

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Varlan, Thomas A.	2. Court or Organization U.S. District Court E. Tenn	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 800 Market Street, Suite 143 Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board	University of Tennessee, Department of Political Science
2. Director	Bearden High School Foundation
3. Successor Trustee	Alexander Varlan Revocable Living Trust
4. Member	Judicial Conference of the United States
5. Associate Board	University of Tennessee, College of Arts and Sciences

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Varlan, Thomas A.

Date of Report

05/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Varlan, Thomas A.

Date of Report

05/14/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Varlan, Thomas A.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AmSouth Bank Accounts	B	Interest	K	T					
2. Coca Cola Common Stock	B	Dividend	L	T					
3. BB&T Common Stock	A	Dividend	K	T					
4. U. S. Savings Bond	A	Interest	J	T					
5. Tennessee's BEST Prepaid Tuition Accounts	A	Interest	K	T					
6. Strategic Portfolio Service Advantage IRA - 1	D	Dividend	L	T					
7. - Fidelity Adv. Strategy Income	A	Dividend	J	T					
8. - UMB Scout Stock	A	Dividend	J	T	Sold (part)	08/22/11	J	A	
9. - Fidelity Advisor New Insights	A	Dividend	K	T					
10. - AM Century Equity Income	A	Dividend	K	T					
11. - Fidelity Advisors Float Rt	A	Dividend	J	T	Sold (part)	08/08/11	K	A	
12. - Franklin Mutual Discovery	A	Dividend	K	T	Sold (part)	08/11/11	J	A	
13. - American Century Diversified Bond					Sold	01/07/11	K	A	
14. - American Century Heritage			K	T	Sold (part)	08/22/11	K	A	
15. - Putnam Absolute Return 100	A	Dividend			Sold	01/07/11	J	A	
16. Strategic Advantage Service Portfolio IRA - 2	A	Dividend	J	T					
17. - Franklin Mutual Discovery	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Varlan, Thomas A.

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18. - UMB Scout Stock	A	Dividend	J	T					
19. - Fidelity Advisors Strategy Income	A	Dividend	J	T					
20. - American Century Equity Income	A	Dividend	J	T					
21. - Fidelity Advisors Float Rt.	A	Dividend	J	T					
22. - Fidelity Advisors New Insights	A	Dividend	J	T					
23. - American Century Diversified Bond					Sold	01/07/11	J	A	
24. - American Century Heritage	A	Dividend	J	T					
25. - Putnam Absolute Return 100	A	Dividend	J	T					
26. [REDACTED] Revocable Living Trust (X) (See Part VIII)		None	M	W					
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Varlan, Thomas A.

Date of Report

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35.									
36.									
37.									
38.									
39.									
40.									
41.									
42.									
43.									
44.									
45.									
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47.									
48.									
49.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Varlan, Thomas A.

Date of Report

05/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 40 - [REDACTED] house.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Varlan, Thomas A.

Date of Report

05/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas A. Varlan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544