

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Reade, Linda R.                                                                                                                      | <b>2. Court or Organization</b><br><br>District Court ND Iowa                                                                                                                                                                                                                                       | <b>3. Date of Report</b><br><br>09/17/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge - Active                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Courthouse<br>111 7th Ave SE<br>Cedar Rapids, IA 52401                                                                                                   |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|             |                                                                                                                      |
|-------------|----------------------------------------------------------------------------------------------------------------------|
| 1. 08/01/93 | State of Iowa and filer-Iowa Judicial Retirement Plan. The state is paying me retirement income as of February 2013. |
| 2.          |                                                                                                                      |
| 3.          |                                                                                                                      |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                   | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------------------------------------------|----------------------------------------|
| 1. 2/27/13  | State of Iowa judicial retirement income--paid monthly beginning 2/27/13 | \$28,284.98                            |
| 2.          |                                                                          |                                        |
| 3.          |                                                                          |                                        |
| 4.          |                                                                          |                                        |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                  |
|-------------|-------------------------------------------------------------------------|
| 1. 2013     | Bradshaw Fowler Proctor & Fairgrave, PC - professional fees and buy out |
| 2.          |                                                                         |
| 3.          |                                                                         |
| 4.          |                                                                         |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. US Bank Accounts                                                                                                         | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. CSCO Stock                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Medtronic Inc. Stock                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Deferred Comp Plan                                                                                                       | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. -Stock Index Fund-Valic                                                                                                  |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. -Science & Technology Fund-Valic                                                                                         |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. -Putnam New Opportunity Fund-Valic                                                                                       |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. -Putnam Global Gr Fund-Valic                                                                                             |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. -American Cent Ultra Fund-Valic                                                                                          |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. Retirement Account                                                                                                      | E                                       | Int./Div.                                     | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. -Schwab Money Market Fund                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. -AT&T (T)                                                                                                               |                                         |                                               |                                                 |                                           | Buy                                             | 10/22/13                | L                               |                                |                                                                   |
| 13. -Access (ACCP)                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14. -Altria Group, Inc (MO)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. -Amedisys Inc.(AMED)                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. -Ameriprise Financial (AMP)                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/03/13                | K                               |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/07/13                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |  |  |  |  |                |          |   |  |  |
|---------------------------------------------|--|--|--|--|----------------|----------|---|--|--|
| 18. -Annaly Capital Mgmt Reit (NLY)         |  |  |  |  | Buy<br>(add'l) | 06/06/13 | K |  |  |
| 19.                                         |  |  |  |  | Buy<br>(add'l) | 06/12/13 | J |  |  |
| 20.                                         |  |  |  |  | Buy<br>(add'l) | 06/20/13 | K |  |  |
| 21.                                         |  |  |  |  | Buy<br>(add'l) | 08/07/13 | J |  |  |
| 22. -Apple (APPL)                           |  |  |  |  | Buy<br>(add'l) | 01/24/13 | K |  |  |
| 23.                                         |  |  |  |  | Buy<br>(add'l) | 01/25/13 | K |  |  |
| 24.                                         |  |  |  |  | Buy<br>(add'l) | 04/17/13 | K |  |  |
| 25. -Arm Holdings (ARMH)                    |  |  |  |  | Sold           | 04/23/13 | K |  |  |
| 26. -AuricoGold Inc (AUQ)                   |  |  |  |  | Buy            | 02/05/13 | J |  |  |
| 27. -Bank of Am Corp (BAC)                  |  |  |  |  | Sold           | 12/20/13 | K |  |  |
| 28. -Barclays Bank (VXX)                    |  |  |  |  | Sold           | 08/15/13 | J |  |  |
| 29. -Barrick Gold Corp (ABX)                |  |  |  |  |                |          |   |  |  |
| 30. -Berkshire Hathaway                     |  |  |  |  |                |          |   |  |  |
| 31. -Blackrock Health Sciences Fund (SHSAX) |  |  |  |  |                |          |   |  |  |
| 32. -Blackrock Build Am (BBN)               |  |  |  |  | Buy            | 12/23/13 | K |  |  |
| 33. -Blackstone Grp (BX)                    |  |  |  |  | Buy            | 11/08/13 | K |  |  |
| 34. -Canadian Oil (COSWF)                   |  |  |  |  |                |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                 |  |  |  |  |                |          |   |  |  |
|---------------------------------|--|--|--|--|----------------|----------|---|--|--|
| 35. -Carlyle Grp (CG)           |  |  |  |  | Buy            | 11/18/13 | K |  |  |
| 36. -Citigroup Inc (C)          |  |  |  |  | Sold           | 03/07/13 | K |  |  |
| 37. -Cleanpath Resources (CLNP) |  |  |  |  |                |          |   |  |  |
| 38. -Crestwood MID LP(CMLP)     |  |  |  |  | Buy            | 11/21/13 | K |  |  |
| 39.                             |  |  |  |  | Sold           | 12/13/13 | K |  |  |
| 40. -Dana Holding (DAN)         |  |  |  |  | Sold<br>(part) | 06/07/13 | K |  |  |
| 41.                             |  |  |  |  | Sold           | 07/01/13 | K |  |  |
| 42. -Deere (DE)                 |  |  |  |  |                |          |   |  |  |
| 43. -Dell Inc (Dell)            |  |  |  |  | Sold           | 09/18/13 | J |  |  |
| 44. -Dendreon Corp (DNDN)       |  |  |  |  |                |          |   |  |  |
| 45. -Dole                       |  |  |  |  | Buy            | 02/01/13 | K |  |  |
| 46.                             |  |  |  |  | Buy<br>(add'l) | 03/13/13 | J |  |  |
| 47.                             |  |  |  |  | Sold           | 06/11/13 | K |  |  |
| 48. -Donnelley (RRD)            |  |  |  |  | Sold<br>(part) | 07/31/13 | K |  |  |
| 49. -Doubleline Inc (DSL)       |  |  |  |  | Buy            | 12/23/13 | K |  |  |
| 50. -DuPont (DD)                |  |  |  |  |                |          |   |  |  |
| 51. -EMC                        |  |  |  |  |                |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                              |  |  |  |  |      |          |   |  |  |
|----------------------------------------------|--|--|--|--|------|----------|---|--|--|
| 52. -Exxon Mobile (XOM)                      |  |  |  |  |      |          |   |  |  |
| 53. -Facebook (FB)                           |  |  |  |  | Buy  | 09/27/13 | K |  |  |
| 54.                                          |  |  |  |  | Sold | 12/12/13 | K |  |  |
| 55. -Ford Motor Co (F)                       |  |  |  |  |      |          |   |  |  |
| 56. -General Electric Co (GE)                |  |  |  |  |      |          |   |  |  |
| 57. -Genworth Financial (GNW)                |  |  |  |  | Buy  | 04/01/13 | K |  |  |
| 58.                                          |  |  |  |  | Sold | 05/01/13 | K |  |  |
| 59. -Goldman Sachs Group Inc(GS)             |  |  |  |  |      |          |   |  |  |
| 60. -Google (GOOG)                           |  |  |  |  | Sold | 01/23/13 | L |  |  |
| 61. -Guidewire (GWRE)                        |  |  |  |  | Sold | 01/14/13 | K |  |  |
| 62. -GSV Capital (GSVC)                      |  |  |  |  | Buy  | 08/07/13 | K |  |  |
| 63. -Hovnanian (HOV)                         |  |  |  |  | Buy  | 03/06/13 | J |  |  |
| 64. -iShares MSCI Australia Index Fund (EWA) |  |  |  |  | Sold | 05/02/13 | K |  |  |
| 65. -Inergy (NRGY) now Crestwood Equity LP   |  |  |  |  | Sold | 11/21/13 | K |  |  |
| 66. -Intel (INTC)                            |  |  |  |  | Buy  | 06/05/13 | K |  |  |
| 67. -iShares ETF MSCI Frontier 100 Fund      |  |  |  |  | Buy  | 06/04/13 | K |  |  |
| 68.                                          |  |  |  |  | Sold | 11/05/13 | K |  |  |

|                                                  |                                                   |                                                        |                                                                |                                                              |                                  |
|--------------------------------------------------|---------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------|----------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000   | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000          |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | P3 = \$25,000,001 - \$50,000,000 |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -ITAU UNI Holding (ITUB)                                                                                                |                                         |                                               |                                                 |                                           | Buy                                             | 09/16/13                | J                               |                                |                                                                   |
| 70. -JP Morgan Chase (JPM)                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 09/17/13                | L                               |                                |                                                                   |
| 71. -Jabil Circuit (JBL)                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/17/13                | K                               |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/18/13                | J                               |                                |                                                                   |
| 73. -Jetblue (JBLU)                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 11/14/13                | K                               |                                |                                                                   |
| 74. -Johnson Controls(JCI)                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 10/22/13                | K                               |                                |                                                                   |
| 75. -LSI Corp (LSI)                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/16/13                | K                               |                                |                                                                   |
| 76. -Manulife Finan (MFC)                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77. -Matthews Pacific Tiger Fund                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 78. -Metlife (MET)                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 79. -Microsoft (MSFT)                                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 80. -Morgan Stanley (MS)                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 01/23/13                | K                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/15/13                | K                               |                                |                                                                   |
| 82. -Newmont Mining (NEM)                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 08/27/13                | K                               |                                |                                                                   |
| 83. -Northwest Bankshares (NWBI)                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 04/01/13                | K                               |                                |                                                                   |
| 84. -NVIDIA (NVDA)                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 85. -Owens Illinois (OI)                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 07/29/13                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reade, Linda R.

Date of Report

09/17/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                       |  |  |  |  |                           |          |   |  |  |
|---------------------------------------|--|--|--|--|---------------------------|----------|---|--|--|
| 86. -Paragon Shipping (PRGN)          |  |  |  |  |                           |          |   |  |  |
| 87. -Pembina Pipeline (PBA)           |  |  |  |  |                           |          |   |  |  |
| 88. -Petroleo Brasileiro(PBR)         |  |  |  |  |                           |          |   |  |  |
| 89. -Pfizer (PFE)                     |  |  |  |  |                           |          |   |  |  |
| 90. -Pimco (PTY)                      |  |  |  |  |                           |          |   |  |  |
| 91. -Potash Corp (POT)                |  |  |  |  | Buy<br>(add'l)            | 07/30/13 | K |  |  |
| 92. -Principal Financial (PFG)        |  |  |  |  |                           |          |   |  |  |
| 93. -Proctor & Gamble (PG)            |  |  |  |  |                           |          |   |  |  |
| 94. -Quanta Services Inc (PWR)        |  |  |  |  | Sold                      | 05/02/13 | K |  |  |
| 95. -Renhe Commercial Hldg (RNHEF)    |  |  |  |  |                           |          |   |  |  |
| 96. -Repligen Corp (RGEN)             |  |  |  |  | Buy                       | 12/11/13 | J |  |  |
| 97. -Royal Dutch Shell (RDSA)         |  |  |  |  | Buy<br>(add'l)            | 12/20/13 | K |  |  |
| 98. -SAIC Inc (SAI) now Leidos (LDOS) |  |  |  |  | Buy                       | 04/01/13 | K |  |  |
| 99.                                   |  |  |  |  | Sold                      | 11/21/13 | K |  |  |
| 100. -Science App. (SAIC)             |  |  |  |  | Spinoff<br>(from line 99) | 09/27/13 | J |  |  |
| 101. -Safe Bulkers (SB)               |  |  |  |  |                           |          |   |  |  |
| 102. -Seagate Technology (STX)        |  |  |  |  | Sold                      | 05/02/13 | K |  |  |

|                                                  |                                                                     |                                                        |                                                                |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                     | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000        | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000                   | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000     | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | R = Cost (Real Estate Only)<br>V = Other               | P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reade, Linda R.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                       |  |  |  |  |                |          |   |  |  |
|---------------------------------------|--|--|--|--|----------------|----------|---|--|--|
| 103. -Siemens AG (SI)                 |  |  |  |  |                |          |   |  |  |
| 104. -Sirius XM (Siri)                |  |  |  |  | Buy            | 11/25/13 | J |  |  |
| 105.                                  |  |  |  |  | Buy<br>(add'l) | 12/26/13 | J |  |  |
| 106. -Sodastream (SODA)               |  |  |  |  | Buy            | 07/17/13 | K |  |  |
| 107. -Suntech Power (STP)             |  |  |  |  |                |          |   |  |  |
| 108. -Telecom Argentina SA (TCMFF)    |  |  |  |  |                |          |   |  |  |
| 109. -Teva Pharm Inds, LTd (TEVA)     |  |  |  |  |                |          |   |  |  |
| 110. -Time Warner (TWX)               |  |  |  |  |                |          |   |  |  |
| 111. -Time Warner Cable (TWC)         |  |  |  |  |                |          |   |  |  |
| 112. -Total SAADRF1 (TOT)             |  |  |  |  |                |          |   |  |  |
| 113. -Tsakos Energy (TNP)             |  |  |  |  |                |          |   |  |  |
| 114. -Ultrapar Participacoes SA (UGP) |  |  |  |  | Sold           | 05/03/13 | L |  |  |
| 115. -Vanguard Total World Stock (VT) |  |  |  |  |                |          |   |  |  |
| 116. -Veracyte Inc (VCYT)             |  |  |  |  | Buy            | 12/11/13 | J |  |  |
| 117. -Verizon Communications (VZ)     |  |  |  |  |                |          |   |  |  |
| 118. -VISA                            |  |  |  |  | Buy            | 12/30/13 | K |  |  |
| 119. -Vodafone (VOD)                  |  |  |  |  |                |          |   |  |  |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. -Wal-Mart DE CVSPN Adr F                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 121. -Wells Fargo & Co                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 122. -Yahoo (YHOO)                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 01/15/13                | K                               |                                |                                                                   |
| 123. -Yamana Gold Incoo (AVY)                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 124. McVean Trading and Investment                                                                                          | B                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. Farm 1 Dallas Co., IA                                                                                                  | D                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 126. Farm 3 Dallas Co. IA                                                                                                   | D                                       |                                               | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 127. Farm 5 Adair Co, IA                                                                                                    | D                                       | Rent                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Farm 6 Adair Co, Iowa (Y)                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 129. Farm 7 Adair Co, IA                                                                                                    | D                                       |                                               | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. Farm 8 Adair Co, IA(Y)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 131. Farm 9 Adair Co, IA(Y)                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 132. Farm 10 Adair Co, IA                                                                                                   | D                                       | Rent                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 133. Farm 11 Adair Co, IA(Y)                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 134. Farm 14 Adams Co, IA                                                                                                   | E                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. Farm 15 Adams Co, IA                                                                                                   | D                                       | Rent                                          | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 136. Farm 18 Adams Co, IA(Y)                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Farm 19 Taylor Co. County, IA (Y)                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 138. Farm 20 Decatur County, IA                                                                                             | B                                       | Rent                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. Cincinnati Life Insurance Whole Life Policy                                                                            |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 140. Mineral Interest,Dallas County, IA                                                                                     | D                                       | Royalty                                       | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 141. Fidelity ExplorRoyalty Bowman Co, ND                                                                                   | C                                       | Royalty                                       | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 142. Ben Con Properties LLC Des Moines, IA                                                                                  |                                         | None                                          | M                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. Towne View Apartments, LTD, Des<br>Moines, IA                                                                          | B                                       | Rent                                          | L                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 144. Phoenix-Tuson Ranch LLC                                                                                                | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 145. Brokerage I                                                                                                            | D                                       | Int./Div.                                     | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 146. -Schwab money market                                                                                                   | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 147. -Aecom Tech (ACM)                                                                                                      |                                         | None                                          |                                                 |                                           | Sold                                            | 02/22/13                | K                               | B                              |                                                                   |
| 148. -American Airlines Grp (AAL)                                                                                           |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/09/13                | J                               |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/13                | J                               |                                |                                                                   |
| 150. -At & T (T)                                                                                                            | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. -Cadence Pharm (CADX)                                                                                                  |                                         | None                                          | J                                               | T                                         | Sold<br>(part)                                  | 12/19/13                | J                               | A                              |                                                                   |
| 152. -Cliffs Natural Resources (CLF)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 153. -Crocce (CROX)                                                                                                         |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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09/17/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. -Devon Energy (DVN)                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/19/13                | J                               |                                |                                                                   |
| 155. -Dole (DOLE)                                                                                                           |                                         |                                               |                                                 |                                           | Buy                                             | 03/20/13                | J                               |                                |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/18/13                | J                               | A                              |                                                                   |
| 157. -Electronic Arts Inc (ERTS now EA)                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 07/24/13                | K                               | B                              |                                                                   |
| 158. -GSVC Capital (GSVC)                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/14/13                | J                               |                                |                                                                   |
| 159. -Hatteras Financial (HTS)                                                                                              | C                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/18/13                | J                               |                                |                                                                   |
| 160.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/19/13                | J                               |                                |                                                                   |
| 161.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/22/13                | J                               |                                |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/28/13                | J                               |                                |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/13                | K                               |                                |                                                                   |
| 164. -Hollyfrontier Corp (HFC)                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 08/05/13                | K                               |                                |                                                                   |
| 165. -International Paper (IP)                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/25/13                | K                               | E                              |                                                                   |
| 166. -NVIDA (NVDA)                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/19/13                | J                               |                                |                                                                   |
| 167. -Pimco Opp Fund (PTY)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/20/13                | J                               |                                |                                                                   |
| 168. -Qualcom (QCOM)                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/14/13                | K                               | A                              |                                                                   |
| 169. -Ruby Tuesday (RT)                                                                                                     |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 170. -Seadrill (SDRL)                                                                                                       |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/09/13                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reade, Linda R.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. -Sodastream Intntl (SODA)                                                                                              |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/14/13                | J                               |                                |                                                                   |
| 172. -Suncor Energy (SU)                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 02/25/13                | K                               |                                |                                                                   |
| 173. -Supervalu (SVU)                                                                                                       |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 174. -Vale SA ADR (VALE)                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 175. Brokerage II                                                                                                           | A                                       | Int./Div.                                     | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 176. -ARM Holdings                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/17/13                | K                               | C                              |                                                                   |
| 177. -Bank Amer Corp                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/27/13                | J                               | D                              |                                                                   |
| 178. -GSV Cap Corp                                                                                                          |                                         | None                                          | J                                               | T                                         | Buy                                             | 08/07/13                | J                               |                                |                                                                   |
| 179. -Hovnanian Ent Inc                                                                                                     |                                         | None                                          | J                                               | T                                         | Buy                                             | 02/27/13                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A - \$1,000 or less<br>F - \$50,001 - \$100,000                                       | B - \$1,001 - \$2,500<br>G - \$100,001 - \$1,000,000   | C - \$2,501 - \$5,000<br>H1 - \$1,000,001 - \$5,000,000                                  | D - \$5,001 - \$15,000<br>H2 - More than \$5,000,000         | E - \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J - \$15,000 or less<br>N - \$250,001 - \$500,000<br>P3 - \$25,000,001 - \$50,000,000 | K - \$15,001 - \$50,000<br>O - \$500,001 - \$1,000,000 | L - \$50,001 - \$100,000<br>P1 - \$1,000,001 - \$5,000,000<br>P4 - More than \$5,000,000 | M - \$100,001 - \$250,000<br>P2 - \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q - Appraisal<br>U - Book Value                                                       | R - Cost (Real Estate Only)<br>V - Other               | S - Assessment<br>W - Estimated                                                          | T - Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Suburban Propane (SPH) was sold 9/18/2012 for less than \$15,000. The court erred in its 2012 report by not showing that transaction.

Lines 127,128 and 130 -On May 15, 2013 filer's spouse entered in to a like kind exchange with Martin Spellman. He gave up his interest Farms 6 and 8 for Mr. Spellman's interest in Farm 5

Lines 132,133, and 137 -On August 13, 2013 filer's spouse entered in to a like kind exchange with Martin Spellman, He gave up his interest in Farms 19 and 11 for a portion of Mr. Spellman's interest in Farm 10

Lines 133, 136 and 131 -On October 20, 2013 filer's spouse entered in to a like kind exchange with Martin Spellman. He gave up his interest in Farms 18 and 9 for the rest of Mr. Spellman's interest in Farm 10

Lines 126,129 ----the income is crops

# FINANCIAL DISCLOSURE REPORT

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Linda R. Reade**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544