

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nugent, Robert E.	2. Court or Organization District of Kansas	3. Date of Report 04/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 104 U.S. Courthouse 401 North Market Wichita, Kansas 67202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	National Conference of Bankruptcy Judges
2.	Member	Kansas University Alumni Association, Wichita Area Chapter Board of Directors
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/2014	Bloomberg LP; writing--approximate value of Ipad received from Bloomberg	\$500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1-12/14	Realtor Income, [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Nat'l Conf. of Bankruptcy Judges	4/6-4/8/2014	Kansas City, MO\	NCBJ Midyear Meeting	travel, meals and lodging
2. --same	7/16-19/2014	Miami, FL	NCBJ 2015 Annual Mtg Site Visit	same
3. --same	9/16-18/2014	Washington DC	NCBJ Congressional Reception	same
4. --same	10/7-11/2014	Chicago, IL	NCBJ Annual Mtg	same
5. --same	12/14-15/2014	Washington DC	NCBJ meet with Chief Justice of US	same

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6.	American Bankruptcy Institute	10/16-17/2014	Kansas City MO	Midwestern Bky Institute	same
7.	--same	12/4-6/2014	La Quinta CA	Winter Leadership Conference	same
8.	Kansas Bar Association	4/4/2014	Overland Park, Ks	KBA Spring BKY Conference	same

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. R/O IRA #1	E	Int/Div.	N	T					
2. --Prime Fund Daily Money Fund (R/O IRA)									
3. --Transamerica MMA					Buy	08/04/14	K		
4.					Sold (part)	11/25/14	L	A	
5. --American Realty Capital Properties (ARC) III (Y)									
6.									
7. --ARC IV					Merged (with line 8)	01/07/14	K		
8. --ARC Properties Inc Common					Sold	01/27/14	K		
9.									
10. --United Development Funding IV									
11. --T Rowe Price US Treas Intermediate MMA					Buy (add'l)	11/26/14	K		
12.					Buy (add'l)	11/28/14	K		
13.					Sold	12/12/14	L	A	
14. --Hartford High Yield A					Sold (part)	07/31/14	K		
15.					Sold	08/05/14	K	A	
16. --Ivy High Income Fd A									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Transamerica High Yield					Buy (add'l)	07/31/14	K		
19.					Sold	08/04/14	K	A	
20. --JP Morgan Gov Bond Fd					Buy (add'l)	11/26/14	M		
21.					Buy (add'l)	07/31/14	M		
22.					Sold	12/15/14	M	A	
23. --JP Morgan Liquid Assets MMA					Buy (add'l)	08/04/14	M		
24.					Sold (part)	11/28/14	M	A	
25. --JP Morgan High Yield Bond Fd					Sold (part)	07/31/14	K	A	
26.					Sold	08/04/14	M	A	
27. --Halcon Res Corp									
28.									
29. R/O IRA #2	B	Int./Div.	M	T					
30. --Prime Fund Daily MMA									
31. --American Funds Fundamental Inv.									
32. --American Funds Growth									
33. --AmFunds New Perspective									
34. --AmFunds Income Fund America									

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35.									
36. --ARC Global Trust									
37.									
38. Simple IRA #1	C	Int./Div.	K	T					
39. --American Mutual Fund					Buy (add'l)	03/11/14	J		
40. --Fundamental Investors					Buy	03/11/14	J		
41. --Capital Income Builder					Buy (add'l)	03/11/14	J		
42. --Growth Fund America					Buy (add'l)	03/11/14	J		
43.									
44. Emprise Bank Account	A	Interest	J	T					
45. Emprise Bank MMA	A	Interest	J	T					
46. Emprise Bank Passbook Account II	A	Interest	J	T					
47. Citizens Bank of Kansas Dep Acct	A	Interest			Closed	03/27/14	J	A	
48. Citizens Bank Saving	A	Interest	K	T					
49. Learning Quest 529 Fund Short-term (American Century)	A	Distribution	J	T					
50. Vanguard MMA	A	Dividend	J	T					
51. Northwestern Mutual Whole Life #1	A	Dividend	K	T					

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52. Northwestern Mutual Whole Life #2	A	Dividend	K	T					
53. Northwestern Mutual Whole Life #3	A	Dividend	J	T					
54. Emprise CD 6 (77)	A	Interest	J	T					
55. Emprise CD 2--see Part VII					Redeemed		J	A	
56. Emprise Bank CD 3 (91)	A	Interest			Redeemed	08/11/14	J	A	
57. Emprise Bank CD 4 (30)	A	Interest			Redeemed	07/15/14	J	A	
58. Emprise Bank CD 5 (41)	A	Interest			Redeemed	07/15/14	J	A	
59. CrossFirst Bank Business	A	Interest	J	T	Open	02/21/14	J		
60. CrossFirst Bank Business MMA	A	Interest	L	T	Open	01/21/14	J		
61. CrossFirst Pers Savings	A	Interest	K	T	Open	06/30/14	K		
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part 7:

Line 56 Emprise CD 2 redeemed 1/6/13 and apparently not reported on 13 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert E. Nugent

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544