

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craig, Carla E.	2. Court or Organization U.S. Bankruptcy Court, E.D.N.Y.	3. Date of Report 07/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 271 Cadman Plaza E. Suite 1595 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Kidspirit Magazine
2.	Executor	Estates of Raymond S. Craig and Margaret M. Craig
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Schulte Roth and Zabel LLP-partnership distribution
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Georgetown University Law Center/ABI	10/08/15-10/09/15	Washington, D.C.	Speaking at conference	Transportation, hotel, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mt. Kellett Capital Partners II LP	Commitment to make capital contributions to investment limited partnership	PI
2.	Barnard College	Tuition obligation	K
3.	JP Morgan US Income and Growth LP	Commitment to make capital contributions to investment limited partnership	K
4.	CFSR Private Investors LLC	Commitment to make capital contributions to investment limited liability company	N
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Money Market Accounts	B	Interest	N	T					
2. J.P. Morgan Money Market	A	Dividend	L	T					
3. JPM US Real Estate Income and Growth Direct LP (474995958)	C	Interest	O	T					
4.	C	Rent							
5.	D								
6. JPM Floating Rate Income Fund (JPHSX)	E	Dividend	O	T					
7.	A								
8. JP Morgan International Currency Income Fund (JCISX)		None			Sold	07/29/15	L		
9. JP Morgan Strategic Income Opportunitites Fund 3844 (JSOSX)	C	Dividend	M	T					
10. American Century Equity Income Fund 123 (TWEIX)	D	Dividend	M	T					
11.	E								
12. iShares MSCI All Country Asia Ex Japan Index Fund (AAXJ)	B	Dividend	L	T					
13. JP Morgan Intl. Value Fund (JIESX)	E	Dividend	P1	T					
14. CSFR Private Investors LLC (290809177)	E	Interest	O	T					
15.	F	Distribution							
16. JP Morgan Tax Aware R/R Fund (TXRSX)) (f/k/a JP Morgan TR I Fund 992)	C	Dividend	M	T					
17. Fidelity Cash Reserves	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Fidelity Spartan NY Muni Income	A	Dividend	L	T					
19. Artisan High Income Investor (ARTFX)	E	Dividend			Buy (add'l)	01/08/15	J		
20.	B				Buy (add'l)	01/26/15	L		
21.					Buy (add'l)	01/27/15	J		
22.					Sold (part)	06/26/15	L		
23.					Buy (add'l)	07/31/15	K		
24.					Buy (add'l)	08/03/15	L		
25.					Sold	12/16/15	N		
26. Fidelity Air Trans (FSAIX)		None			Buy	01/15/15	L		
27.					Sold	02/17/15	L	B	
28. Fidelity Banking (FSRBX)	A	Dividend			Buy (add'l)	01/02/15	J		
29.					Sold	01/15/15	L		
30. Fidelity Money Market Account (FCASH)		None	K	T					
31. Fidelity Conservative Income Bond (FCNX)	A	Dividend			Buy (add'l)	01/08/15	J		
32.					Sold (part)	01/22/15	M	A	
33.					Sold (part)	01/23/15	M	A	
34.					Sold	01/26/15	J	A	

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35. Fidelity Defense and Aerospace (FSDAX)	A	Dividend			Buy	02/17/15	L		
36.	C				Buy (add'l)	04/10/15	J		
37.					Buy (add'l)	04/20/15	J		
38.					Sold	08/24/15	L		
39. Fidelity Intermediate Bond ((FTHR))	C	Dividend	M	T	Buy (add'l)	01/02/15	K		
40.					Sold (part)	01/15/15	L	A	
41.					Buy (add'l)	01/30/15	J		
42.					Buy (add'l)	02/27/15	J		
43.					Buy (add'l)	03/31/15	J		
44.					Buy (add'l)	04/30/15	J		
45.					Buy (add'l)	05/29/15	J		
46.					Buy (add'l)	06/30/15	J		
47.					Buy (add'l)	07/31/15	J		
48.					Buy (add'l)	08/24/15	M		
49.					Buy (add'l)	08/31/15	J		
50.					Buy (add'l)	09/30/15	J		
51.					Buy (add'l)	10/30/15	J		

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52.					Sold (part)	11/27/15	M	A	
53.					Buy (add'l)	11/30/15	J		
54.					Buy (add'l)	12/28/15	J		
55.					Buy (add'l)	12/31/15	J		
56. Fidelity Int'l Growth (FIGFX)	B	Dividend	N	T	Buy (add'l)	01/08/15	J		
57.	A				Buy (add'l)	01/26/15	K		
58.					Buy (add'l)	06/26/15	K		
59.					Buy (add'l)	07/06/15	J		
60.					Buy (add'l)	07/09/15	K		
61.					Buy (add'l)	07/22/15	J		
62.					Buy (add'l)	11/05/15	K		
63. Fidelity Japan Small Companies (FJSCX)	A	Dividend	L	T	Buy	01/15/15	L		
64.	A				Buy (add'l)	12/04/15	J		
65.					Sold (part)	12/28/15	K	B	
66. Fidelity Leisure (FDLSX)	A	Dividend			Buy	03/20/15	K		
67.	B				Buy (add'l)	04/10/15	J		
68.					Sold	08/24/15	L		

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69. Fidelity Low Priced Stock (FLPSX)	B	Dividend	M	T	Sold (part)	01/05/15	J	C	
70.	D				Buy (add'l)	01/08/15	J		
71.					Buy (add'l)	01/26/15	K		
72.					Buy (add'l)	06/29/15	L		
73.					Sold (part)	12/16/15	L		
74. Fidelity Medical Equipment & Systems (FSMEX)	D				Sold (part)	01/02/15	K		
75.					Buy (add'l)	04/10/15	J		
76.					Sold	07/23/15	M	E	
77. Fidelity Mega Cap Stock (FGRTX)	D	Dividend	O	T	Sold (part)	01/05/15	J	D	
78.	E				Buy (add'l)	01/08/15	K		
79.					Buy (add'l)	01/26/15	M		
80.					Buy (add'l)	01/27/15	K		
81.					Buy (add'l)	06/26/15	L		
82.					Buy (add'l)	11/05/15	J		
83. Fidelity MSCI Consumer Staples Index (FSTA)	A	Dividend			Buy	01/16/15	K		
84.					Sold	03/20/15	K		
85. Fidelity MSCI Telecommunications Svcs Index (FCOM)	A	Dividend			Buy	02/18/15	K		

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86.					Buy (add'l)	03/26/15	J		
87.					Sold (part)	04/20/15	K	A	
88.					Sold	04/23/15	J	A	
89. Fidelity MSCI Utilities Index (FUTY)	B	Dividend	L	T	Buy	08/25/15	L		
90.					Buy (add'l)	09/24/15	J		
91.					Buy (add'l)	12/24/15	J		
92.					Buy (add'l)	12/29/15	J		
93. Fidelity Natural Gas (FSNGX)		None			Buy	04/20/15	K		
94.					Sold	06/22/15	K		
95. Fidelity NY Muni Money Market (FNYXX)	A	Dividend	M	T	Buy	12/31/15	M		
96. Fidelity OTC (FOCPX)		None	L	T	Buy	12/18/15	L		
97. Fidelity Real Estate Inv't (FRESX)	B	Dividend	L	T	Buy	01/15/15	K		
98.	C				Buy (add'l)	06/05/15	J		
99.					Buy (add'l)	09/11/15	J		
100.					Buy (add'l)	12/18/15	J		
101.					Buy (add'l)	12/28/15	J		
102. Fidelity Select Biotechnology (FBIOX)		None			Buy	07/23/15	M		

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103.					Sold	08/24/15	L		
104. Fidelity Select Construction and Housing (FSHOX)	A	Dividend	L	T	Buy	11/27/15	L		
105.	A				Buy (add'l)	12/18/15	J		
106.					Buy (add'l)	12/28/15	J		
107. Fidelity Value (FDVLX)		None	L	T	Buy	12/30/15	L		
108. Fidelity Select Multimedia (FBMPX)	A	Dividend			Sold (part)	01/02/15	K		
109.	D				Sold	01/15/15	J		
110. Fidelity Select Retailing (FSRPX)	A	Dividend	L	T	Buy	11/27/15	L		
111.					Buy (add'l)	12/18/15	J		
112.					Buy (add'l)	12/28/15	J		
113. Fidelity Select Utilities (FSUTX)		None			Sold (part)	01/02/15	J		
114.					Sold	02/17/15	L	A	
115. Fidelity Telecommunications (FSTCX)		None			Buy	06/22/15	L		
116.					Sold	08/24/15	L		
117. Fidelity Transportation (FSRFX)	A	Dividend			Buy (add'l)	01/02/15	K		
118.					Sold	01/15/15	L		
119. Hartford Healthcare Fund (HGHAX)	B	Dividend	L	T	Sold (part)	01/05/15	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.	D				Buy (add'l)	01/08/15	J		
121.					Buy (add'l)	01/27/15	K		
122.					Sold (part)	07/09/15	K	D	
123. Hartford Healthcare Fund I (HGHIX)	B	Dividend	L	T	Buy (add'l)	01/26/15	K		
124.	D								
125. PIMCO All Asset Fund Adm Class (PAALX)	B	Dividend			Buy (add'l)	01/08/15	J		
126.					Buy (add'l)	01/26/15	L		
127.					Sold (part)	06/26/15	K		
128.					Sold (part)	07/13/15	J		
129.					Sold (part)	07/22/15	J		
130.					Sold	07/31/15	M		
131. Primecap Odyssey Aggressive Growth (POAGX)	A	Dividend	M	T	Buy (add'l)	01/08/15	J		
132.	E				Buy (add'l)	01/26/15	J		
133.					Buy (add'l)	01/27/15	J		
134.					Buy (add'l)	06/26/15	L		
135.					Buy (add'l)	12/16/15	J		
136.					Sold (part)	12/18/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Primecap Odyssey Growth (POGRX)		None	M	T	Buy (add'l)	01/26/15	K		
138.					Sold (part)	06/26/15	M	D	
139.					Buy (add'l)	12/30/15	M		
140. Primecap Odyssey Stock (POSKX)	C	Dividend	M	T	Buy (add'l)	01/08/15	J		
141.	C				Buy (add'l)	06/26/15	M		
142.					Sold (part)	07/06/15	J	A	
143.					Sold (part)	12/30/15	M		
144. Vanguard Dividend Growth (VDIGX)	D	Dividend	O	T	Sold (part)	01/05/15	J	D	
145.	E				Buy (add'l)	01/08/15	K		
146.					Buy (add'l)	01/26/15	L		
147.					Buy (add'l)	01/27/15	K		
148.					Buy (add'l)	06/26/15	L		
149.					Buy (add'l)	11/05/15	J		
150. Vanguard Intermediate Term Tax Exempt (VWIUX)	D	Dividend	O	T	Buy (add'l)	01/22/15	M		
151.					Buy (add'l)	01/26/15	J		
152.					Sold (part)	06/26/15	M		
153.					Buy (add'l)	07/31/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	09/25/15	K		
155.					Buy (add'l)	12/24/15	N		
156. Vanguard Intermeidate Term Investment Grade Admiral (VFIDX)	A	Dividend	K	T	Buy	07/09/15	J		
157.	A				Buy (add'l)	07/13/15	J		
158.					Buy (add'l)	07/14/15	J		
159.					Buy (add'l)	07/23/15	J		
160.					Buy (add'l)	11/05/15	J		
161.					Buy (add'l)	12/24/15	J		
162. Vanguard Limited Term Tax Exempt (VMLUX)	D	Dividend	M	T	Buy (add'l)	01/26/15	N		
163.					Buy (add'l)	01/27/15	L		
164.					Sold (part)	06/26/15	L		
165.					Sold (part)	12/24/15	N		
166.					Sold (part)	12/30/15	N		
167. Vanguard Short Term Investment Grade (VFSUX)	A	Dividend	K	T	Buy (add'l)	01/08/15	J		
168.	A				Buy (add'l)	01/23/15	J		
169.					Buy (add'l)	01/26/15	J		
170.					Sold (part)	12/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Vanguard Short Term Tax Exempt Admiral (VWSUX)	A	Dividend	N	T	Buy	12/30/15	N		
172. iShares iBoxx\$ High Yield Corporate Bond (HYG)	B	Dividend	N	T	Buy	12/17/15	N		
173. WisdomTree Japan Hedged Equity (DJX)	D	Dividend	N	T					
174.	E								
175. E-Trade f/k/a WIT Capital (y)									
176. Schulte Roth & Zabel Capital Account(investment in law firm)	E	Interest	P1	U	Buy (add'l)	08/27/15	L		
177.									
178. NY College Savings Program		None	K	T					
179. -Moderate Age Based Income Option Portfolio									
180. Vanguard Educational IRA		None	K	T	Redeemed (part)	12/15/15	J	A	
181. -Vanguard Small Cap Index Fund Investor Shares									
182. Vanguard Educational IRA		None	K	T					
183. -Vanguard Small Cap Index Fund Investor Shares									
184. BlackRock CollegeAdvantage		None	K	T					
185. -BR Growth Portfolio Option A									
186. BlackRock CollegeAdvantage		None	K	T					
187. -BR Growth Portfolio Option A									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. B & McG Holdings		None	K	T					
189. -Shelter Venture Fund	B	Distribution							
190. Special Situations Technology Fund, L.P.	B	Dividend	P1	U					
191.	F								
192. SRZ Savings Plan		None	P1	T	Buy (add'l)	08/13/15	K		
193. -Wells Fargo Money Mkt Fund									
194. -Merck common stock									
195. -Pfizer common stock									
196. SRZ Cash Balance Plan		None	O	T	Buy (add'l)	08/12/15	L		
197. SRZ Thrift Plan		None	N	T	Buy (add'l)	08/13/15	K		
198.					Buy (add'l)	12/29/15	K		
199. SRZ Partners Pension Plan		None	O	T	Buy (add'l)	08/12/15	L		
200. Schulte Roth & Zabel Supplemental Retirement Fund		None	P1	T	Buy (add'l)	11/20/15	M		
201. -DWS Small Cap Index VIP-Class A Shares					Buy (add'l)	04/28/15	J		
202.					Buy (add'l)	11/20/15	J		
203. -American Funds Ins Ser International - Class 2 Shares					Buy (add'l)	04/28/15	J		
204.					Buy (add'l)	11/20/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Fidelity VIP Index 500-Initial Class					Buy (add'l)	04/28/15	J		
206.					Buy (add'l)	11/20/15	J		
207. -Fidelity VIP Money Market Portfolio- Initial Class									
208. -Fidelity VIP Real Estate-Initial Class					Buy (add'l)	04/28/15	J		
209.					Buy (add'l)	11/20/15	J		
210. -Invesco V.I. International Growth Fund- Series I Shares					Buy (add'l)	04/28/15	J		
211.					Buy (add'l)	11/20/15	J		
212. -MainStay VP Eagle Small Cap Growth Portfolio Initial Class					Buy (add'l)	04/28/15	J		
213.					Buy (add'l)	11/20/15	J		
214. -MFS Global Tactical Allocation-Initial Class					Buy (add'l)	04/28/15	J		
215.					Buy (add'l)	11/20/15	J		
216. -MFS VIT Value Series-Initial Class					Buy (add'l)	04/28/15	J		
217.					Buy (add'l)	11/20/15	K		
218. -T Rowe Price Blue Chip Growth Portfolio					Buy (add'l)	04/28/15	K		
219.					Buy (add'l)	11/20/15	K		
220. -Mainstay Cash Management									
221. -Tremont Opportunity Fund (x)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Special Situation Fund III QP, LLP	B	Dividend	O	U					
223.	D								
224. Merrill Lynch Money Market	A	Int./Div.	K	T					
225. SRZ Group Trust		None	N	T					
226. -Blackrock Asia Pacific Partners (Offshore) SPV Ltd.					Redeemed (part)	06/26/15	J		
227. -Candlewood Structured Credit fund, Ltd.									
228. -Cevian Capital Fund II Ltd.									
229. -Charter Bridge Capital Partners (Cayman) Ltd.					Redeemed	04/09/15	J		
230. -Chatham Asset High Yield Offshore Fund, Ltd.					Redeemed	04/30/15	J		
231. -Citadel Kensington Global Strategies									
232. -Cognis I Fund LP									
233. -Discovery Global Macro Fund, Ltd.									
234. -Echo Street Capital Partners Ltd (QP)									
235. -Empire Capital Partners, Ltd.					Redeemed	04/17/15	J		
236. -Golden Tree Offshore Fund Ltd					Redeemed (part)	06/16/15	J		
237. -Highfields Capital IV LP									
238. -Hudson Bay Int'l Fund Ltd f/k/a Hudson Bay Overseas Fund Ltd					Redeemed	04/09/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Hutchin Hill Capital Offshore Fund, Ltd.									
240. -Jennison Global Healthcare Offshore Fund, Ltd.					Redeemed (part)	09/01/15	J		
241. -Kylin Offshore Fund, Ltd.									
242. -Lakeview Opportunity Fund Ltd.					Redeemed (part)	03/31/15	J		
243.					Redeemed (part)	09/08/15	J		
244.					Redeemed (part)	10/16/15	J		
245. -Lone Pinion Ltd.									
246. -Lone Slavin, Ltd.									
247. -Lone Spruce LP									
248. -Malta Offshore, Ltd. (y)									
249. -Millenium International Ltd									
250. -OSS Overseas LTD (y)									
251. -Pennant Onshore Partners LP									
252. -Pennant Windward Ltd									
253. -Ramius Multi-Strategy Fund Ltd					Redeemed	09/09/15	J		
254. -Rosemawr International Ltd.					Redeemed (part)	04/15/15	J		
255.					Redeemed (part)	04/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -Seer Capital Partners Offshore Fund Ltd.									
257. -Spruce Hedge Fund Program SPC LTD- Portfolio B SP (x).									
258.									
259. -Spruce Alpha Fund (Offshore) Ltd.					Buy (add'l)	02/01/15	J		
260.					Redeemed (part)	10/16/15	J		
261. Spruce Hedge Fund Program SPC LTD- Portfolio A SP (x)									
262. -Steelhead Pathfinder Fund Ltd.									
263. -The Childrens' Investment Fund									
264. -Two Sigma Absolute Return Macro Cayman Fund, Ltd.									
265. -JP Morgan Trust Co. of Delaware deposit account									
266. AutoNation common stock		None	J	T					
267. Akami Technology common stock		None	J	T					
268. MooreAcre/Blackacre 7710 Business Park Drive REIT (y)									
269. MooreAcre/Blackacre 610 Corner Way Inc. REIT (y)									
270. BCM/CHI Eden Roc, Inc. REIT (y)									
271. BCM/CHI Kapalua, Inc. REIT (y)									
272. -CBF Appreciation Portfolio Alt-AX (y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. CollegeBound Fund (Alliance-RI)		None	K	T					
274. -CBF Appreciation Portfolio Alt-AX									
275. Northwestern Mutual Whole Life Ins. Policy		None	N	T					
276. Guardian Life Insurance Company-Life Insurance Policy		None	L	T					
277. Mt. Kellett Capital Partners II LP	G	Distribution	O	T					
278. Merrill Lynch Retirement Account-Blackrock Global (MDLOX)	C	Int./Div.	K	T	Buy (add'l)	04/17/15	J		
279. Northrop Grumman common stock	A	Dividend			Sold	03/04/15	J	A	
280. PNC common stock	A	Dividend			Sold	03/04/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Throughout Part VII, where column B1 is filled in, and column B2 is left blank, this indicates that the income in question is capital gain.

Part VII, line 192-SRZ Savings Plan-the investment in the SRZ Savings Plan is partly invested in the securities shown on the report and partially in the SRZ Group Trust.

Part VII, lines 196-199-the SRZ Cash Balance Plan, Thrift Plan and Partners Pension Plan are invested in the same manner as the SRZ Group Trust.

With respect to the SRZ Group Trust (Part VII, lines 225-265) and the SRZ Supplemental Retirement Fund (Part VII, Lines 200-221), these are retirement plans in which my husband has an interest, and which were established by the law firm of which he is a member. Each of these is an aggregate ownership arrangement, and therefore I have reported the gross value of his interest in each of these plans. Because he directs the investments for his share of the Supplemental Retirement Plan, and was in 2014 a member of the committee which oversees the investments of the SRZ Group Trust, I have also reported the assets within this aggregate ownership arrangement. From time to time, his interest in these aggregate ownership arrangements may be reallocated to different assets, either at his direction (in the case of the SRZ Supplemental Retirement Plan), or because the plan purchases or sells investments. I have reported these reallocations as purchases and sales, though they are not actually purchases or sales by him. There is no gain or loss to my husband resulting from these reallocations, and therefore I have left this column blank.

Part VII, line 257--Spruce Hedge Fund Program SPC LTD-Portfolio B SP was identified as Spruce Absolute Return Fund (Offshore) Ltd. on line 302 of my 2014 report (name change).

Part VII, line 261-Spruce Hedge Fund Program SPC LTC-Portfolio A SP was identified as Spruce Equity Hedge Fund on line 305 of my 2014 report (name change).

Part VII, line 248-Malta Offshore was fully redeemed on October 27, 2014 (see line 289 of my 2014 report).

Part VII, lines 279-280-these investments are assets of the Estates of Raymond S. Craig and Margaret M. Craig (see Part I-Positions).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carla E. Craig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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