

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Falk, Mark	2. Court or Organization United States District Court - New Jersey	3. Date of Report 11/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☒ Amended Report ☐ Date ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Magistrate Judge, District of New Jersey United States Post Office & Courthouse One Federal Square, Rm 457 Newark, New Jersey 07101-0999		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Executor	Estate #1
2. Trustee	Trust #1
3. Co-Trustee	Living Trust 2
4. Co-Trustee	GST Trust #4
5. Co-Trustee	GST Trust #5
6. Power of Attorney	Relative
7. Treasurer	Federal Magistrate Judges Association
8. Vice President	Federal Magistrate Judges Association
9. Adjunct Professor	Rutgers School of Law
10. Adjunct Professor	Fordham Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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2. _____

3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Trustee Commission from Falk Living Trust #1	\$8,131.00
2. 2017	Fordham Law School-teaching	\$2,500.00
3. 2017	Rutgers Law School-teaching	\$1,726.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed (court stenography)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Magistrate Judges Association	09/12/17 - 9/14/17	Washington, DC	Executive Board Meetings	Transportation, Lodging
2.	Federal Magistrate Judges Association	03/18/17 - 3/21/17	Washington, DC	Executive Board Meetings	Transportation, Lodging
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #1 (H)									
2. Fidelity Ginnie Mae Fund	A	Dividend	J	T					
3. Fidelity N.J. Muni Money Market Fund	A	Dividend	K	T					
4. Fidelity Floating Rate	A	Dividend	J	T					
5. Fidelity Cash Reserves	A	Dividend	L	T					
6. IRA #1 (H)									
7. -MS U.S. Govt. Securities Trust B	B	Int./Div.	L	T					
8. IRA #2 (H)									
9. -Rydex Invers. Govt Long Bond	A	Interest	J	T					
10. -Vanguard Short Term Investors	A	Dividend	K	T					
11. -Vanguard Fixed Income High Yield	B	Dividend	K	T					
12. -Vanguard Div. App. Index	A	Dividend	K	T					
13. -Vanguard Value Index	A	Dividend	K	T					
14. -Ishares Russell Midcap Value Index	A	Dividend	K	T					
15. -Ishares Russell 1000 Value Index	A	Dividend	K	T					
16. -Fidelity Convertible Securities Fund	B	Dividend	K	T					
17. -Nasdaq 100 Trust Unit Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Overseas Mutual Fund	A	Dividend	K	T					
19. -Fidelity Puritan Mutual Fund	A	Dividend	J	T					
20. -Fidelity Blue Chip Growth Mutual Fund	A	Dividend	J	T					
21. -Fidelity Cash Reserves	B	Interest	N	T					
22. -Fidelity US Bond Index	A	Dividend	K	T					
23. -Fidelity Ext. MKT Index Premium Class	A	Dividend	K	T					
24. -Fidelity Intl. Index Premium Class	A	Dividend	K	T					
25. -Fidelity Intl. Treasury Bond Index	A	Dividend	J	T					
26. -Fidelity 500 Index Premium Class	A	Dividend	J	T					
27. -Vanguard Inflation Protected SECs	A	Dividend	K	T					
28. -Vanguard Small Cap Value Index	A	Dividend	K	T					
29. -Fidelity Magellan Mutual Fund	B	Dividend	K	T					
30. -Fidelity Ginnie Mae Fund	A	Dividend	J	T					
31. -Fidelity Growth & Income Mutual Fund	A	Dividend	K	T					
32. -Fidelity Intermediate Bond Mutual Fund	A	Dividend	J	T					
33. -Fidelity Govt. Money Market*	A	Interest	J	T					
34. -Fidelity Short Term Bond	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -Vanguard Wellesley Inc.	A	Dividend	K	T					
36. -Doubleline Bond Fund	A	Dividend	K	T					
37. -Ishares Silver	A	Interest	J	T					
38. -Ishares China ETF	A	Dividend	K	T					
39. -Pro Shares Short Dow	A	Dividend	K	T					
40. -Pro Shares Ultrashort SC600	A	Dividend	J	T					
41. -Pro Shares Ultra Short Cap	A	Dividend	J	T					
42. -Pro Shares SHort S&P 500	A	Dividend	K	T					
43. -Pro Shares Short QQQ	A	Dividend	J	T					
44. -Fidelity Floating Rate High Income	C	Dividend	L	T					
45. IRA #3 (H)									
46. -Vanguard Total Stock Market Index Mutual Fund	B	Dividend	M	T					
47. Alcoa Common Stock	A	Dividend	J	T					
48. Coca Cola Common Stock	A	Dividend	J	T					
49. Brokerage Account #1 (H)									
50. -Morgan Stanley Tax Free Daily Income Trust	A	Interest	J	T					
51. -Morgan Stanley Bank	A	Interest	J	T					

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52. -PIMCO Short Term P	B	Dividend	L	T	Buy	12/29/17	J		
53.					Sold (part)	04/06/17	J	A	
54. -Western Ast Int Term Muni I	C	Dividend	L	T	Buy	03/02/17	J		
55.					Buy	04/06/17	J		
56.					Buy	12/29/17	J		
57. -Wells Fargo St Muni Bd INS	B	Dividend	L	T	Buy	03/02/17	J		
58.					Buy	04/06/17	J		
59.					Buy	12/29/17	J		
60. -SPDR Nuveen Barclays Mun ETF	A	Dividend	K	T	Buy	03/02/17	J		
61.					Buy	04/06/17	J		
62.					Buy	12/29/17	J		
63. -SPDR Nuveen Barclays Short ETF	A	Dividend	K	T	Buy	03/02/17	J		
64.					Buy	04/06/17	J		
65.					Buy	12/29/17	J		
66. -ISHARES Russell 1000 GRW ETF	A	Dividend	J	T	Sold (part)	04/06/17	J	A	
67.					Sold (part)	04/18/17	J	A	
68.					Sold (part)	06/22/17	J	A	

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69. -Deutsche X-Trackers MSCI EAF	A	Dividend			Sold (part)	04/06/17	J	A	
70.					Sold	07/07/17	J	C	
71. -E V Income Fund of Boston I	B	Dividend	K	T	Buy	12/29/17	J		
72.					Sold (part)	04/06/17	J	A	
73. -Cambiar INTL Equity INV	A	Dividend	J	T	Buy	04/06/17	J		
74. -ISHARES Russell 1000 Value ETF	A	Dividend	J	T	Sold (part)	04/06/17	J	A	
75.					Sold (part)	04/18/17	J	A	
76.					Sold (part)	06/22/17	J	A	
77.					Sold (part)	06/22/17	J	A	
78.					Sold (part)	07/07/17	J	A	
79. -PIMCO Foreign BD US \$ Hedged P	A	Dividend	J	T	Buy	12/29/17	J		
80.					Sold (part)	04/06/17	J	A	
81. -Wells Fargo Emerg Mrk EQ Inc.	A	Dividend	J	T	Buy	04/06/17	J		
82. -Delaware Value INSTL	A	Dividend	J	T	Buy	04/18/17	J		
83.					Buy	06/22/17	J		
84.					Buy	07/07/17	J		
85. -ISHARES RUSSELL 2000 Growth ETF	A	Dividend			Sold (part)	02/03/17	J	A	

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86.					Sold	06/22/17	J	A	
87. -Wells Fargo Special MDCP VL AD	A	Dividend	J	T	Buy	06/22/17	J		
88. -Hartford Midcap I	A	Dividend	J	T	Buy	06/22/17	J		
89. -ISHARES RUSSELL Midcap V ETF	A	Dividend			Sold (part)	04/06/17	J	A	
90.					Sold	06/22/17	J	A	
91. -ISHARES RUSSELL Midcap G ETF	A	Dividend			Sold (part)	04/06/17	J	A	
92.					Sold	06/22/17	J	A	
93. -Delware Inv Sm Cap Val INST	A	Dividend	J	T	Buy	06/22/17	J		
94.					Sold (part)	04/06/17	J	A	
95. -Edgewood Growth Instl	A	Dividend	J	T	Buy	04/18/17	J		
96.					Buy	06/22/17	J		
97.					Sold (part)	04/06/17	J	A	
98. -Harding Loevner Intl EQTY INSTL	A	Dividend	J	T	Buy	04/18/17	J		
99.					Buy	06/22/17	J		
100. -T. Rowe Price QM US	A	Dividend	J	T	Sold (part)	04/06/17	J	A	
101. -Wisdom Tree Jap	A	Dividend	J	T	Buy	07/07/17	J		
102. -Goldman Sachs Emeg	A	Dividend	J	T	Buy	04/06/17	J		

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103.					Buy	12/29/17	J		
104. -Loomis Growth Y	A	Dividend	J	T	Buy	06/22/17	J		
105. -Oakmark International	A	Dividend	J	T	Buy	07/07/17	J		
106. -Matthews Asian Japan	A	Dividend			Buy	04/06/17	J		
107.					Sold	07/07/17	J		
108. IRA #4-Phoenix Variable Annuity (H)	A	Int./Div.	J	T					
109. -Virtus Capital Growth	A	Int./Div.	J	T					
110. -Virtus Strat Alloc	A	Int./Div.	J	T					
111. -Virtus International	A	Int./Div.	J	T					
112. -Wanger USA	A	Int./Div.	J	T					
113. -Wagner International	A	Int./Div.	J	T					
114. -Federated Prime Money Market	A	Int./Div.	J	T					
115. SEP Account 1 (H)									
116. -Vanguard Total Stock Market Index Mutual Fund	E	Dividend	O	T	Sold (part)	10/17/17	K		
117. -Vanguard Prime Money Market Fund	C	Interest	L	T	Buy	10/17/17	K		
118.					Buy	04/11/17	K		
119. Wells Fargo Bank Account 1	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Wells Fargo Bank Account 2	B	Interest	N	T					
121. Wells Fargo Bank Account 3	A	Interest	J	T					
122. Bank of America Account 1	A	Interest	J	T					
123. Amboy Bank Account	A	Interest	J	T					
124. Investment Account #2 (H)									
125. -Fidelity NJ Muni Money Market	A	Dividend	J	T					
126. -Fidelity Floating Rate	A	Dividend	J	T					
127. -Fidelity GNMA Fund	A	Dividend	J	T					
128. -Fidelity Cash Reserve	A	Dividend	K	T					
129. -Fidelity Convertible Securities	B	Dividend	K	T					
130. Bank of America Account 2	A	Interest	J	T					
131. Bank of America Account 3	A	Interest	J	T					
132. Bank of America Account 4	B	Interest	L	T					
133. Santander	A	Interest	J	T					
134. Investment Account #3 (H)									
135. -Vanguard Growth & Income	B	Dividend	K	T					
136. -Vanguard Healthcare	B	Dividend	K	T	Sold (part)	03/02/17	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Vanguard Inter-Tax Exempt	A	Dividend	J	T					
138. -Vanguard Prime Mkt Fund	B	Dividend	M	T	Buy	03/02/17	L		
139. -Vanguard Total Stk Mk.	A	Dividend	K	T					
140. -Vanguard 500 Index	B	Dividend	L	T	Sold (part)	03/02/17	J	D	
141. Investment Account #4 (H)									
142. -Charles Schwab Money Market	A	Interest	M	T					
143. -Fidelity NY Muni Income	A	Dividend	K	T					
144. Charles Schwab US Ri	A	Dividend	J	T	Buy	03/10/17	J		
145. Powershares S&P	A	Dividend	J	T	Buy	03/10/17	J		
146. Schwab International	A	Dividend	J	T	Buy	03/10/17	J		
147. Investment Account #5 (H)									
148. Charles Schwab Money Market	A	Interest	L	T					
149. Osterweis Strategic	A	Dividend	K	T	Buy	04/17/17	K		
150. Eastman Chemical	A	Dividend	J	T					
151. USAA Tax Exempt	A	Dividend	K	T					
152. IRA #5 (H)									
153. -AB Wealth Appreciation	B	Dividend			Sold	12/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Trust #4 (H)									
155. -Morgan Stanley	A	Interest	N	T	Open	08/24/17	N		
156. -Chase Checking (X)	A	Interest	K	T					
157. Estate #1 (H)									
158. -Chase Checking (X)	A	Interest	K	T					
159. Trust #5 (H)									
160. -Chase Checking	A	Interest	N	T	Open	08/24/17	N		
161. -Chase Savings	A	Interest	K	T					
162. Relative Power of Attorney (H)									
163. -Chase Checking	A	Interest	J	T					
164. -Santander	A	Interest			Closed	07/13/17	J		
165. -ISHARES RUSSELL 2000 Value ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*I am informed this fund was previously known as Fidelity Retirement Government Money Market, but due to a merger it is now known as listed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark Falk

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544