

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moody, Jr., James S.	2. Court or Organization U.S. DISTRICT COURT MIDDLE DISTRICT FL	3. Date of Report 10/31/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE (SENIOR STATUS)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address U.S. DISTRICT COURT 801 NORTH FLORIDA AVE #13A TAMPA, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	██████████ TRUST
2.	TRUSTEE	██████████ TRUST
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

HUMANA/COMPBENEFITS, INC. - SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SunTrust Bank	Mortgage	M
2.	Merrill Lynch	Margin Loan	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST CASH ACCOUNTS									
2. -- Individual Checking	A	Int./Div.	J	T					
3. -- McIntosh Road	A	Int./Div.	J	T					
4. -- Checking	A	Int./Div.	J	T					
5. -- Savings	A	Int./Div.	J	T					
6. -- Individual	A	Int./Div.	J	T					
7. MERRILL LYNCH CASH ACCOUNTS									
8. -- Bilmar	A	Int./Div.	K	T					
9. -- Individual #1	A	Int./Div.	M	T					
10. -- Individual #2	A	Int./Div.	J	T					
11. -- Individual #3	A	Int./Div.	J	T					
12. --	A	Int./Div.	K	T					
13. --	A	Int./Div.	J	T					
14. -- Trust #1	A	Int./Div.	J	T					
15. -- Trust #2	D	Dividend			Closed	12/31/13	J		
16. -- Partnership	D	Int./Div.	P1	T					
17. -- Partnership	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -- Trust #1 Inc. Bene [REDACTED]	A	Int./Div.	J	T					
19. -- Trust #1 Inc. Bene [REDACTED] (X)	A	Int./Div.	K	T					
20. -- ICM Trust [REDACTED]	A	Int./Div.	N	T					
21. -- ICM Trust [REDACTED]	A	Int./Div.	N	T					
22. MERRILL LYNCH NON-Q [REDACTED]									
23. -- STI	A	Int./Div.	J	T					
24. -- BAC	A	Int./Div.	N	T					
25. -- RF	A	Int./Div.	K	T	Sold (part)	05/20/13	K		
26. -- CAT	B	Int./Div.	L	T	Buy (add'l)	01/25/13	L		
27.					Buy (add'l)	02/01/13	L		
28.					Sold (part)	02/19/13	L		
29.					Buy (add'l)	04/16/13	L		
30.					Sold (part)	05/20/13	L	B	
31.					Buy (add'l)	05/21/13	L		
32.					Sold (part)	07/18/13	L		
33.					Buy (add'l)	08/30/13	L		
34.					Sold (part)	09/23/13	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	10/17/13	L		
36. -- DMND	A	Int./Div.	L	T	Sold (part)	02/12/13	J		
37.					Sold	03/18/13	J		
38.					Buy	11/20/13	K		
39.					Sold	12/23/13	K	B	
40.					Buy	12/23/13	K		
41. -- NLY	B	Int./Div.	K	T					
42. -- ARCC	A	Int./Div.	J	T					
43. -- BP	A	Dividend			Buy	06/24/13	L		
44.					Sold	07/22/13	L	A	
45.					Buy	08/13/13	L		
46.					Sold	09/23/13	L	B	
47. -- BBT	A	Dividend			Sold	02/06/13	L	C	
48. -- CSQ	A	Int./Div.	J	T					
49. -- COP		None			Buy	04/16/13	L		
50.					Buy (add'l)	04/17/13	L		
51.					Sold	05/20/13	M	C	

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52. -- TUP		None			Buy	06/24/13	L		
53.					Sold	07/22/13	L	A	
54.					Buy	07/22/13	L		
55.					Sold	08/19/13	L	A	
56. -- GIS	A	Dividend			Buy	08/20/13	L		
57.					Sold	11/18/13	L	A	
58. -- DOW	C	Int./Div.	L	T	Buy	10/23/13	M		
59.					Sold (part)	12/23/13	M		
60. -- MOS	B	Int./Div.	L	T	Buy	10/30/13	L		
61.					Sold (part)	11/25/13	K	A	
62.					Buy (add'l)	11/25/13	K		
63. -- ESV	A	Int./Div.	M	T	Buy	12/23/13	M		
64. -- CAT Options					Sold	01/25/13	J		
65.					Sold	02/01/13	J		
66.					Closed	02/15/13	J		
67.					Sold	02/15/13	J		
68.					Sold	07/19/13	J		

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69.					Sold	08/20/13	J		
70.					Sold	08/30/13	J		
71.					Sold	09/25/13	J		
72. -- BP Options					Sold	06/24/13	J		
73. -- TUP Options					Sold	06/24/13	J		
74.					Sold	07/23/13	J		
75. -- GIS Options					Sold	08/23/13	J		
76.					Sold	10/23/13	J		
77. -- DOW Options					Sold	10/23/13	J		
78.					Sold	10/23/13	J		
79.					Sold	11/18/13	J		
80.					Sold	12/24/13	J		
81. -- DMND Options					Sold	11/20/13	J		
82.					Sold	12/23/13	J		
83.					Sold	12/23/13	J		
84. -- MOS Options					Sold	11/25/13	J		
85.					Sold	12/23/13	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. -- ESV Options					Sold	12/23/13	J		
87. Merrill Lynch Trust									
88. -- WFC	A	Dividend			Buy	01/11/13	L		
89.					Sold	03/18/13	L	B	
90. -- STI	A	Dividend			Buy	01/11/13	L		
91.					Sold	05/20/13	L	B	
92. -- COP		None			Buy	02/06/13	M		
93.					Sold	02/14/13	M	A	
94. -- CCL		None			Buy	03/18/13	K		
95.					Buy (add'l)	04/23/13	K		
96.					Sold	05/20/13	L	A	
97.					Buy	06/12/13	L		
98.					Sold	07/22/13	L	A	
99. -- CAT	A	Dividend			Sold	01/22/13	L		
100.					Buy	01/24/13	L		
101.					Buy (add'l)	04/15/13	L		
102.					Sold (part)	05/20/13	L	B	

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103.					Buy (add'l)	06/12/13	L		
104.					Sold (part)	08/19/13	L	A	
105.					Buy (add'l)	08/20/13	L		
106.					Sold (part)	09/23/13	L	A	
107.					Buy (add'l)	10/23/13	L		
108.					Sold	12/24/13	M	D	
109. -- CAH	A	Dividend			Buy	04/24/13	K		
110.					Sold (part)	05/17/13	J	A	
111.					Sold	05/21/13	K	B	
112.					Buy	05/21/13	K		
113.					Sold	09/23/13	K	A	
114. -- TRV	A	Dividend			Buy	05/21/13	L		
115.					Buy (add'l)	08/30/13	L		
116.					Sold	10/21/13	M	B	
117. -- TUP	A	Dividend			Buy	05/21/13	L		
118.					Sold	08/19/13	L	A	
119. -- GLS	A	Dividend			Buy	08/20/13	K		

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120.					Buy (add'l)	08/30/13	K		
121.					Sold	10/21/13	L		
122. -- PFG		None			Buy	09/25/13	L		
123.					Sold	11/18/13	L	B	
124. -- DOW		None			Buy	10/09/13	K		
125.					Buy (add'l)	10/23/13	L		
126.					Sold (part)	12/24/13	K	B	
127.					Distributed	12/26/13	L		
128. -- PFE	D	Dividend			Distributed	12/26/13	M		
129. -- CSQ	C	Dividend			Sold (part)	10/17/13	J	A	
130.					Distributed	12/26/13	M		
131. -- NLY	D	Dividend			Distributed	12/26/13	K		
132. -- ARCC	B	Dividend			Distributed	12/26/13	J		
133. -- BAC	A	Dividend			Distributed	12/26/13	L		
134. -- RF	A	Dividend			Distributed	12/26/13	J		
135. -- DMND	A	Dividend			Sold (part)	02/12/13	J		
136.					Sold (part)	02/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	05/20/13	J		
138. -- HUM	A	Dividend			Sold	02/19/13	L		
139.					Buy	02/20/13	L		
140.					Buy (add'l)	03/25/13	L		
141.					Sold	04/22/13	M	A	
142.					Buy	04/24/13	L		
143.					Sold	05/20/13	L	A	
144. -- NUE		None			Sold	01/22/13	K		
145. -- BP	B	Dividend			Sold	01/22/13	K		
146.					Buy	03/18/13	K		
147.					Sold	07/22/13	K		
148.					Buy	08/01/13	K		
149.					Buy (add'l)	08/30/13	K		
150.					Sold (part)	09/23/13	K		
151.					Sold	10/21/13	K	B	
152. -- HUM Options					Sold	01/02/13	J		
153.					Sold	02/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	03/18/13	J		
155.					Sold	03/25/13	J		
156.					Sold	04/24/13	J		
157. -- STI Options					Sold	01/11/13	J		
158. -- CAT Options					Sold	01/24/13	J		
159.					Sold	02/20/13	J		
160.					Sold	04/16/13	J		
161.					Sold	06/24/13	J		
162.					Sold	08/20/13	J		
163.					Sold	10/23/13	J		
164. -- TUP Options					Sold	05/21/13	J		
165.					Sold	07/11/13	J		
166. -- CCL Options					Sold	06/12/13	J		
167. -- CAH Options					Sold	06/24/13	J		
168. -- PFG Options					Sold	09/25/13	J		
169. -- DOW Options					Sold	10/09/13	J		
170.					Sold	10/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Merrill Lynch Investment Partnership									
172. -- WFC Options					Sold	07/11/13	J		
173.					Sold	09/23/13	J		
174.					Sold	10/23/13	J		
175. -- WFC	B	Dividend			Buy	01/11/13	L		
176.					Buy (add'l)	02/01/13	L		
177.					Sold	03/18/13	M	C	
178.					Buy	07/11/13	L		
179.					Sold	07/22/13	L	A	
180.					Buy	08/30/13	L		
181.					Sold	09/23/13	L	B	
182.					Buy	09/23/13	L		
183.					Sold	12/23/13	L	B	
184. -- MTGE Options					Sold	03/20/13	J		
185. -- MTGE	A	Int./Div.	K	T	Buy	03/20/13	K		
186. -- BBT	A	Dividend			Sold	01/22/13	L	B	
187. -- STI Options					Sold	01/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	01/11/13	J		
189.					Sold	01/28/13	J		
190.					Sold	02/20/13	J		
191.					Sold	02/20/13	J		
192.					Sold	03/12/13	J		
193.					Sold	04/22/13	J		
194.					Closed	04/23/13	J		
195.					Sold	04/22/13	J		
196.					Sold	05/08/13	J		
197.					Sold	06/24/13	J		
198.					Sold	09/19/13	J		
199.					Sold	10/23/13	J		
200. -- STI	B	Int./Div.	M	T	Buy (add'l)	01/11/13	L		
201.					Sold (part)	01/22/13	N	E	
202.					Buy (add'l)	02/20/13	K		
203.					Sold (part)	03/18/13	M	E	
204.					Sold (part)	05/20/13	M	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	06/24/13	M	E	
206.					Buy (add'l)	06/24/13	L		
207.					Sold (part)	07/22/13	M	E	
208.					Buy (add'l)	08/30/13	M		
209.					Buy (add'l)	09/19/13	L		
210.					Sold (part)	10/21/13	M	D	
211.					Buy (add'l)	10/23/13	K		
212.					Sold (part)	12/23/13	M	D	
213. -- HUM Options					Sold	01/08/13	J		
214.					Sold	02/20/13	J		
215.					Sold	02/20/13	J		
216.					Sold	02/26/13	J		
217.					Sold	03/15/13	J		
218.					Sold	03/18/13	J		
219.					Sold	04/22/13	J		
220.					Sold	04/22/13	J		
221. -- HUM	A	Dividend			Buy (add'l)	01/08/13	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	01/22/13	M	D	
223.					Sold	02/19/13	N		
224.					Buy	02/20/13	M		
225.					Buy (add'l)	02/26/13	M		
226.					Buy (add'l)	03/15/13	L		
227.					Sold (part)	04/22/13	N		
228.					Buy (add'l)	04/22/13	L		
229.					Sold	05/20/13	M	D	
230. -- CAT Options					Sold	01/11/13	J		
231.					Sold	01/23/13	J		
232.					Sold	01/23/13	J		
233.					Sold	01/25/13	J		
234.					Sold	01/25/13	J		
235.					Sold	02/01/13	J		
236.					Sold	02/01/13	J		
237.					Sold	02/06/13	J		
238.					Closed	02/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	02/15/13	J		
240.					Sold	02/20/13	J		
241.					Sold	02/20/13	J		
242.					Sold	02/20/13	J		
243.					Sold	03/15/13	J		
244.					Sold	03/18/13	J		
245.					Sold	03/18/13	J		
246.					Sold	03/18/13	J		
247.					Sold	03/20/13	J		
248.					Sold	04/15/13	J		
249.					Sold	04/22/13	J		
250.					Sold	04/22/13	J		
251.					Sold	04/22/13	J		
252.					Sold	05/20/13	J		
253.					Sold	05/20/13	J		
254.					Sold	05/20/13	J		
255.					Sold	05/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold	06/24/13	J		
257.					Sold	06/24/13	J		
258.					Sold	06/24/13	J		
259.					Sold	06/24/13	J		
260.					Sold	07/22/13	J		
261.					Sold	08/20/13	J		
262.					Sold	08/20/13	J		
263.					Sold	08/20/13	J		
264.					Sold	08/22/13	J		
265.					Sold	08/30/13	J		
266.					Sold	09/24/13	J		
267.					Sold	09/25/13	J		
268.					Sold	10/22/13	J		
269.					Sold	10/22/13	J		
270.					Sold	11/18/13	J		
271.					Sold	11/18/13	J		
272.					Sold	11/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold	12/18/13	J		
274. -- CAT	E	Int./Div.	O	T	Buy (add'l)	01/11/13	L		
275.					Sold (part)	01/22/13	M	D	
276.					Buy (add'l)	01/23/13	M		
277.					Buy (add'l)	01/25/13	M		
278.					Buy (add'l)	02/01/13	M		
279.					Buy (add'l)	02/06/13	L		
280.					Buy (add'l)	02/11/13	L		
281.					Buy (add'l)	02/20/13	L		
282.					Buy (add'l)	03/06/13	L		
283.					Buy (add'l)	03/15/13	L		
284.					Buy (add'l)	03/20/13	L		
285.					Buy (add'l)	04/15/13	M		
286.					Sold (part)	05/20/13	N		
287.					Buy (add'l)	05/20/13	M		
288.					Buy (add'l)	06/24/13	L		
289.					Sold (part)	07/18/13	M	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	07/24/13	M		
291.					Buy (add'l)	08/20/13	L		
292.					Buy (add'l)	08/30/13	M		
293.					Sold (part)	09/23/13	L	A	
294.					Buy (add'l)	09/24/13	L		
295.					Sold (part)	12/16/13	N	D	
296.					Buy (add'l)	12/18/13	L		
297. -- FTR	D	Int./Div.	L	T					
298. -- BBY		None			Sold	02/19/13	K	B	
299. -- NUE		None			Sold	01/22/13	L		
300. -- COP Options					Sold	02/06/13	J		
301.					Sold	04/15/13	J		
302.					Sold	04/17/13	J		
303.					Sold	12/23/13	J		
304. -- COP	D	Int./Div.	M	T	Sold	01/22/13	M	C	
305.					Buy	02/06/13	M		
306.					Sold	02/14/13	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy	04/15/13	M		
308.					Buy (add'l)	04/17/13	L		
309.					Sold	05/20/13	M	D	
310.					Buy	12/23/13	M		
311. -- MWV	A	Dividend			Sold (part)	01/22/13	L	D	
312.					Sold	02/19/13	K	B	
313. -- ARLP Options					Sold	01/11/13	J		
314.					Sold	09/12/13	J		
315. -- ARLP	D	Int./Div.	M	T	Buy (add'l)	01/11/13	L		
316. -- ARCC	C	Int./Div.	L	T					
317. -- AGNC	D	Int./Div.	M	T	Buy (add'l)	07/11/13	K		
318.					Sold (part)	09/23/13	K		
319. -- OXY Options					Sold	07/30/13	J		
320.					Sold	12/18/13	J		
321. -- OXY	D	Int./Div.	M	T	Buy	07/30/13	M		
322.					Sold	09/23/13	M	D	
323.					Buy	12/18/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. -- GIS Options					Sold	08/16/13	J		
325.					Sold	08/20/13	J		
326.					Sold	09/24/13	J		
327.					Sold	10/22/13	J		
328. -- GIS	C	Int./Div.	L	T	Buy	08/16/13	L		
329.					Buy (add'l)	08/20/13	L		
330.					Sold (part)	10/21/13	L		
331. -- PEP Options					Sold	08/30/13	J		
332.					Sold	12/18/13	J		
333. -- PEP	C	Int./Div.	M	T	Buy	08/30/13	M		
334.					Sold	10/21/13	M	D	
335.					Buy	12/18/13	M		
336. -- AB Options					Sold	10/30/13	J		
337.					Sold	12/23/13	J		
338. -- AB	D	Int./Div.	M	T	Buy	09/19/13	K		
339.					Buy (add'l)	09/23/13	K		
340.					Buy (add'l)	09/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	10/01/13	K		
342.					Sold (part)	10/21/13	K		
343.					Buy (add'l)	10/30/13	L		
344.					Buy (add'l)	11/27/13	K		
345. -- JPM Options					Sold	09/19/13	J		
346.					Sold	10/31/13	J		
347.					Sold	11/08/13	J		
348. -- JPM	C	Int./Div.	M	T	Buy	09/19/13	M		
349.					Buy (add'l)	11/04/13	M		
350.					Sold (part)	11/25/13	M	A	
351. -- DOW Options					Sold	09/19/13	J		
352.					Sold	09/27/13	J		
353.					Sold	09/27/13	J		
354.					Sold	10/09/13	J		
355.					Sold	10/23/13	J		
356.					Sold	10/23/13	J		
357.					Sold	10/30/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	10/30/13	J		
359.					Sold	11/15/13	J		
360.					Sold	11/19/13	J		
361.					Sold	11/19/13	J		
362.					Sold	11/19/13	J		
363. -- DOW	A	Dividend			Buy	09/19/13	L		
364.					Buy (add'l)	09/23/13	L		
365.					Buy (add'l)	09/27/13	M		
366.					Buy (add'l)	10/09/13	L		
367.					Sold (part)	10/21/13	M	C	
368.					Buy (add'l)	10/23/13	M		
369.					Buy (add'l)	10/30/13	M		
370.					Buy (add'l)	11/19/13	L		
371.					Sold	12/23/13	O	E	
372. -- MOS Options					Sold	09/23/13	J		
373.					Sold	09/27/13	J		
374.					Sold	10/30/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold	10/30/13	J		
376.					Sold	10/31/13	J		
377.					Sold	11/19/13	J		
378.					Sold	11/19/13	J		
379.					Sold	11/20/13	J		
380.					Sold	11/25/13	J		
381.					Sold	11/25/13	J		
382.					Sold	11/25/13	J		
383.					Sold	12/18/13	J		
384.					Sold	12/18/13	J		
385.					Sold	12/23/13	J		
386. -- MOS	D	Int./Div.	O	T	Buy	09/23/13	L		
387.					Buy (add'l)	09/27/13	L		
388.					Sold (part)	10/21/13	K	A	
389.					Buy (add'l)	10/23/13	L		
390.					Buy (add'l)	10/30/13	N		
391.					Sold (part)	11/18/13	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	11/19/13	M		
393.					Buy (add'l)	11/20/13	M		
394.					Sold (part)	11/25/13	L	A	
395.					Buy (add'l)	11/25/13	L		
396.					Buy (add'l)	12/12/13	K		
397.					Buy (add'l)	12/18/13	K		
398.					Sold (part)	12/23/13	K	A	
399. -- POT Options					Sold	03/18/13	J		
400.					Sold	06/10/13	J		
401.					Sold	07/11/13	J		
402.					Sold	08/20/13	J		
403.					Sold	09/23/13	J		
404.					Sold	10/23/13	J		
405.					Sold	12/23/13	J		
406. -- POT	D	Int./Div.	N	T	Buy (add'l)	03/18/13	K		
407.					Sold	05/20/13	M	C	
408.					Buy	06/10/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	07/30/13	L		
410.					Buy (add'l)	08/20/13	K		
411.					Sold (part)	09/23/13	M		
412.					Buy (add'l)	09/23/13	M		
413.					Buy (add'l)	10/23/13	K		
414.					Buy (add'l)	10/31/13	K		
415.					Sold (part)	11/18/13	L		
416.					Buy (add'l)	11/22/13	M		
417. -- PFG Options					Sold	09/27/13	J		
418. -- PFG		None			Buy	09/27/13	L		
419.					Sold	11/18/13	L	C	
420. -- VLO Options					Sold	11/22/13	J		
421.					Sold	11/25/13	J		
422. -- VLO	A	Dividend			Buy	11/22/13	L		
423.					Buy (add'l)	11/25/13	K		
424.					Sold	12/23/13	M		
425. -- BP Options					Sold	02/15/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Moody, Jr., James S.

Date of Report

10/31/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold	02/20/13	J		
427.					Sold	03/18/13	J		
428.					Sold	06/24/13	J		
429.					Sold	08/20/13	J		
430. -- BP	C	Dividend			Sold	01/22/13	N		
431.					Buy	02/06/13	L		
432.					Buy (add'l)	02/15/13	L		
433.					Buy (add'l)	02/20/13	K		
434.					Buy (add'l)	03/08/13	L		
435.					Sold (part)	03/18/13	L		
436.					Buy (add'l)	04/22/13	K		
437.					Sold (part)	05/08/13	M	D	
438.					Buy (add'l)	06/20/13	L		
439.					Sold (part)	07/22/13	M	B	
440.					Buy (add'l)	07/30/13	M		
441.					Buy (add'l)	08/01/13	L		
442.					Buy (add'l)	08/30/13	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	09/23/13	M	B	
444.					Buy (add'l)	09/27/13	L		
445.					Sold (part)	10/21/13	N	D	
446.					Sold	11/06/13	L	C	
447. -- PRU Options					Sold	09/25/13	J		
448.					Sold	09/27/13	J		
449. -- PRU		None			Sold (part)	01/11/13	L	A	
450.					Sold	01/22/13	M		
451.					Buy	09/25/13	M		
452.					Buy (add'l)	09/27/13	M		
453.					Sold	11/18/13	N	D	
454. -- KMB Options					Sold	01/08/13	J		
455.					Sold	06/03/13	J		
456.					Sold	06/04/13	J		
457.					Sold	06/24/13	J		
458.					Sold	06/24/13	J		
459. -- KMB	C	Dividend			Buy (add'l)	01/08/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold	02/19/13	N	D	
461.					Buy	06/03/13	N		
462.					Buy (add'l)	06/24/13	L		
463.					Sold	07/22/13	N	D	
464. -- DMND Options					Sold	09/23/13	J		
465.					Sold	11/20/13	J		
466.					Sold	11/27/13	J		
467.					Sold	12/23/13	J		
468. -- DMND		None	M	T	Buy (add'l)	03/12/13	K		
469.					Sold (part)	03/18/13	K		
470.					Sold (part)	06/12/13	K		
471.					Sold (part)	06/24/13	K		
472.					Buy (add'l)	09/23/13	K		
473.					Sold (part)	11/18/13	K		
474.					Buy (add'l)	11/20/13	K		
475.					Buy (add'l)	11/27/13	K		
476.					Sold (part)	12/23/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Buy (add'l)	12/23/13	K		
478. -- NLY	C	Int./Div.	K	T					
479. -- CVX Options					Sold	10/30/13	J		
480. -- CVX	C	Dividend			Sold	01/22/13	N	D	
481.					Buy	10/30/13	N		
482.					Sold	11/25/13	N	C	
483. -- BKBK		None	K	T					
484. -- CL Options					Sold	01/23/13	J		
485.					Sold	02/20/13	J		
486.					Sold	05/22/13	J		
487.					Sold	08/30/13	J		
488.					Sold	11/13/13	J		
489.					Sold	12/23/13	J		
490. -- CL	B	Int./Div.	M	T	Buy	01/23/13	M		
491.					Sold (part)	02/19/13	K	A	
492.					Sold	04/19/13	M	D	
493.					Buy	05/22/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	08/30/13	M		
495.					Sold (part)	09/23/13	M		
496. -- ACCO		None			Sold	01/11/13	J		
497. -- AINV	D	Int./Div.	L	T					
498. -- GRBS		None	L	T					
499. -- TRV Options					Sold	04/22/13	J		
500.					Sold	05/21/13	J		
501.					Sold	06/24/13	J		
502.					Sold	08/20/13	J		
503. -- TRV	A	Dividend			Buy	04/22/13	M		
504.					Sold	05/20/13	M	B	
505.					Buy	05/21/13	N		
506.					Buy (add'l)	08/20/13	L		
507.					Sold (part)	09/23/13	L	B	
508.					Sold	10/21/13	N	C	
509. -- AFL Options					Sold	04/22/13	J		
510.					Sold	04/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. -- AFL	A	Dividend			Buy	04/22/13	M		
512.					Sold (part)	05/20/13	L	A	
513.					Sold	06/24/13	M	D	
514. -- PG Options					Sold	04/24/13	J		
515.					Sold	06/24/13	J		
516.					Sold	06/24/13	J		
517.					Sold	10/23/13	J		
518. -- PG	C	Dividend			Buy	04/24/13	M		
519.					Buy (add'l)	06/24/13	L		
520.					Sold (part)	07/17/13	M		
521.					Buy (add'l)	10/23/13	K		
522.					Sold	11/25/13	M	D	
523. -- CAH Options					Sold	04/24/13	J		
524.					Sold	06/24/13	J		
525.					Sold	07/08/13	J		
526. -- CAH	A	Dividend			Buy	04/24/13	M		
527.					Sold (part)	05/17/13	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold	05/20/13	L	B	
529.					Buy	06/04/13	L		
530.					Sold (part)	06/20/13	J	A	
531.					Sold	06/24/13	L	B	
532.					Buy	06/24/13	L		
533.					Buy (add'l)	06/25/13	L		
534.					Sold (part)	07/19/13	J	A	
535.					Sold (part)	07/22/13	L	B	
536.					Sold	08/19/13	L	C	
537. -- WERN Options					Sold	05/21/13	J		
538.					Sold	06/24/13	J		
539.					Sold	09/26/13	J		
540.					Sold	11/18/13	J		
541. -- WERN	B	Int./Div.	M	T	Buy	05/20/13	M		
542. -- TUP Options					Sold	05/21/13	J		
543.					Sold	06/24/13	J		
544.					Sold	07/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Sold	07/22/13	J		
546.					Sold	07/30/13	J		
547.					Sold	08/30/13	J		
548.					Sold	09/23/13	J		
549.					Sold	10/23/13	J		
550.					Sold	10/23/13	J		
551.					Sold	11/20/13	J		
552.					Sold	11/25/13	J		
553. -- TUP	C	Int./Div.	M	T	Buy	05/21/13	N		
554.					Buy (add'l)	06/20/13	L		
555.					Buy (add'l)	07/22/13	L		
556.					Sold (part)	08/19/13	N		
557.					Buy (add'l)	08/30/13	M		
558.					Sold (part)	09/23/13	L	D	
559.					Buy (add'l)	09/23/13	M		
560.					Sold (part)	10/21/13	N	D	
561.					Buy (add'l)	10/23/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	11/18/13	L	D	
563.					Buy (add'l)	11/20/13	M		
564.					Buy (add'l)	11/25/13	M		
565.					Sold (part)	12/16/13	N		
566. -- GD Options					Sold	05/22/13	J		
567.					Sold	05/22/13	J		
568.					Sold	06/24/13	J		
569.					Sold	06/24/13	J		
570.					Sold	10/22/13	J		
571.					Sold	11/18/13	J		
572.					Sold	12/23/13	J		
573. -- GD	D	Int./Div.	N	T	Buy	05/22/13	N		
574.					Sold	08/19/13	N	C	
575.					Buy	10/22/13	M		
576.					Sold	12/23/13	M	D	
577.					Buy	12/23/13	N		
578. -- CCE Options					Sold	05/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold	08/30/13	J		
580. -- CCE	B	Dividend			Buy	05/22/13	M		
581.					Buy (add'l)	07/11/13	K		
582.					Sold (part)	09/23/13	L		
583.					Sold	10/21/13	M		
584. -- PM Options					Sold	05/31/13	J		
585.					Sold	06/24/13	J		
586.					Sold	06/24/13	J		
587.					Sold	07/22/13	J		
588.					Sold	08/20/13	J		
589.					Sold	09/24/13	J		
590.					Sold	10/23/13	J		
591.					Sold	12/23/13	J		
592. -- PM	D	Int./Div.	M	T	Buy	05/31/13	N		
593.					Buy (add'l)	08/20/13	M		
594.					Sold (part)	09/23/13	N		
595. -- CLX Options					Sold	05/31/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Sold	06/24/13	J		
597. -- CLX	C	Dividend			Buy	05/31/13	N		
598.					Sold	10/21/13	N	C	
599. -- UAN	C	Int./Div.	K	T	Buy	11/27/13	K		
600. -- ESV Options					Sold	12/02/13	J		
601.					Sold	12/13/13	J		
602.					Sold	12/18/13	J		
603.					Sold	12/23/13	J		
604.					Sold	12/23/13	J		
605. -- ESV	E	Int./Div.	N	T	Buy	12/02/13	M		
606.					Buy (add'l)	12/13/13	M		
607.					Buy (add'l)	12/18/13	M		
608.					Buy (add'l)	12/23/13	M		
609. -- Greenville, SC Bond	B	Interest			Redeemed	12/02/13	L		
610. -- South Carolina Bond	B	Interest	L	T					
611. -- Greenville, SC Bond	B	Interest	K	T					
612. -- Horry Cnty, SC Bond	B	Interest			Redeemed	03/01/13	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. -- FIRST TAMPA 301 LLC TAMPA, FL		None			Distributed	04/26/13	M		
614. Merrill Lynch - Trust #1 Inc. Bene									
615. -- CYS Options					Sold	01/09/13	J		
616.					Sold	04/09/13	J		
617.					Sold	08/27/13	J		
618. -- CYS	E	Int./Div.	M	T	Buy (add'l)	06/11/13	J		
619. -- CTL	C	Int./Div.	K	T	Sold	04/22/13	M		
620.					Buy	10/25/13	K		
621. -- AFL Options					Sold	12/26/13	J		
622. -- AFL	B	Int./Div.	L	T	Buy	11/07/13	L		
623. -- VLO Options					Sold	11/20/13	J		
624. -- VLO	B	Int./Div.	M	T	Buy	11/19/13	M		
625. -- AGNC Options					Sold	01/03/13	J		
626.					Sold	03/08/13	J		
627.					Sold	06/26/13	J		
628.					Sold	08/27/13	J		
629.					Sold	10/22/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. -- AGNC	E	Int./Div.	M	T	Sold	02/19/13	N	D	
631.					Buy	02/21/13	N		
632.					Buy (add'l)	05/03/13	L		
633. -- IVR Options					Sold	07/30/13	J		
634. -- IVR	D	Int./Div.	L	T	Sold	01/14/13	J	A	
635.					Buy	03/19/13	M		
636.					Buy (add'l)	12/03/13	J		
637. -- KMB Options					Sold	04/26/13	J		
638.					Sold	06/25/13	J		
639. -- KMB	B	Dividend			Buy	04/24/13	M		
640.					Sold	10/21/13	L		
641. -- PM Options					Sold	02/27/13	J		
642.					Sold	04/24/13	J		
643. -- PM	C	Dividend			Sold	09/23/13	M	C	
644. -- WIN Options					Sold	07/16/13	J		
645. -- WIN	E	Int./Div.	M	T	Buy (add'l)	03/15/13	K		
646.					Buy (add'l)	03/19/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	05/28/13	J		
648. -- NLY Options					Sold	09/30/13	J		
649. -- NLY	C	Int./Div.	K	T	Buy	09/27/13	K		
650. -- GNI	D	Int./Div.	K	T	Buy	10/22/13	J		
651.					Buy (add'l)	10/23/13	K		
652. -- MITT Options					Sold	01/04/13	J		
653.					Sold	07/16/13	J		
654.					Sold	12/10/13	J		
655. -- MITT	E	Int./Div.	M	T	Sold	02/19/13	M	D	
656.					Buy	02/21/13	N		
657.					Buy (add'l)	08/19/13	J		
658. -- MTGE Options					Sold	01/03/13	J		
659.					Sold	01/09/13	J		
660.					Sold	06/26/13	J		
661.					Sold	09/23/13	J		
662.					Sold	12/26/13	J		
663. -- MTGE	E	Int./Div.	M	T	Sold (part)	01/22/13	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Buy (add'l)	01/23/13	M		
665.					Sold (part)	03/14/13	J		
666.					Sold (part)	03/15/13	J		
667.					Sold (part)	03/18/13	M	D	
668.					Buy (add'l)	05/03/13	K		
669. -- SBY	A	Int./Div.	J	T	Spinoff (from line 674)	04/24/13	J		
670. -- TWO Options					Sold	01/03/13	J		
671.					Sold	03/25/13	J		
672.					Sold	07/31/13	J		
673.					Sold	12/24/13	J		
674. -- TWO	D	Int./Div.	M	T	Sold (part)	03/06/13	J		
675.					Sold	03/18/13	M	E	
676.					Buy	03/19/13	M		
677. -- DMND(Y)									
678. -- COP Options					Sold	09/23/13	J		
679. -- COP	B	Dividend			Buy	09/23/13	M		
680.					Sold	11/18/13	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681. -- AINV	C	Int./Div.	K	T					
682. -- CSQ	C	Int./Div.	L	T					
683. Merrill Lynch - Trust (X)									
684. -- BAC	A	Int./Div.	K	T					
685. -- CAT	B	Int./Div.	L	T					
686. -- GIS	C	Int./Div.	M	T					
687. -- POT	C	Int./Div.	L	T					
688. -- RF	A	Int./Div.	K	T					
689. -- STI	A	Int./Div.	J	T					
690. -- MOS	A	Int./Div.	K	T					
691. -- WFC	A	Int./Div.	J	T					
692. -- CAT	B	Dividend			Sold	10/17/13	L	A	
693. -- TRV	C	Dividend			Sold	10/21/13	M	C	
694. -- PRU	C	Dividend			Sold	10/21/13	M	C	
695. -- BP	A	Dividend			Sold	10/21/13	K	B	
696. -- WERN	A	Int./Div.	K	T					
697. GEO THERMAL	B	Distribution	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. FIRST TAMPA 301 LLC TAMPA, FL		None			Distributed	04/26/13	M		
699. FIRST TAMPA ALEXANDER LLC(X)		None	M	W					
700. MISSOURI MIDWAY LLC(x)		None	M	W	Open	10/14/13	M		
701. REAL PROPERTY									
702. -- Diamond Hill, Valrico, FL		None	M	W					
703. -- Condo, Longboat Key, FL	D	Rent	N	W					
704. -- Condo, Tampa, FL (Ryan)		None	M	W					
705. -- Land, McIntosh Rd, Hillsborough Cnty, FL	D	Rent	N	W					
706. -- Property, Celo, NC		None	O	W					
707. -- Property, Highlands, NC		None	M	W					
708. -- Highlands, North Carolina Condo	C	Rent	N	W					
709. -- Rental Property, Plant City, FL	D	Rent	O	W					
710. -- Tampa Condo #1	D	Rent	M	W					
711. -- Tampa Condo #2	D	Rent	M	W					
712. -- Tampa Condo #3	D	Rent	N	W					
713. -- Tampa Condo #4	E	Rent	N	W					
714. -- St. Petersburg Condo #1	E	Rent	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. -- St. Petersburg Condo #2	E	Rent	N	W					
716. -- Gainesville, FL Condo		None	N	W					
717. -- Tampa Condo #5		None	N	W					
718. -- Alexander St., Plant City, FL		None	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Line 19 - There was no cash in this account at December 31, 2012
- 2) Line 639 - KMB should have shown as complete sale on 2012 report
- 3) Line 677 - DMND prior year sale should have been marked as complete sale not partial sale
- 4) Line 683 - Became trustee on October 14, 2013
- 5) Line 699 - LLC interest was acquired during 2012, and should have been included on the prior year report
- 6) Line 700 - Acquired through [REDACTED]

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James S. Moody, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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