeemed	02/15/12	J	A	Market
53. Dallas TX ISD	A	Interest			Redeemed	02/15/12	K	A	Market
54. Dallas TX ISD	A	Interest	K	T					
55. Dallas TX ISD	A	Interest			Redeemed	02/15/12	J	A	Market
56. Del Valle TX ISD	A	Interest			Redeemed	02/15/12	K	A	Market
57. Denton TX Util. Sys.	A	Interest	K	T					
58. Duncanville TX ISD	A	Interest	K	T					
59. Eagle Mtn. & Saginaw GO	A	Interest			Redeemed	02/15/12	K	A	Market
60. El Paso TX Indpt GO	A	Interest	J	T	Redeemed (part)	08/15/12	K	A	Market
61. Ft. Worth TX ISD	A	Interest	K	T					
62. Frisco TX ISD GO	A	Interest	K	T					
63. Galveston TX Wtrwks	A	Interest	K	T					
64. Goose Creek TX Cons Indpt	A	Interest	K	T					
65. Goose Creek TX Cons Indpt 2005	A	Interest	L	T					
66. Harris Cnty TX G/O RFDG	A	Interest	K	T					
67. Hawkins TX ISD GO	A	Interest	K	T					
68. Houston TX RFDG Pub Impt	A	Interest	L	T					

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69. Humble TX ISD	A	Interest	K	T					
70. Irving TX Wtr Wk Swr	A	Interest	K	T					
71. La Joya TX ISD	A	Interest	J	T					
72. Lampasas TX ISD	A	Interest	L	T					
73. Lancaster TX ISD GO	A	Interest	K	T					
74. La Vernia TX ISD	A	Interest	K	T					
75. Lindale TX ISD GO	A	Interest			Redeemed	02/15/12	J	A	Market
76. Lower Colo. Riv. Auth. Tex. Rev.	A	Interest	L	T					
77. Lubbock TX GO	A	Interest			Redeemed	02/15/12	K	A	Market
78. Lufkin TX Wtrwks	A	Interest	J	T					
79. McKinney TX ISD	A	Interest	K	T					
80. Meridan TX ISD GO	A	Interest			Redeemed	11/15/12	J	A	Market
81. New Braunfels TX ISD	A	Interest	K	T					
82. New Braunfels TX Util Rev	A	Interest	K	T					
83. New Caney TX ISD GO	A	Interest	K	T					
84. North East ISD Tex	A	Interest	K	T					
85. Northwest TX ISD GO	A	Interest	K	T					

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86. Northwest TX ISD	A	Interest	J	T					
87. Pearland TX ISD GO	A	Interest	K	T					
88. Pearland ISD GO	A	Interest	K	T					
89. Pflugerville TX GO	A	Interest	K	T					
90. Plano TX GO	A	Interest	K	T					
91. Plano TX GO BDS	A	Interest	L	T					
92. Richardson TX ISD	A	Interest	J	T					
93. Richardson TX GO	A	Interest	K	T					
94. Roma TX ISD G/O	A	Interest	K	T					
95. San Angelo TX GO	A	Interest			Redeemed	02/15/12	K	A	Market
96. Sealy TX ISD GO	A	Interest			Redeemed	02/15/12	K	A	Market
97. Seguin TX GO	B	Interest	K	T					
98. Sheldon TX ISD GO	A	Interest	J	T					
99. SOCORRO Tex ISD G/O	A	Interest	K	T					
100. Splendora TX ISD	A	Interest	K	T					
101. UT Austin Rev.	B	Interest	K	T					
102. Williamson Cnty TX Rd	A	Interest			Redeemed	02/15/12	K	A	Market

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103.									
104. DAIN, RAUSCHER IRA:									
105. American Balance	E	Dividend	P1	T					
106. Bristol Myers Squibb Co.	B	Dividend	K	T					
107. Chevron-Texaco Corp.	D	Dividend	N	T					
108. Exxon Mobil Corp	A	Dividend	J	T					
109. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	05/12/12	J		Market
110. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	06/18/12	J		Market
111. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	07/18/12	J		Market
112. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	08/21/12	J		Market
113. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	09/18/12	J		Market
114. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	10/19/12	J		Market
115. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	11/30/12	J		Market
116. Exxon Mobil Corp	A	Dividend	J	T	Buy (add'l)	12/26/12	J		Market
117. Friede Goldman Halter		None	J	T					
118. Genitope Corp.		None	J	T					
119. Gov't Nat'l MTG Assn. II Pool	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Gov't Nat'l MTG Assn. II Pool [REDACTED]	B	Interest	K	T					
121. Gov't Nat'l MTG Assn. II Pool [REDACTED]	C	Interest	L	T					
122. Gov't Nat'l MTG Assn. II Pool [REDACTED]	B	Interest			Sold	05/17/12	K	A	Market
123. Gov't Nat'l MTG Assn. II Pool [REDACTED]	D	Interest			Sold	12/26/12	M	F	Market
124. Gov't Nat'l MTG Assn II Pool #1 (Reassigned Pool [REDACTED])	A	Interest	J	T					
125. Gov't Nat'l MTG Assn II Pool #2 (Reassigned Pool [REDACTED])	A	Interest	J	T					
126. Gov't Nat'l MTG Assn II Pool #3 (Reassigned Pool [REDACTED])	A	Interest	K	T					
127. Gov't Nat'l MTG Assn II Pool #4 (Reassigned Pool [REDACTED])	A	Interest	K	T					
128. Gov't Nat'l MTG Assn II Pool #5 (Reassigned Pool [REDACTED])	D	Interest	N	T					
129. Gov't Nat'l MTG Assn II Pool #6 (Reassigned Pool [REDACTED])	C	Interest	M	T					
130. Gov't Nat'l Mtg Assn Pool [REDACTED]	D	Interest			Sold	06/15/12	K	A	Market
131. Gov't Nat'l Mrg Assn Pool # [REDACTED]	C	Interest	K	T					
132. Gov't Nat'l Mtg Assn Pool [REDACTED]	B	Interest	K	T					
133. Halliburton	A	Dividend	K	T					
134. ISHARES Trust Barclay Treasury Bonds	D	Interest			Sold	02/17/12	M	E	Market
135. Johnson & Johnson	A	Dividend	J	T					
136. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	05/17/12	J		Market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	06/18/12	J		Market
138. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	07/18/12	J		Market
139. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	08/21/12	J		Market
140. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	09/18/12	J		Market
141. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	10/19/12	J		Market
142. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	11/30/12	J		Market
143. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	12/26/12	J		Market
144. Proctor & Gamble Co.	A	Dividend	J	T					
145. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	05/17/12	J		Market
146. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	06/18/12	J		Market
147. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	07/18/12	J		Market
148. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	08/21/12	J		Market
149. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	09/18/12	J		Market
150. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	10/19/12	J		Market
151. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	11/30/12	J		Market
152. Proctor & Gamble Co.	A	Dividend	J	T	Buy (add'l)	12/26/12	J		Market
153. Royal Dutch Shell PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	05/12/12	J		Market
155. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	06/18/12	J		Market
156. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	07/18/12	J		Market
157. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	08/21/12	J		Market
158. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	09/18/12	J		Market
159. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	10/19/12	J		Market
160. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	11/30/12	J		Market
161. Royal Dutch Shell PLC	A	Dividend	J	T	Buy (add'l)	12/26/12	J		Market
162. Spanish Broadcasting, Inc.		None	J	T					
163. Statoil ASA	A	Dividend	J	T					
164. Statoil ASA	A	Dividend	J	T	Buy (add'l)	05/12/12	J		Market
165. Statoil ASA	A	Dividend	J	T	Buy (add'l)	06/18/12	J		Market
166. Statoil ASA	A	Dividend	J	T	Buy (add'l)	07/18/12	J		Market
167. Statoil ASA	A	Dividend	J	T	Buy (add'l)	08/21/12	J		Market
168. Statoil ASA	A	Dividend	J	T	Buy (add'l)	09/18/12	J		Market
169. Statoil ASA	A	Dividend	J	T	Buy (add'l)	10/19/12	J		Market
170. Statoil ASA	A	Dividend	J	T	Buy (add'l)	11/30/12	J		Market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Statoil ASA	A	Dividend	J	T	Buy (add'l)	12/26/12	J		Market
172. Steris Corp.	B	Dividend	K	T					
173. RBC Prime Money Market Fund-formerly Tamarack Investment FDS	A	Interest	L	T					
174.									
175. MISCELLANEOUS:									
176. LRF Limited Pship	A	Royalty	J	W					
177.									
178. [REDACTED]									
179. EDWARD JONES --									
180. Capital Income Builder Fund	B	Dividend	L	T					
181. Goldman Sachs Cap-A		None			Sold	03/05/12	J	A	Market
182. Growth Fund of Am.	A	Dividend	L	T					
183. Pacific Life Fund	B	Dividend	L	T					
184. Tax Exempt Bond Fd of Am	E	Interest	K	T					
185. Van Kampen Comstock		None			Sold	03/05/12	J	A	Market
186.									
187. BENIFICIARY-CB&T Trustee IRA of [REDACTED] Dec'd [REDACTED]	C	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. American Mutual Fund Inc	A	Dividend	K	T					
189. Bond Fund of American Inc	A	Dividend	K	T					
190. Capital World Growth	A	Dividend	L	T					
191.									
192. IRA-EDWARD JONES CUSTODIAN									
193. Hartford Cap. Appreciation CLA	D	Dividend	K	T					
194. Hartford Global Leaders CLA	D	Dividend	K	T					
195. Hartford Stock Fund CLA	D	Dividend	J	T					
196. Annuity-Sunamerican Life Insurance Polaris Variable Annuity	A	Dividend	L	T					
197. Property - DeBaca Co, New Mexico		None	J	W					
198. Property - Brewster Co, TX		None	J	W					
199. Royalty Interest - Upton Co, TX	A	Royalty	J	W					
200. Royalty Interest - Palo Pinto Co, TX	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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SPARKS, SAM

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **SAM SPARKS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544