

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FRENSLEY, JEFFERY S.	2. Court or Organization U. S. DISTRICT COURT, TENNESSEE	3. Date of Report 07/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE - FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U. S. COURTHOUSE 801 BROADWAY NASHVILLE, TENNESSEE 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. EXECUTOR	ESTATE #1 (No Reportable Assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

LINDEN WALDORF SCHOOL ADMINISTRATOR

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	TEMPLE UNIVERSITY	TUITION	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST BANK	A	Int./Div.	K	T					
2. WELLS FARGO ADVISORS									
3. AFICX AMER FUNDS FUNDAMENTAL INV C	D	Dividend			Sold	09/29/17	L	E	
4. NYVCX DAVIS NY VENTURE	C	Dividend			Sold	09/29/17	L	C	
5. ITHAX HARTFORD CAP APPREC FD-A	C	Dividend			Sold	09/29/17	K	A	
6. NEWCX NEW WROLD FD CL-C	C	Dividend			Sold	09/29/17	K	C	
7. ANWPX AMER FDS NEW PERSPECT A	B	Dividend	J	T					
8. PIOTX PIONEER CORE EQUITY FD A	A	Dividend			Sold	09/29/17	J	A	
9. CWBCX CAPITAL WORLD BOND	A	Dividend			Sold	09/29/17	J	A	
10. RGNCX VICTORY GLBL NAT FD CL	A	Dividend			Sold	10/02/17	J	A	
11. AWSHX AMER FDS WASH MUTUAL A	B	Dividend	K	T					
12. VAFBX INVESCO AMER FRANCHISE B	C	Dividend			Sold	11/08/17	J	A	
13. AMCFX AMCAP FUND INC.	B	Dividend	K	T	Buy	10/03/17	K	A	
14. BISMX BRANDES INVT TR INTERNATIONAL	A	Dividend	K	T	Buy	10/03/17	K	A	
15. SGIX FIRST EAGLE FUNDS OVERSEAS	A	Dividend	K	T	Buy	10/03/17	K	A	
16. ANWFX AMERICAN FUNDS NEW PERSPECTIVE	A	Dividend	K	T	Buy	10/03/17	K	A	
17. NFFX AMERICAN FUNDS NEW WORLD FUND	A	Dividend	K	T	Buy	10/03/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. WMFFX AMERICAN FUNDS WASHINGTON MUTUAL	B	Dividend	K	T	Buy	10/03/17	K	A	
19. WEIMX TETON WESTWOOD EQUITY	A	Dividend	K	T	Buy	10/03/17	K	A	
20. ROTH IRA									
21. INV AMER FRANCH CL A	B	Dividend	J	T					
22. IOWA 529									
23. VANGUARD TOTOAL BOND M II	A	Dividend			Redeemed	08/14/17	J	B	
24. VANGUARD TOTAL INTERNATIONAL BOND INDEX FUND	A	Dividend			Redeemed	08/14/17	J	B	
25. VANGUARD INTERNATIONAL TOTAL STOCK MARKET INDEX FUND	A	Dividend			Redeemed	01/14/17	J	B	
26. VANGUARD TOTALINTERNATIONAL STOCK INDEX FUND	A	Dividend			Redeemed	01/14/17	J	B	
27. UTMA									
28. RAYMOND JAMES BANK DEPOSIT PROGRAM	A	Interest	J	T					
29. AMCAP FUND CLASS F2 AMERICAN FUNDS N/L AMCFX	C	Dividend	K	T					
30. AQR MAANGED FTURES STRATEGY FUND CLASS N N/L AQMNX	A	Dividend	J	T					
31. DEUTSCHE GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F RRGAX	A	Dividend	J	T					
32. HENDERSON INTL OPPOTUNITIES FUND CLASS I N/L HFOIX	C	Dividend	J	T					
33. LORD ABBETT INCOME CLASS FUND A M/F LAGVX	A	Dividend	J	T					
34. NEUBERGER BERMAN GENESIS FUND INVESTORS CLASS N/L NBGNX	B	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. NEW WORLD FUND CLASS F2-AMERICAN FUND N/L NFFFX	B	Dividend	J	T					
36. T. ROWE PRICE EQUITY INCOME FUND N/L PRFDX	C	Dividend	K	T					
37. 529									
38. AMERICAN VAN 529 BOND FUND OF AMERICA CLASS M/F CFAAX	A	Dividend	J	T					
39. AMERICAN VA 529 CAPITAL WORLD GROWTH & INC FD CL A M/F CWIAX	C	Dividend	K	T					
40. AMERICAN VA 529 GROWTH FUND OF AMERICA CLASS A M/F CGFAX	C	Dividend	K	T					
41. AMERICAN VA 529 INTERMEDIATE BOND FUND OF AMERICAN CLS A M/F CBOAX	A	Dividend	J	T					
42. AMERICAN VA 529 INVESTMENT COMPANY OF AMERICA FD CL A M/F CICAX	B	Dividend	K	T					
43. AMERICAN VA 529 MORTGAGE FUND CLASS A M/F CMFAX	A	Dividend	J	T					
44. AMERICAN VA 529 NEW PERSPECTIVE FUND CLASS A M/F CNPAX	C	Dividend	K	T					
45. AMERICAN VA 529 WASHINGTON MUTUAL INVESTORS FD C/ M/F CWMAX	B	Dividend	J	T					
46. IRA #1									
47. WELLS FARGO MONEY MARKET ACCOUNT	A	Interest	K	T					
48. ROTH IRA #2									
49. FIDELITY INSH INVS TR FREEDOM 2030 FD FFFEX	C	Dividend	K	T					
50. 401 (K)									

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51. BROWN CAPITAL SM COMPANY INC.	A	Dividend	J	T					
52. DFA INTERNATIONAL VALUE I	A	Dividend	J	T					
53. NVIT MONEY MARKET	A	Int./Div.	K	T					
54. 3M COMMON STOCK	A	Dividend	J	T					
55. IRA #2									
56. VMMXX Vanguard Prime Money Market Fund	A	Int./Div.	J	T	Open	02/03/17			

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Estate #1 is the estate of a family member. There are no reportable assets in the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JEFFERY S. FRENSLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544