

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) STEVE, AMY J.	2. Court or Organization District Court	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDCJ - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 219 South Dearborn Street Room 1260 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	Northwestern Law School
2.	Board Member	Federal Bar Association -- Chicago Chapter
3.	Board Member	DePaul University College of Law -- Intellectual Property Law and Information Technology Board
4.	Member	Public Safety Commissioner
5.	Board Member	Posse Foundation Chicago

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Northwestern Law School (teaching)	\$2,000
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Northwestern Medical Faculty Foundation
2. 2012	Interventional Consultants, LLC
3. 2012	U.S. Government - Department of Veteran's Affairs
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	K	T					
2. Fidelity Magellan Mutual Fund (monthly purchase of \$250)	A	Dividend	K	T					
3. Fidelity Low Priced Stock Mutual Fd. & monthly purchase \$250	C	Distribution	L	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Accounts)	B	Interest	N	T					
6. Abbott Laboratories Common Stock		None	J	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	A	Distribution	L	T					
8. EMC Corp. Common Stock		None	J	T					
9. Chesapeake Energy Corp.	A	Dividend			Sold	04/27/12	J		
10. Van Kampen Equity Trust Small Cap Growth Fund		None	J	T					
11. ING International Value Fund	B	Dividend	K	T					
12. Neuberger Berman Genesis Fund	A	Dividend	K	T					
13. ING Small Company Fund A	A	Dividend	K	T					
14. Lord Abbett Affiliated Fund A	A	Dividend	J	T					
15. Barrick Gold Corp. Common Stock	A	Dividend			Sold	08/03/12	J	B	
16. Claymore Secs. Defined	A	Dividend			Matured	05/22/12	J	B	
17. McDonalds Corp. CS	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Stiefel Nicholas Money Market	B	Dividend	L	T					
19. Illinois Municipal Bonds	A	Interest	K	T					
20. Fidelity Strategic Dividend/Income	A	Dividend	J	T					
21. 529 Unique College Investing Plan (age based) See Note 1	B	Interest	N	T					
22. Peabody Energy Corp.	A	Dividend			Sold	04/05/12	J	D	
23. General Electric Company	A	Dividend	K	T					
24. Fidelity Select Medical Delivery (monthly \$250)	B	Dividend	K	T					
25. Entertainment PPTYS Trust Ser V (see note)	A	Dividend	J	T					
26. Illinois State Tax Free Bonds	A	Interest	K	T					
27. Illinois State College Bonds	A	Interest	J	T					
28. Fidelity Balanced	A	Dividend	J	T					
29. Fidelity Latin America	A	Dividend	J	T					
30. Fidelity Blue Chip Value Fund (See Note 2 in Part VIII)	B	Dividend	L	T					
31. Fidelity Capital Appreciation Fund (See Note 2 in Part VIII)	A	Dividend			Sold	12/05/12	M	C	
32. Fidelity International Discovery Fd (See Note 2 in Part VII)		None	K	T					
33. Fidelity Large Cap Value Fund (See Note 2 in Part VIII)	A	Dividend	L	T					
34. Fidelity Mid Cap Growth Fund (See Note 2 in Part VIII)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Fidelity Mid Cap Value Fund (See Note 2 in Part VIII)	B	Dividend	K	T					
36. Fidelity Small Cap Growth Fund (See Note 2 in Part VIII)	C	Dividend	K	T					
37. Fidelity Small Cap Value Fund (See Note 2 in Part VIII)	C	Dividend	K	T					
38. Fidelity Total Bond Fund (See Note 2 in Part VIII)	C	Dividend	L	T					
39. Federated Strategic Mutual Value Fund CLC		None	J	T					
40. Fidelity Select Materials Mutual Fund	A	Dividend	J	T					
41. Fidelity New Markets Income Mutual Fund	A	Dividend	J	T					
42. Monsanto		None	K	T					
43. Fidelity Gov't Income (See Note 2 in Part VIII)	A	Dividend	K	T					
44. Fidelity U.S. Treasury MM (See Note 2 in Part VIII)		None			Sold	12/05/12	K	A	
45. Fidelity Int'l Discovery (See Note 2 in Part VIII)	A	Distribution	K	T					
46. Rio Tinto		None			Buy	03/05/12	J		
47.		None			Sold	08/03/12	J		
48. Eaton Vance Municipal Fund		None	J	T	Buy	08/03/12	J		
49. Exact Target		None	J	T	Buy	04/09/12	J		
50.		None	J	T	Buy (add'l)	08/03/12	J		
51. Haliburton		None	J	T	Buy	08/03/12	J		

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52. Manchester U		None	J	T	Buy	08/10/12	J		
53. Apple		None	J	T	Buy	04/11/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes

1. We made monthly contributions for [REDACTED] these Fidelity 529 Education Plans. We contributed a total of \$3,000 monthly in 2012.
2. [REDACTED] employer makes automatic monthly contributions to these funds as part of [REDACTED] retirement plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ AMY J. STEVE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544