

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schwab, Arthur J.	2. Court or Organization Western District of Pennsylvania	3. Date of Report 04/12/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 700 Grant Street, Suite 7280 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Part-time Professor (evening course)	Grove City College
2. Secretary and member of the Board of Trustees (non-compensated)	Grove City College
3. Faculty member (non-compensated)	University of Virginia School of Law - Trial Advocacy Program
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 07/11/91	Single life annuity through a life insurance company through prior employer, Reed Smith LLP, beginning monthly on 01/01/2012.
2. 2011	Grove City College - Part-time Professor - evening course - intellectual property law (compensation is within the applicable judicial guidelines)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Grove City College - teaching	\$12,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Virginia School of Law	01/06/11 to 01/10/11	Charlottesville, Virginia	Faculty	mileage, tolls, meals (30th Annual National Trial Advocacy College)
2. Grove City College	04/04/11 to 04/05/11	Harrisburg, PA	Annual Meeting	mileage, tolls, meals, hotel (Association of Independant Colleges & Universities)
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ROLLOVER IRA NO. 1:	E	Int./Div.	O	T					
2. -Fidelity Gov't Money Market									
3. -Baron Small Cap Fund									
4. -Artisan Small Cap Vale									
5. -Calamos Growth Fund					Sold (part)	04/28/11	J		
6. -Harbor International Fund									
7. -Dodge & Cox Int'l Fund									
8. -iShares US Treasury									
9. -(a) JP Morgan US Large Cap									
10. -(b) JP Morgan US Large Cap					Sold	04/28/11	J		
11. -Ivy Gbl Nat Resources FD					Sold (part)	04/28/11	J		
12. -(a) Pimco Funds Total Return									
13. -(b) Pimco Funds Total Return									
14. -BellSouth Comp. Note									
15. -Verizon Comm Inc. Note									
16. -JP Morgan Chase Note									
17. -Morgan Stanley Note									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Goldman Sachs Note									
19. -Western Union Note					Sold	11/17/11	K		
20. -AT&T									
21. -BP PLC									
22. -Chevron Comp									
23. -Coca Cola									
24. -ConocoPhillips									
25. -Consolidated Edison									
26. -Dominion Resources									
27. -(a) Emerson Electric					Sold	08/30/11	J		
28. -(b) Emerson Electric					Sold	08/30/11	J		
29. -(a) Exxon Mobil					Sold (part)	08/30/11	J		
30. -(b) Exxon Mobil					Sold (part)	08/30/11	J		
31. -(a) Intel Corp									
32. -(b) Intel Corp									
33. -(a) International Business Machs Corp.									
34. -(b) International Business Machs Corp.									

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35. -(a) Johnson & Johnson									
36. -(b) Johnson & Johnson									
37. -Kimberly-Clark Corp.									
38. -Lilly Eli & Co.					Sold (part)	08/30/11	J		
39. -McDonalds Corp.									
40. -(a) Microsoft Corp.									
41. -(b) Microsoft Corp.									
42. -(a) NYSE Euronext					Sold	02/09/11	J		
43. -(b) NYSE Euronext					Sold	02/09/11	J		
44. -Pepsico Inc.					Sold (part)	08/30/11	J		
45. -Price T Rowe Group Inc.									
46. -Procter & Gamble Co.									
47. -(a) United Technologies Corp.									
48. -(b) United Technologies Corp.									
49. -Verizon Communications Inc.									
50. -Wal-Mart Stores Inc.					Sold	01/28/11	J		
51. -JP Morgan Chase & Co.									

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52. -RS Emerging Mkts Fund									
53. -Perkins Mid-Cap Fund					Sold (part)	04/28/11	J		
54. -(a) Blackrock Global Fund									
55. -(b) Blackrock Global Fund					Buy	04/29/11	J		
56. -Kinder Morgan Energy									
57. -Dividend Cap Ind Trust II									
58. -Cummins					Buy	09/02/11	J		
59. -Kraft Foods Inc.					Buy	09/02/11	J		
60. -National Oil Well Varco					Buy	09/02/11	J		
61. -United Health Group					Buy	09/02/11	J		
62. -Walt Disney					Buy	05/03/11	J		
63. -Dupont E.I. Denemours					Buy	05/03/11	J		
64. -Fidelity Advisor Floating High Income Fund					Buy	04/29/11	J		
65. -Goldman Sachs Grth Oppor					Buy	04/29/11	J		
66. -Pimco Commodity Real Return Fund					Buy	04/29/11	J		
67. RIVERFRONT CAPITAL FUND (A) (See VIII)	F	Distribution	K	U					
68. RIVERFRONT CAPITAL FUND (B) (See VIII)	F	Distribution	K	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART II: PARTIES AND TERMS re: Grove City College, cntd . . . (stated part-time teaching on 01/21/02).

PART VII: INVESTMENTS AND TRUSTS, cntd . . . (Limited Partnership with investments in CENTRIA) through Riverfront Capital Fund. Two different investments - - Both (A) and (B) are stand-alone investments. I have no mangagement responsibilities therein - - solely passive investment.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur J. Schwab**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544