

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fischer, Dale S.	2. Court or Organization U.S. Dist. Ct. - CA (Central)	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 350 West 1st St. Los Angeles California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rollover IRA - # 1 (H)									
2. --Charles Schwab Bank	A	Interest			Closed	06/19/18	L		
3. --Vanguard Dividend Appreciation (VIG)	C	Dividend	M	T					
4. --ALPS Sector Dividend Dogs ETF (SDOG)	C	Dividend	L	T					
5. --Vanguard Sm Cap Growth (VBK)	B	Dividend	M	T					
6. --Motley Fool Small Mid Cap Growth Fd (TMFGX)	B	Dividend	K	T					
7. --Schwab Value Advantage Money Fund (SWVXX)	A	Dividend			Sold	06/11/18	M		
8. --Glenmede Large Cap Core Portfolio (GTLOX)	D	Dividend	M	T					
9. --Fidelity Govt Money Market Fund (SPAXX)	A	Dividend	J	T	Buy	06/19/18	J		
10. --Fidelity Money Market Premium Class Fund (FZDXX)	B	Dividend	M	T	Buy	06/15/18	M		
11. Vanguard 500 Index Fund Adm	D	Dividend	N	T					
12. Wells Fargo Bank Account	A	Interest	K	T					
13. 457 Plan (H)									
14. --Horizons Stable Income Fund	B	Interest	K	T					
15. 401(k) Plan (H)									
16. --Savings Stable Value Fund	D	Interest	M	T					
17. Capital One Bank Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Union Bank Accounts	A	Interest			Closed	10/20/18	K		
19. Brokerage Account # 2 (H)									
20. --Fidelity Puritan Mutual Fund	E	Dividend	M	T					
21. --FCash	A	Interest			Closed	06/15/18	K		
22. --ALPS Sector Dividend Dogs ETF (SDOG)	B	Dividend	L	T					
23. --Doubleline Shiller Enhanced CAPE (DSENX)	D	Dividend			Sold	12/24/18	M		
24. --Fidelity Money Market Fund Premium Class (FZDXX)	C	Dividend	N	T	Buy (add'l)	11/08/18	K		
25.					Buy (add'l)	12/31/18	M		
26. --JPMorgan Market Expansion Enhanced Index Fund (OMEAAX)	D	Dividend			Sold	12/24/18	L		
27. --Wells Fargo Disciplined US Core Fund (EVSAX)	D	Dividend	L	T					
28. --Fidelity Govt Money Market Fund (SPAXX)	A	Dividend	J	T	Buy	06/15/18	K		
29.					Buy (add'l)	11/05/18	K		
30.					Sold (part)	11/27/18	J		
31.					Sold (part)	11/29/18	J		
32.					Buy (add'l)	12/24/18	M		
33.					Buy (add'l)	12/26/18	L		
34. Ally Bank Accounts	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 4: "ALPS Sector Dividend Dogs ETF" was previously reported as "Alps Trust ETF."

Part VII, Line 6: "Motley Fool Small Mid Cap Growth Fd" changed its name from "Motley Fool Great America Fd."

Part VII, Line 14: "Horizons Stable Income Fund" is also referred to as the "Horizons Stable Value Fund" in material prepared by the plan sponsor.

Part VII, Line 22: "ALPS Sector Dividend Dogs ETF" was previously reported as "Alps Trust ETF."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dale S. Fischer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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