

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vasquez, Juan F.	2. Court or Organization United States Tax Court	3. Date of Report 05/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Tax Court 400 2nd St. N.W. Washington DC 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner-JAME-A Joint Venture	One third owner of condo, Breckenridge, Co.
2. Board of Directors	Houston Business and Tax Law Journal, University of Houston Law Center, Houston, Texas
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	University of Houston Law Center, Adjunct Professor, Non-employee compensaton	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Self-employed (writer)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	National Association of Enrolled Agents	8/6-8/9/2011	Las Vegas, Nevada	Mock Trial and Speaker	Reimbursed for transportation, meals and lodging.
2.	Texas Bar Association, Tax Section	8/18-8/19/2011	Houston, Texas	Panelist	Reimbursed for transportation, meals and lodging.
3.	Washington State Society of Enrolled Agents	10/16-10/19/2011	Tacoma, Washington	Mock Trial and Speaker	Reimbursed for transportation, meals and lodging.
4.	American Bar Association, Tax Section	10/20-10/23/2011	Denver, Colorado	Panelist	Reimbursed for transportation, meals and lodging.
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Miko Brando	2 tickets to Michael Jackson Fan Fest and The Immortal World Tour by Cirque du Soleil	\$376.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Dovenmuehle Mortgage, Inc	Mortgage on rental-Condo-1/3 owner	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Condo-1/3 owner-Breckenridge, Colorado	D	Rent	M	R					
2. MorganStanley (formerly Dean Witter)-IRA	A	Dividend	K	T					
3. -MorganStanley U.S. Government Sec Tr D (USGDX)									
4. -Van Kampen Comstock A (ACSDX)									
5. -MSIF Capital Growth Inst. (MSEQX)									
6. -MSIF Tr Cap Growth Inst. (MPEGX)									
7. -MSIF Tr US Small Val Inst. (MPSCX)					Sold	5/18/11	J	A	
8. -MSIF Active Intl Allocatin A (MSACX)									
9. -Blackrock Low Dur Bd Inv Svc (CMGBX)									
10. -E V Income Fund of Boston A (EVIBX)									
11. -Western Asset Core Plus Bd Fi (WACIX)									
12. -Invesco VK Small Cap Value Y (VSMIX)					Buy	5/24/11	J		
13. -Invesco Premier PTF Instl (IPPXX)					Buy	7/22/11	J		
14. MorganStanley (formerly Dean Witter Trust Co)-IRA	A	Dividend	J	T					
15. -MorganStanley Technology Fund A (IFOAX)									
16. -MorganStanley Focus Growth Fund A (AMOAX)									
17. -MorganStanley Dividend GRWTH Securities B (DIVBX)					Sold	7/19/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Invesco Diversified Dividend A (LCEAX)					Buy	7/19/11	J		
19. Prudential Whole Life	A	Dividend	J	V					
20. Prudential Whole Life	A	Dividend	J	V					
21. Insurance Trust-Prudential Variable Life	A	Dividend	K	V					
22. -Prudential Stock (common)									
23. -Prudential Money Market									
24. -AST Marsico Capital Grth									
25. -AST Large -Cap Value									
26. -SP US Emerging Growth									
27. MorganStanley-MS Bank NA (formerly Liquid Asset Fund)	A	Interest	J	T					
28. Prudential Stock (common)	A	Dividend	K	T					
29. Prudential Stock (common)	A	Dividend	J	T					
30. Prudential Variable Life	A	Dividend	J	V					
31. -Prudential Money Market									
32. -AST Marsico Capital Grth									
33. -SP US Emerging Growth									
34. -AST Large-Cap Value									

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35. Prudential Whole Life	A	Dividend	J	V					
36. MorganStanley529CollegeSavings #1, Age Based-AggressiveRisk	A	Dividend	J	T					
37. MorganStanley529CollegeSavings #2, Age Based-Moderate Risk	A	Dividend	J	T					
38. MorganStanley529CollegeSavings#3 Age Based-Moderate Risk	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts:

1. (M)(R) Method Cost: Date of Purchase: 9/84, Amount: \$63,333-1/3 owner.

#19. (V) Other: Cash Surrender Value.

#20. (V) Other: Cash Surrender Value.

#21. (T) Cash Market and (V) Other: Cash Surrender Value.

#30. (V) Other: Cash Surrender Value.

#35. (V) Other: Cash

Surrender Value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Juan F. Vasquez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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