

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woodcock, John A.	2. Court or Organization Maine	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 156 Federal Street Portland, ME 04101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Emeritus Member of Board of Trustees	Bowdoin College
2. Member Board of Visitors	University of Maine School of Law
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merck Common Stock	B	Dividend	L	T					
2. Brighthouse Financial (note)		None			Sold	08/10/17	J	A	
3. Boeing Common Stock	A	Dividend	K	T					
4. Cisco Common Stock	A	Dividend	K	T					
5. MetLife Common Stock	A	Dividend	K	T					
6. Wells Fargo Common Stock	D	Dividend	M	T					
7. Union Pacific Common Stock	A	Dividend	K	T					
8.									
9. Mitsui Common Stock - ADRs	B	Dividend	L	T					
10. Apple, Inc. Common Stock	B	Dividend	M	T					
11. MorganStanley Bank Deposits (Comment)	B	Int./Div.	M	T					
12. Amazon.com, Inc.		None	M	T					
13. ExxonMobil Common Stock	C	Dividend	L	T					
14. General Electric Common Stock	B	Dividend	K	T					
15. American Growth Fund of America Mutual Fund	A	Dividend	K	T					
16. American Capital Income Builder	A	Dividend	K	T					
17. Alphabet, Inc. (note)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Norfolk Southern Common Stock	B	Dividend	L	T					
19. Pepsico Common Stock	B	Dividend	K	T					
20. Ameritas Life Insurance Corp Flexible Universal Life (Fixed)		None	L	T					
21. Procter & Gamble Common Stock	B	Dividend	K	T					
22. Bangor Savings Bank Checking Account (note)	A	Interest	K	T					
23. ASA (Bermuda) STD Common Stock	A	Dividend	J	T					
24. Health Care Services Group Common Stock	B	Dividend	L	T					
25. SPDR S&P 500 ETF (note)	B	Dividend	L	T					
26.									
27. CVS Caremark Corp Common Stock	A	Dividend	K	T					
28.									
29. United Technologies Common Stock	A	Dividend	K	T					
30. AT&T Common Stock	B	Dividend			Sold	11/13/17	K	D	
31.									
32. ClearBridge Appreciation Fund IRA (note)	A	Dividend	L	T					
33. ClearBridge Dividend Strategy Fund A	A	Dividend	K	T					
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36. McDonalds Corp. Common Stock	B	Dividend	M	T					
37.									
38. Johnson & Johnson Common Stock	B	Dividend	K	T					
39. Stericycle, Inc. Common Stock		None	K	T					
40. U.S. Bancorp Common Stock	A	Dividend	K	T					
41.									
42. Zimmer Holdings Common Stock	A	Dividend	K	T					
43. MetLife Life Whole Life Insurance Policies	A	Dividend	K	T					
44.									
45. Chevron Corp. Common Stock	A	Dividend	K	T					
46. Rental Property, Hancock, Maine (Comment)		None	O	R					
47. American Income Fund of America Mutual Fund	B	Dividend	K	T					
48.									
49.									
50. Verizon Common Stock	B	Dividend	K	T					
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Item 2, on August 4, 2017, MetLife completed a spin-off of Brighthouse Financial, Inc., separating it from MetLife. As a MetLife shareholder, I received a small amount of Brighthouse stock on August 4, 2017, which I sold on August 10, 2017.

Regarding Item 11, MorganStanley Bank Deposits was the recipient of stock sales, interest and dividends during the year. The value indicated is the value at the end of the year.

Regarding Item 17, Google, Inc. changed its stock name to Alphabet, Inc. This report reflects the correct name of the formerly titled Google, Inc. stock.

Regarding Items 20 and 43, last year the Committee issued a letter dated August 11, 2017, asking for further information concerning item 43, the MetLife Life Insurance Policies and asking whether the policies were whole or variable life. This report confirms that each of the MetLife life insurance policies is a whole life policy. In addition, item 20 confirms that it is a life insurance policy characterized as a flexible universal life insurance policy (fixed). I have no control over the investments either MetLife or Ameritas makes under any of these policies.

Regarding Item 22, this bank account is used as a personal checking account and varies in amounts during the year based on income received. The value indicated is the value at the end of the year.

Regarding Item 26, the name of this item changed from SPDR Trust Series 1 to SPDR S&P 500 ETF and the entry is under the new name.

Regarding Item 32, the name of this item has changed. Rather than Legg Mason Clearbridge Appreciation A, it is now Clearbridge Appreciation A.

Regarding Item 46, the property was purchased on June 29, 2011 for \$573,000. The property was not rented in 2017. This item is included to allow tracking from the last report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John A. Woodcock**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544