

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CRISTOL, A. J.	2. Court or Organization U.S. BANKRUPTCY COURT, FLORIDA	3. Date of Report 01/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF JUDGE EMERITUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address C. CLYDE ATKINS UNITED STATES COURTHOUSE 301 NORTH MIAMI AVENUE CHAMBERS 717 MIAMI, FLORIDA 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judicial Director	University of Miami School of Law Alumni Association
2. Trustee	Trust #2
3. Trustee	Trust #3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	2/4/16 - 2/6/16	San Juan, PR	Educational seminar or program	Air, Hotel, meals
2. Judicial Conference	5/3/2016 - 5/7/2016	Point Clear, AL	Judicial Conference	Air, Hotel, Meals
3. Bankruptcy Bar Association	5/12/16 - 5/15/2016	Marco Island, FL	Educational seminar or program	Hotel, Meals
4. Federal Judicial Center	6/28/2016 - 7/1/2016	Philadelphia, PA	Educational seminar or program	Air, Hotel, Meals
5. Open World Organization	10/13/16 - 10/14/2016	Washington, DC	Activity of professional assoc or civic organization	Air, Hotel, Meals

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6.	National Conference of Bankruptcy Judges	10/25/16 - 10/29/16	San Francisco, CA	Educational seminar or program	Air, Hotel, Meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. General Electric	D	Dividend	M	T					
2. Hospitality Properties	B	Dividend	K	T					
3. Blackrock	A	Dividend			Sold	11/21/16	J	A	
4. Miami-Dade Aviation	B	Interest	K	T					
5. Cohen & Steers	C	Dividend	K	T					
6. Elbit	A	Dividend	K	T					
7. AT&T	C	Dividend	L	T					
8. Nuveen Real Estate Fund Com	A	Dividend	J	T					
9. CVS Caremark	A	Dividend	K	T					
10. Mondelez Inc	A	Dividend			Buy (add'l)	01/07/16	J		
11.					Sold (part)	02/05/16	J	A	
12.					Sold	02/05/16	K	D	
13. Starbucks	A	Dividend	K	T					
14. Hillsborough County FL	B	Interest	K	T					
15. Arizona St Transn Brd	A	Interest			Redeemed	01/01/16	K	A	
16. Lord Abbett Floating Rate/High Yield	C	Dividend	M	T	Buy (add'l)	11/21/16	K		
17. Apple Inc	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Amgen Inc	A	Dividend			Sold	10/28/16	K	D	
19. Celgene Corp		None	K	T					
20. Comcast Corp New Special CL A	A	Dividend	K	T	Buy (add'l)	02/05/16	J		
21. Mednax Inc		None	K	T					
22. Novo Nordisk ADR Denmark		None			Sold (part)	02/03/16	J	A	
23.					Sold	02/03/16	K	D	
24. Grandeur Peak Int'l Opportunities	A	Dividend	K	T					
25. Genesis Energy LP	B	Distribution	K	T					
26. St Johns Cnty FL	B	Interest			Redeemed	10/01/16	K	A	
27. Angel Oak Multi Strategy	D	Dividend	M	T	Buy (add'l)	03/10/16	K		
28.									
29. UBS AG Trigger		None	L	T					
30. Allergan PLC		None	K	T	Sold (part)	11/04/16	J	B	
31. CBS Corp New CL B	A	Dividend			Sold (part)	06/03/16	J	B	
32.					Sold	06/03/16	J	A	
33. Constellation Brands Inc CL A	A	Dividend			Buy (add'l)	06/03/16	J		
34.					Sold (part)	11/11/16	J	A	

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35.					Sold	11/11/16	J	D	
36. Gilead Sciences Inc	A	Dividend	J	T	Sold (part)	11/28/16	J	A	
37. Alphabet Inc CL A		None	K	T					
38. Alphabet Inc CL C		None	K	T	Buy (add'l)	02/05/16	J		
39. Mobileye N.V. Amstelveen Eur		None			Sold	07/26/16	J	C	
40. Royal Bank of Canada Trigger PS SPX		None	L	T					
41. Grandeur Peak Global	A	Dividend	K	T					
42. 13D Actavist Fund Class		None			Sold	03/18/16	J	A	
43. Spectra Energy Partners LP	B	Distribution	K	T					
44. Florida ST BRD ED PUB ED	B	Interest	K	T					
45. Royal Bank of Canada Trigger STEP PS SXE		None	K	T					
46. Deutsche Bank AG Trigger		None			Sold	12/15/16	K	A	
47. Goldman Sachs Group Trigger		None	K	T					
48. Citigroup Inc Trigger		None	L	T					
49. Home Depot Inc	A	Dividend	J	T					
50. RMR Group Inc/ The CL A	A	Dividend			Sold	06/03/16	J	A	
51. UnitedHealth Group Inc	A	Dividend	K	T					

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52. VF Corp	A	Dividend			Sold	11/04/16	J	A	
53. Virgin Amer Inc		None			Sold	03/18/16	J	A	
54. JP Morgan Chase & Co		None			Sold	12/15/16	J	A	
55. Barclay's Bank PLC		None			Redeemed	06/10/16	K	A	
56. Maine St Hsg Auth Mtge P SR D	B	Interest	L	T					
57. North Dakota St Hsg FIN Sr D RV	A	Interest	K	T					
58. Angel Oak Flexible Inc Fund CL I	B	Dividend			Sold	11/04/16	K	A	
59. Victory High Minucipal Bond Fund Class Y	D	Int./Div.			Buy (add'l)	11/04/16	K		
60.					Sold (part)	11/29/16	K	A	
61.					Sold	11/29/16	J	A	
62. Morgan Stanley L/O TPAOS SPX		None	K	T					
63. HSBC USA Inc		None	K	T					
64. JP Morgan Chase Trigger PS SXE5		None	K	T					
65. Royal Bank of Canada Trigger ROS SPX		None	K	T					
66. Royal Bank of Canada Trigger ROS SP5E		None			Sold	12/15/16	J	A	
67. Mastercard Inc CL A (X)	A	Dividend	J	T	Buy	01/06/16	J		
68. Pepsico Inc (X)	A	Dividend	J	T	Buy	01/15/16	J		

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69. American Tower Corp REIT (X)	A	Dividend	J	T	Buy	02/05/16	J		
70.					Sold (part)	11/14/16	J	A	
71. Microsoft Corp (X)	A	Dividend	J	T	Buy	02/05/16	J		
72. Nestle's A Sponsored (X)	A	Dividend			Buy	02/08/16	J		
73.					Sold	11/29/16	J	A	
74. Ishares MSCI Europe Financials (X)		None			Buy	06/27/16	J		
75.					Sold	11/29/16	J	B	
76. Facebook Inc Cl A (X)		None	J	T	Buy	11/16/16	J		
77. Visa Inc. CL A (X)		None	J	T	Buy	12/30/16	J		
78. Heico Corp New (X)		None	J	T	Buy	12/30/16	J		
79. JP Morgan Chase Financial CT-ISS SPX		None	K	T	Buy	09/27/16	K		
80. JP Morgan Chase Financial LO/TA-CYN MXEA SPX		None	J	T	Buy	05/13/16	K		
81. UBS AG L/O TA-CYN NDX RTY 7/18/2019		None	K	T	Buy	07/15/16	K		
82. UBS AG L/O TA-CYN NDX RTY 12/04/2019		None	K	T	Buy	11/28/16	K		
83. UBS AG L/O TA-CYN RTY SPX 10/19/2021		None	L	T	Buy	10/14/16	K		
84. GS Finance Corp L/O SDT-AN MXEA SPX 11/30/2021		None	K	T	Buy	11/28/16	K		
85. UBS AG L/O TA-STD RTY SPX 11/30/2021		None	K	T	Buy	11/28/16	K		

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86. Citigroup Global Markets TAR-SS MXEA SXSE 12/21/2021		None	L	T	Buy	12/16/16	K		
87. GS Finance Corp LLC L/O TPAOS		None			Buy	04/08/16	K		
88.					Redeemed	10/13/16	K	A	
89. UBS AG Trigger PAOS SPY		None			Buy	01/25/16	K		
90.					Redeemed	07/26/16	K	A	
91. American Express Bank, FSB (X)	C	Interest	J	T					
92. Discover Bank (X)	A	Interest	J	T					
93. Sate of Israel Bond (X)	A	Interest	K	T					
94. Trust # 2 (H)									
95. -FL SIT BD									
96. -Johnson&Johnson	A	Dividend			Distributed	04/26/16	K		
97. -Dade County S/O v					Redeemed	09/05/16	L	B	
98. -Ebay (Y)									
99. -Automatic Data	A	Dividend			Buy (add'l)	01/07/16	J		
100.					Distributed	04/25/16	K		
101. -Hospitality Properties	A	Dividend	J	T					
102. -General Electric	C	Dividend	L	T	Sold (part)	05/03/16	J	C	

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103. -Home Depot	A	Dividend			Buy (add'l)	01/07/16	J		
104.					Distributed	04/26/16	K		
105. -Heico		None	J	T	Buy	01/15/16	K		
106.					Distributed	04/25/16	K		
107.					Buy	12/16/16	J		
108. - AT&T	A	Dividend	J	T					
109. - Opko Health (Y)									
110. - Cohen&Steers	A	Dividend							
111. - Akamai Technologies		None			Sold	11/04/16	K	C	
112. - GE (Y)									
113. - Palm Beach Waste	B	Interest	K	T					
114. - Miami Dade Aviation	C	Interest	L	T	Sold (part)	07/20/16	K	A	
115. - New York Development	B	Interest	L	T					
116. - South Indian River SER 2006 (Y)									
117. - FL State Mid Bay Bridge (Y)									
118. - Lee Mem Hlth	B	Interest	K	T					
119. -Alphabet Inc. CL A		None			Distributed (part)	04/27/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Port St. Lucie FI Research Faci	B	Interest	K	T					
121. - Donald. F. Rubin, INC. (Y)									
122. - Allergan INC		None			Sold	11/04/16	K	A	
123. - Check Point Software Tech LTD ILS		None			Distributed	04/26/16	K		
124. - American Tower Corp (Y)									
125. - Georgia Hsg & Fin Auth	B	Interest	L	T					
126. - Lord Abbet Floating	D	Dividend	J	T	Buy (add'l)	11/16/16	K		
127. - McDonalds Corp	A	Dividend			Distributed	04/26/16	J		
128. - Mednax Inc		None	K	T	Buy (add'l)	01/06/16	K		
129. - Microsoft Corp	A	Dividend			Buy (add'l)	01/07/16	J		
130.					Distributed	04/27/16	K		
131. - Neveda ST Fgic (Y)									
132. - Nike Inc CL	A	Dividend			Sold	11/28/16	J		
133. - Thermo Fisher Scientific Inc	A	Dividend			Buy (add'l)	01/15/16	J		
134.					Distributed	04/27/16	K		
135. - Union Pacific Corp (Y)									
136. - Wynn Resorts (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - TJX Cos Inc New	A	Dividend			Buy (add'l)	01/06/16	J		
138.					Distributed (part)	04/26/16	K		
139.					Distributed	04/27/16	J		
140. - AT&T Inc					Distributed	04/25/16	K		
141. - SPDR Gold Trust		None	K	T	Sold (part)	12/06/16	J	A	
142. -Angel Oak Multi Strategy Income Class Instl	C	Dividend	N	T	Buy (add'l)	05/06/16	J		
143.					Buy (add'l)	11/21/16	J		
144. - Pepsico Inc	A	Dividend			Distributed	04/27/16	K		
145. - Yahoo Inc (Y)									
146. - Hercules Technology Growth	A	Dividend	J	T					
147. - Lord Abbett Floating Rate Fund A	A	Dividend			Sold	07/15/16	J	A	
148. - RS Floating Rate Fund A (Y)									
149. - Village Cmnty Dev No 6 Ser 2013	A	Interest	K	T					
150. - Amer Express	A	Dividend	J	T					
151. - Energy Transfer Partners LP			K	T					
152. - Citigroup Funding Inc		None			Sold	07/19/16	J	E	
153. - Citigroup Inc (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Dade CNTY FL Spl Ser 1996 B (Y)									
155. - Chicago IL O'Hare Int'l Airport (Y)									
156. - Florida St Governmental Util Ser 2003 (Y)									
157. - Halifax Hosp Med Ctr FL Ser 2006A (Y)									
158. - Hernando Cnty FL Sch BD Ser 2006 (Y)									
159. -American Airls Group	A	Dividend			Sold	03/24/16	J	A	
160. -Blackstone Group LP		None	J	T					
161. -Costco Wholesale	A	Dividend	K	T	Buy (add'l)	03/07/16	J		
162.					Distributed (part)	04/25/16	J		
163.					Buy (add'l)	05/04/16	J		
164.					Buy (add'l)	05/26/16	J		
165. -Ecolab Inc	A	Dividend			Sold	01/06/16	J	A	
166. -Energy Transger Partners									
167. -Legg Mason BW ALternative	A	Dividend			Sold	03/07/16	J	A	
168. -New York NY Fiscal	D	Interest	M	T					
169. -Targa Rresources Partners (Y)									
170. -Wisconsin St Clean Wtr	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -JP Morgan Chase & Co Trigger (Y)									
172. -Credit Suisse AG Trigger		None	K	T					
173. -Royal Bank of Canada Trigger SPS SPX		None	K	T					
174. -Barclays Bank PLC Trigger PAOS RTY		None			Redeemed (part)	06/10/16	J	A	
175.		None			Redeemed	06/10/16	K	A	
176. - Angel Oak Flexible Income Fund Cclass I	A	Dividend	K	T	Sold (part)	11/04/16	K	A	
177. -Lord Abbett High Yield Fd CL F	A	Dividend			Sold (part)	06/01/16	K	A	
178.					Sold (part)	07/20/16	J	A	
179.					Sold (part)	07/20/16	J	A	
180. - Sentinel Total RTN BD 1	A	Dividend			Sold	05/03/16	L	A	
181. - DuPont De Demours	B	Dividend	L	T					
182. - Chemours Co (Y)									
183. - Whiting USA		None	J	T					
184. - Seadrill LTD US Line		None	J	T					
185. - Facebook Inc CL A		None	J	T	Buy (add'l)	04/28/16	J		
186.					Distributed (part)	04/26/16	J		
187. - Globus Med Inc CL A		None			Sold	07/27/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - RMR Group Inc/CL A					Buy	02/05/16	J		
189. - WP CaareyInc Reit	A	Dividend			Distributed	04/27/16	K		
190. - Barclays Bank PLC Airbag PS SPX		None	K	T					
191. - Maine ST Hsg Auth Mtge P SR D	C	Interest	L	T					
192. - North Dakota ST Hsg Fin SR D RV	A	Interest	K	T					
193. - Victory High Minucipal Bond Fund Class Y	D	Dividend	L	T	Sold (part)	11/28/16	L	A	
194. - Goldman Sachs Group Trigger ROS MID (Y)									
195. - Morgan Stanley Chase Trigger PS Int'l Eqty Bsk (Y)									
196. - Deutsche Bank AG L/O TPAOS SPX SX5E		None			Sold	09/30/16	K	A	
197. - Goldman Sachs Group Trigger AOS RTY		None			Redeemed	09/29/16	K	C	
198. - Royal Bank of Canada Trigger PS EFA		None	J	T					
199. - JP Morgan Chase & CO TriggerPS DXK		None	J	T					
200. - Alphabet Inc CL C		None	K	T	Buy	01/06/16	K		
201.					Buy (add'l)	04/28/16	J		
202. - Fortune Brands Home & Sec Inc	A	Dividend	J	T	Buy	10/01/16	J		
203. - Sealed Air Corp New	A	Dividend	J	T	Buy	09/29/16	J		
204. - Kroger Company	A	Dividend			Buy	07/25/16	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	08/02/16	J		
206.					Buy (add'l)	08/04/16	J		
207.					Sold	11/04/16	K	A	
208. - Fidelity National Financial Inc		None	J	T	Buy	12/30/16	J		
209. - Priceline Group Inc New		None	J	T	Buy	12/30/16	J		
210. - Becton Dickinson & Co	A	Dividend			Buy	01/04/16	J		
211.					Distributed	04/27/16	J		
212. - Opko Health Inc		None			Buy	01/06/16	J		
213.					Distributed	04/27/16	J		
214. - Hillsborough Cnty Fla (X)	C	Interest			Redeemed	10/01/16	L	A	
215. - Enterprise Products Partner LP (X)		None			Distributed	04/26/16	L		
216. - Hudson Infra Structure (X)	C	Interest	L	T					
217. - Indian Trace CMTY (X)	A	Interest	K	T					
218. - Nevada ST FGIC (X)	B	Interest	K	T					
219. - UBS AG L/O TA-CYN NDX RTY 7/18/19		None	K	T	Buy	07/15/16	K		
220. - Citigroup Global Markets T-SS SPX 7/31/20		None	L	T	Buy	07/20/16	K		
221. - JP Morgan Chase L/O TA-CYN SPX SXSE 10/19/20		None	K	T	Buy	10/14/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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222. UBS AG L/O TA-CYN RTY SPX 10/19/21		None	L	T	Buy	10/14/16	K		
223. - JP Morgan Chase L/O TA-CYN MXEA RTY 01/02/20		None	K	T	Buy	12/28/16	K		
224. - UBS Trigger PAOS SPY		None			Buy	01/25/16	K		
225.					Redeemed	07/26/16	K		
226. - GS Finance Corp llc L/O TA-CYN SPX RTY		None			Buy	04/08/16	K		
227.					Redeemed	10/13/16	K		
228. - UBS AG Trigger Step PS RTY 6/30/17 (X)		None	K	T					
229. - The Goldman Sachs Group Trigger ROS MID 01/31/2018		None	L	T					
230. - Citigroup Inc. Trigger PS SPX 11/30/2018 (X)		None	K	T					
231. - Morgan Stanley L/O TPAOS SPX SX5E (X)		None	K	T					
232. J- P Morgan Chase Trigger PS INTL 7/31/19 (X)		None	K	T					
233. - UBS AG CAR PS SX5E 12/31/19 (X)		None	L	T					
234. Trust # 3 (H)									
235. - Helios (Y)									
236. - General Motors Company Warrant 072016		None	J	T					
237. - General Motors Company Warrant 072019		None	J	T					
238. - General Motors Company(GM)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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239. - Ford Motor (Y)									
240. - Occidental Pete Corporation (Y)									
241. - Six Flags 9.625 (Y)									
242. - US Concrete Inc. B (Y)									
243. - US Concrete Inc. A (Y)									
244. - Capital Product Partners LP	D	Dividend	K	T					
245. - Transocean Limited REG SHS		None	K	T					
246. - Catchmark Timber TR Incorporated	C	Dividend			Sold (part)	04/20/16	L	B	
247.					Sold (part)	06/03/16	J	A	
248.					Sold (part)	06/06/16	L	B	
249.					Sold (part)	07/15/16	K	B	
250.					Sold	08/11/16	K	B	
251. - Amazon Com Incorporated		None	N	T	Sold (part)	01/01/16	K	E	
252.					Sold (part)	01/13/16	K	D	
253.					Sold (part)	02/05/16	K	D	
254.					Sold (part)	05/17/16	J	D	
255.					Sold (part)	09/26/16	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Hi-Crush Partners LP Com		None	M	T	Sold (part)	09/26/16	L	A	
257. - Memorial Prodn Partners LP	B	Distribution	J	T					
258. - BP PLC Sponsored ADR (United Kingdom) (Y)									
259. - CIT Group Incorporated Com (Y)									
260. - Fidus Invt Corporation	D	Dividend	L	T					
261. - Du Pont E I De Nemours Co	D	Dividend	M	T	Sold (part)	06/03/16	K	A	
262.					Sold (part)	07/15/16	K	A	
263.					Sold (part)	07/22/16	L	B	
264. -Angel Oak Multi-Strategy Inc Fund Class Instl	D	Dividend	J	T	Buy (add'l)	03/07/16	K		
265. - RS Floating Rate Class Y (Y)									
266. - Lord Abbett Floating Rate fD Cl F	B	Dividend	M	T	Buy (add'l)	11/16/16	K		
267. - Seadrill LTD US Line		None	L	T					
268. - Sentinel Total RTN Bnd 1 (Y)									
269. - Florida ST Brd ED Lottery	D	Interest	M	T					
270. - Legg Mason BW Alternative	A	Dividend			Sold	03/07/16	K	A	
271. - Brookfield High Income FD (Y)									
272. - UBS AB Trigger		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Citigroup Inc Trigger		None	L	T					
274. - Biogen Inc		None	J	T					
275. - Home Depot Inc	A	Dividend	K	T	Buy (add'l)	05/17/16	J		
276. - Illumina Inc		None			Sold (part)	04/16/16	J	A	
277.					Sold (part)	10/10/16	J	A	
278.					Sold	10/10/16	K	A	
279. - Linkedin Corp C: A		None			Buy (add'l)	01/06/16	J		
280.					Sold	02/05/16	K	A	
281. - Mastercard Inc CL A	A	Dividend	K	T	Buy (add'l)	01/06/16	J		
282. - Mednax Inc		None	J	T	Buy (add'l)	06/03/16	J		
283. - Pepsico Inc	A	Dividend	K	T					
284. - Southwest Airlines Co	A	Dividend			Sold (part)	06/03/16	J	C	
285.					Sold	06/30/16	J	A	
286. - Verizon Inc	A	Dividend			Sold	01/29/16	J	A	
287. - Walt Disney Co (Holding Co)	A	Dividend	K	T	Buy (add'l)	06/03/16	J		
288. - WPP PLC New SPON ADR		None			Sold	05/17/16	J	A	
289. - Barclays Bank PLC		None			Sold	06/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Dallas Fort Worth TX IN SR C RV	B	Interest	K	T					
291. - Florida ST Muni Pwr AGY	B	Interest	L	T					
292. - Broward CO FL ARPT	B	Interest	K	T					
293. - Miami Dade CNTY FLA AVIA	B	Interest	L	T					
294. - Lord Abbett High Yield Fund CL F	C	Dividend			Sold	11/16/16	L	A	
295. - Victory High Minucipal Bond Fund Class Y	D	Dividend	M	T					
296. - Lennar Corp (Y)									
297. - Amgen Inc	A	Dividend	J	T	Buy	01/06/16	J		
298. - Celgene Corp		None	J	T	Buy	01/07/16	J		
299. - Cisco Systems Inc	A	Dividend	K	T	Buy	06/27/16	J		
300.					Buy (add'l)	10/21/16	J		
301. - Coresite Rlty Corp COM Reit	A	Dividend	K	T	Buy	09/14/16	J		
302.					Buy (add'l)	09/29/16	J		
303. - Facebook Inc Cl A		None	J	T	Buy	01/07/16	J		
304. - Gilead Sciences Inc	A	Dividend	K	T	Buy	01/07/16	J		
305.					Buy (add'l)	11/28/16	J		
306. - Johnson Ctls Intl PLC	B	Dividend	J	T	Buy	09/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307.					Buy (add'l)	09/26/16	J		
308.					Sold (part)	09/06/16	J	B	
309. - Kraft Heinz Co/The	A	Dividend	K	T	Buy	04/29/16	J		
310. - Microsoft Corp	A	Dividend	K	T	Buy	04/28/16	J		
311.					Buy (add'l)	06/13/16	J		
312. - Nestle S A Sponsered ADR	A	Dividend			Buy	02/05/16	J		
313.					Buy (add'l)	06/13/16	J		
314.					Sold	11/21/16	K	A	
315. - New Jersey Turnpike Auth SR B RV	A	Interest	K	T	Buy	06/01/16	K		
316. - Maine ST HSG Auth MTGE P SR C	A	Interest	K	T	Buy	06/21/16	K		
317. - Allianzgi Short Duration High Income Cl Intl	B	Dividend	L	T	Buy	08/01/16	K		
318.					Buy (add'l)	11/16/16	K		
319. - Adient PLC Foreign Stock		None			Spinoff (from line 306)	10/31/16	J		
320.					Sold	11/30/16	J	A	
321. - Angel Oak Flexible Inc CL 1 (X)	C	Dividend			Sold	11/16/16	L	A	
322. - Chemours CO (X)	A	Dividend			Sold	11/16/16	K	C	
323. - General Electric Co (X)	D	Dividend	M	T	Sold (part)	07/22/16	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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CRISTOL, A. J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Chesapeake Granite Wash Trus (X)	D	Distribution	L	T	Sold (part)	01/06/16	M	A	
325. - JP Morgan Chase Financial TAR-GEARS SPX 8/31/2021		None	K	T	Buy	08/26/16	K		
326. - JP Morgan Chase Financial CT-ISS SPX 3/29/2019		None	K	T	Buy	09/27/16	K		
327. - JP Morgan Chase Financial L/O TA-CYN MXEA SPX 5/17/2019		None	K	T	Buy	05/13/16	K		
328. - UBS AG L/O CYN NDX RTY 7/18/2019		None	L	T	Buy	07/15/16	K		
329. - Barclays Bank PLC L/O TA-CYN RY SX5E 8/15/2019		None	K	T	Buy	08/12/16	K		
330. - Citigroup Global Markets T-SS SPX 7/31/2020		None	K	T	Buy	07/20/16	K		
331. - UBS AG L/O TA-CYN RTY SPX 10/19/2021		None	L	T	Buy	10/14/16	K		
332. - GS Financial LLC L/O TA-CYN		None			Buy	05/13/16	K		
333.					Redeemed	10/13/16	K	A	
334. - UBS Trigger PAOS SPY		None			Buy	01/25/16	K		
335.					Redeemed	07/26/16	K	A	
336. G- oldman Sach Group Inc (X)		None	K	T	Sold (part)	09/29/16	K	A	
337. - Morgan Stanley L/O TPAOS SPX SX5E 11/30/2018 (X)		None	J	T					
338. -Goldman Sachs Group Inc Trigger PS EFA (X)		None	K	T					
339. - JP Morgan Chase Trigger PS SX5E 4/30/2020 (x)		None	J	T					
340. -Diversified Property Fund Class I (X)	D	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. UBS Traditional (IRA) #2 (H)									
342. - Seadrill LTD US Line		None	J	T					
343. - Genl Electric Co	A	Dividend			Sold	07/22/16	K	A	
344. - Angel Oak Multi-Strategy Inc FD CL Inst	C	Dividend	L	T	Sold (part)	07/22/16	J	A	
345. - Goldman Sachs Strategic Inc CL I		None			Sold	07/22/16	J	A	
346. - Legg Mason BW Alternative Alternative Credit FD CL I		None			Sold	07/22/16	J	A	
347. - Lord Abbett Floating Rate FD CL F	A	Dividend			Sold	07/22/16	K	A	
348. - RS Floating Rate Class Y		None			Sold	07/22/16	J	A	
349. -Angel Oak Flexible Income FD CL I	A	Dividend			Sold	07/22/16	K	A	
350. UBS Traditional (IRA) #3 (H)									
351. - UBS AG Trigger PS SX5E		None	J	T					
352. -Citigroup Inc. Trigger PS SX5E		None	J	T					
353. -Morgan Stanley L/O TPAOS SPX SX5E		None	J	T					
354. -The Goldman Sachs Group Trigger ROS MID		None	J	T					
355. -Goldman Sachs Group Inc Trigger PS EFA		None	J	T					
356. -Goldman Sachs Group Inc Trigger AOS RTY		None			Redeemed	09/30/16	J	A	
357. -JPMorgan Chase& CO Trigger PS SX5E		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.									
359.									
360.									
361.									
362.									
363.									
364.									
365.									
366.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1:

Line 59, Was listed in 2015 as "RS High Income Municipal Bd Fund" in 2016 there was a name change to Victory High Minucipal Bond Fund Class Y.

Note 2:

Line 185, Corrected spelling of Security

Note 3:

Line 193, Was listed in 2015 as "RS High Income Municipal Bd Fund" in 2016 there was a name change to Victory High Minucipal Bond Fund Class Y.

Note 4:

Line 295, Was listed in 2015 as "RS High Income Municipal Bd Fund" in 2016 there was a name change to Victory High Minucipal Bond Fund Class Y.

Note 5:

All leading numbers before a Security's name has been omitted from this report.

Amended Notes:

1. Line 93, Should have been reported with an (X) at the end of the name in Column A

2. Lines 98, 109, 111, 112, 116, 117, 119, 121-124, 131,135, 136, 145,148, 152, 154-158, 169, 171, 182,187, 194-197, 235, 239-243, 258, 259, 265, 268, 271 ,276, 288, 289 and 296.

All of the lines listed in the Inquiry Letter Part II - Should have been reported with a (Y) at the end of the name in Column A.

3. Lines 111, 119, 152 entered None in Cloumn B2

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ A. J. CRISTOL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544