

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kroupa, Diane L.	2. Court or Organization United States Tax Court	3. Date of Report 07/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 400 Second Street, NW Suite 310 Washington, DC 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust Number 2
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Self-employed -- Political and Grassroots Consultant

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank (fka Norwest Bank)	Line of Credit	J
2.	Wells Fargo Bank Business	Business Line of Credit	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Edward Jones Brokerage Account (H)									
2. --Mattel Common Stock	A	Dividend	J	T					
3. --Pepsico Common Stock	A	Dividend	J	T					
4. --Dow Chemical Common Stock	A	Dividend	J	T					
5. Edward Jones IRA (H)									
6. --Bond Fund of America, Class A	A	Dividend	L	T					
7. --Capital Income Builder Fund A	A	Dividend	K	T					
8. --Capital World Growth & Income Fund A	A	Dividend	K	T					
9. --Growth Fund of America, Class A	A	Dividend	K	T					
10. --Income Fund of America, Fund A	A	Dividend	K	T					
11. --Investment Co of America, Fund A	A	Dividend	K	T					
12. --American Mutual, Class A	A	Dividend	J	T					
13. --Blackrock Equity Dividend, Class C	A	Dividend	K	T	Buy	04/30/12	K		See note Part VIII
14. --Franklin Flex Cap Growth, Class C	A	Dividend	J	T	Buy	04/30/12	J		See note Part VIII
15. --Franklin Rising Div. Fund, Class C	A	Dividend	J	T	Buy	04/30/12	J		See note Part VIII
16. --Invesco Van Kampen Eq & Inc. Fund, Class C	A	Dividend	K	T	Buy	04/30/12	K		See note Part VIII
17. --Invesco Van Kampen Am Value Fund, Class C	A	Dividend	J	T	Buy	04/30/12	J		See note Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. --MFS Int'l Growth Fund, Class C	A	Dividend	J	T	Buy	04/30/12	J		See note Part VIII
19. --MFS Int'l New Discovery Fund, Class C	A	Dividend	J	T	Buy	04/30/12	J		See note Part VIII
20. --Mutual Global Discovery Fund, Class C	A	Dividend	K	T	Buy	04/30/12	K		See note Part VIII
21. --Templeton Global Bond Fund, Class C	A	Int./Div.	K	T	Buy	04/30/12	K		See note Part VIII
22. --Cash	A	Interest	K	T	Buy	04/30/12	K		See note Part VIII
23. Edward Jones SEP (H)									
24. --Bond Fund of America, Class A	A	Dividend	J	T					
25. --Capital Income Builder Fund, Class C	A	Dividend	J	T					
26. --Capital World Growth & Income Fund, Class C	A	Dividend	J	T					
27. --Europacific Growth Fund, Class A	A	Dividend	J	T					
28. --Growth Fund of America, Class A	A	Dividend	J	T					
29. --Income Fund of America Fund A	A	Dividend	J	T					
30. --Intermediate Bond Fund of America, Class A	A	Dividend	J	T					
31. --Investment Co of America, Fund A	A	Dividend	J	T					
32. --AFS Global Balanced Fund, Class A	A	Dividend	J	T					
33. --American Mutual Fund, Class A	A	Dividend	J	T					
34. Edward Jones, IRA (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --AFS Global Balanced, Class A	A	Dividend	J	T					
36. Trust #2 (H)									
37. -- Capital Income Builder Fund A	A	Dividend	J	T					
38. --Capital World Growth & Income Fund A	A	Dividend	J	T					
39. --American Balanced Fund, Class A	A	Dividend	J	T					
40. Minnesota State Retirement Plan	C	Int./Div.			Closed	04/30/12	M		Rolled into IRA
41. Minnesota State Deferred Compensation Plan	C	Int./Div.			Closed	04/30/12	K		Rolled into IRA
42. Savings--US Bank	A	Interest	J	T					
43.									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II I rolled over on 4/30/12 to my IRA all amounts from the 1995 Minnesota State Retirement System and Minnesota State Deferred Compensation Plan.

Part VII, Investments and Trusts, lines 12-21.

I purchased assets listed on lines 12-21 when I closed the 1995 Minnesota State Retirement System and the Minnesota State Deferred Compensation Plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diane L. Kroupa

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544