

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J.	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	New Hampshire Bar Foundation
2.	Director	Challenger Center for Space Science Education
3.	Trustee	Trust #1
4.	Partner	Piglet (Neighborhood Investment Club)
5.	Member	Shumac, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts Merrimack Cty. Savings Bank	A	Interest	J	T					
2. IRA American Funds: Inv Co of America	B	Dividend	L	T					
3. IRA American Funds: Wash Mut. Inv Fund	A	Dividend	J	T					
4. Exxon Mobil Corp.	A	Dividend	J	T					
5. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
6. IRA Franklin Templeton For. Fund	B	Dividend	L	T					
7. IRA Du Pont De Nemours	A	Dividend	J	T	Buy	01/18/12	J		
8. Pinchers' Investment Group Ptnshp Share (See Part VIII)	A	Dividend	J	T					
9. -Diageo (DEO)									
10. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	J	T					
11. -UBS RMA Cash Account	A	Interest	J	T					
12. -Guided Therapeutics		None	J	T	Buy (add'l)	01/12/12	J		
13. -Earthlink Inc	A	Dividend	J	T	Buy	02/13/12	J		
14. -Zynga Inc		None			Buy	06/06/12	J		
15. -Zynga Inc		None			Sold	09/05/12	J	A	
16. -3-D Systems	A	Dividend	J	T	Buy	07/13/12	J		
17. -Bolt Tech Corp	A	Dividend	J	T	Buy	09/05/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. -Facebook Inc	A	Dividend	J	T	Buy	10/15/12	J		
19. CVS Caremark Corp.	A	Dividend	J	T					
20. IRA iShares Russell Fund	A	Dividend	K	T					
21. IRA Midcap SPDR TR	A	Dividend	K	T					
22. Fed. Prime MM Fund (UBS Bank USA Dep Acct)	A	Dividend	M	T					
23. Merrimack Cty. Sav. Bk. NOW Acct (2)	A	Interest	J	T					
24. Enterprise Prods. Ptnrs.	A	Dividend	K	T	Sold (part)	05/16/12	K	D	
25. Kinder Morgan Energy LP	A	Dividend	J	T					
26. Myriad Pharmaceuticals Inc. (Myrexix, Inc)		None			Sold	01/18/12	J	A	
27. iShares TR Russell 2000 Index Fund	B	Dividend	K	T					
28. Norfolk South. Corp.	A	Dividend	J	T					
29. IRA iShares Russell Mid-cap	A	Dividend	K	T					
30. IRA Satyam Comp. Svcs.		None			Sold	01/18/12	J	A	
31. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T					
32. McDonald's Corp. (UBS)	A	Dividend			Sold	08/14/12	K	D	
33. McDonald's Corp. (MCSB)	A	Dividend			Sold	12/26/12	J	A	
34. Apple Inc.	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Johnson & Johnson Inc.	B	Dividend	K	T					
36. Deere & Co.	A	Dividend			Sold	12/26/12	J	A	
37. Express Scripts Inc.	A	Dividend			Sold	01/12/12	J	A	
38. Colgate-Palmolive Co.	A	Dividend	J	T					
39. IBM Corp.	A	Dividend	K	T					
40. Northern Trust Prime Obligation Fund 9/1	A	Dividend	J	T					
41. Simon PPTY Group Inc.	B	Dividend	K	T	Sold (part)	05/16/12	K	D	
42. Becton Dickinson	B	Dividend			Sold	03/15/12	L		
43. 3M Co.	B	Dividend			Sold	03/15/12	K	E	
44. STHN Cmnty B&T NC US	B	Interest			Sold	01/23/12	L		
45. Fla. St. Brd Ed. Pub. Ed. Cap.	B	Interest	K	T					
46. iShares IBoxx Invt Gra. DE Corp. Bond Fund	B	Dividend	K	T					
47. Tenn. St. Ser. A	A	Interest	K	T					
48. Fairfax Cty. VA Pub. Ser. A	B	Interest	K	T					
49. Autoliv Inc.	A	Dividend	K	T					
50. Smucker JM Co. New	A	Dividend	K	T	Sold (part)	08/14/12	K	C	
51. First Colebrook Bank	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. AFLAC Inc.	A	Dividend	J	T	Buy (add'l)	12/26/12	J		
53. Danaher Corp.	A	Dividend	J	T					
54. Google Inc. (MCSB)	A	Dividend	J	T					
55. J.P. Morgan Chase Co.	A	Dividend	J	T					
56. Oracle Corp.	A	Dividend	J	T					
57. Starbucks Corp.	A	Dividend	J	T					
58. Sysco Corp.	A	Dividend	J	T					
59. BHP Billiton Ltd.	A	Dividend	J	T					
60. iShare MSCI EAFE Index Fund	A	Dividend	K	T					
61. iShare Trust MSCI Emerging Mkts	A	Dividend	J	T					
62. NH St. Gen'l Ob Ser A	A	Interest	K	T					
63. NH Muni Bond Ser B	A	Interest	K	T					
64. Templeton Global Income Fund (DELA)	B	Dividend			Sold	12/19/12	K	A	
65. United Technologies Corp	A	Dividend			Sold	01/12/12	K	A	
66. TJX Companies	A	Dividend	J	T					
67. Baker Hughes Inc	A	Dividend	J	T					
68. VISA Inc	A	Dividend	J	T					

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69. Qualcomm Inc	A	Dividend	J	T	Sold (part)	09/11/12	K	B	
70. Amazon Inc	A	Dividend	J	T					
71. Chevron Corp (MCSB)	A	Dividend	J	T					
72. Citrix Systems	A	Dividend	J	T					
73. Coca Cola Company	A	Dividend	J	T					
74. Emerson Electric Co	A	Dividend	J	T					
75. Intuitive Surgical	A	Dividend	J	T					
76. Prudential Financial Inc	A	Dividend	J	T					
77. S&P 400 Midcap Index	A	Dividend	J	T					
78. Google Inc(UBS)	A	Dividend	K	T	Buy	05/16/12	K		
79. Home Depot Inc	A	Dividend	K	T	Buy	05/16/12	K		
80. General Electric Co	A	Dividend	K	T	Buy	08/14/12	K		
81. Henderson Global Equity		None	K	T	Buy	12/19/12	K		
82. Du Pont De Nemours	A	Dividend			Buy	01/18/12	K		
83. Du Pont De Nemours	A	Dividend			Sold	12/19/12	K	A	
84. Brown-Forman Corp	A	Dividend	K	T	Buy	01/18/12	K		
85. Chevron Corp(UBS)	A	Dividend	K	T	Buy	03/19/12	K		

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86. iShares Trust Russell 1000	A	Dividend	K	T	Buy	08/14/12	K		
87. Abbott Labs	A	Dividend	J	T	Buy	01/12/12	J		
88. American Express Co		None	J	T	Buy	12/26/12	J		
89. BB&T Corp		None	J	T	Buy	12/26/12	J		
90. Celgene Corp	A	Dividend	J	T	Buy	01/12/12	J		
91. Coach Inc	A	Dividend	J	T	Buy	08/06/12	J		
92. Comcast Corp New	A	Dividend	J	T	Buy	04/02/12	J		
93. eBay Inc		None	J	T	Buy	12/26/12	J		
94. Fluor Corp	A	Dividend	J	T	Buy	04/11/12	J		
95. US Bancorp	A	Dividend	J	T	Buy	05/15/12	J		
96. Shumac LLC 50% Int (Holds Property in Merrimack Cty,NH)		None	N	W					
97. R.P. Jobin, Personal Loan		None	K	T					
98. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend	K	T					
99. Johnson & Johnson [C]	A	Dividend	K	T					
100. Deere & Co. [C]	A	Dividend	J	T					
101. AFLAC Inc. [C]	A	Dividend	J	T	Buy (add'l)	12/26/12	J		
102. Apple Inc. [C]	A	Dividend	K	T					

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103. McDonald's Corp. [C]	A	Dividend	J	T					
104. Abbott Labs [C]	A	Dividend	K	T					
105. Chevron Corp. [C]	A	Dividend	K	T					
106. Colgate-Palmolive Co. [C]	A	Dividend	J	T					
107. Danaher Corp. [C]	A	Dividend	J	T					
108. Dupont E.I. Dem. Co. [C]	A	Dividend	J	T					
109. Express Scripts Inc. [C]		None			Sold	01/12/12	J	A	
110. J.P. Morgan Chase Co. [C]	A	Dividend	J	T					
111. Nike Inc. [C]	A	Dividend	J	T					
112. Oracle Corp. [C]	A	Dividend	K	T					
113. Procter & Gamble Co. [C]	A	Dividend	K	T					
114. Spectra Energy Corp. [C]	A	Dividend	J	T					
115. Starbucks Corp. [C]	A	Dividend	J	T					
116. Sysco Corp. [C]	A	Dividend	J	T					
117. UnitedHealth Group Inc. [C]	A	Dividend	K	T					
118. Wells Fargo & Co. [C]	A	Dividend	K	T					
119. Buffalo Small Cap Fund [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Transocean Ltd. [C]	A	Dividend			Sold	12/19/12	K	B	
121. iShares Trust MSCI EAFE Index Fund [C]	A	Dividend	J	T					
122. iShares Trust MSCI Emerging Mkts [C]	A	Interest	K	T					
123. UBS Pace MM Inv Fund Class P [K]	A	Dividend	J	T					
124. Am Funds Growth Fund of Am Class F [K]	A	Dividend			Sold	12/24/12	J	A	
125. John Hancock Classic Val Fund Class A [K]	A	Dividend			Sold	12/24/12	J	A	
126. Oppenheimer Main St. Small Cap Fund A [K]	A	Dividend			Sold	12/24/12	J	A	
127. RS Value Fund Class A [K]	A	Dividend			Sold	12/24/12	J	A	
128. Thornburg Intl Value Fund Class A [K]	A	Dividend			Sold	12/24/12	J	A	
129. DWS Core Fixed Income Fund Class A [K]	A	Dividend			Sold	12/24/12	J	A	
130. F. Templeton Global Bond A [K]	A	Dividend	J	T					
131. Manager's Bond Fund [K]	A	Dividend			Sold	12/24/12	J	A	
132. Arbitrage Fund[K]		None	J	T	Buy	12/21/12	J		
133. BlackRock Eq Div Fund[K]		None	J	T	Buy	12/21/12	J		
134. Calamos Market Neutral Income Fund[K]		None	J	T	Buy	12/21/12	J		
135. Calamos Intl Growth Fund[K]		None	J	T	Buy	12/21/12	J		
136. Dreyfus Small Co Value Fund[K]		None	J	T	Buy	12/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Harbor Intl Fund Investor Class[K]		None	J	T	Buy	12/21/12	J		
138. Natixis Loomis Sayles Core Plus Bond Fund[K]		None	J	T	Buy	12/21/12	J		
139. Oppenheimer Dev Mkt Fund Class A[K]		None	J	T	Buy	12/21/12	J		
140. Pimco Total Return Fund[K]		None	J	T	Buy	12/21/12	J		
141. Pace Money Mk Inv Fund[K]		None	J	T	Buy	12/21/12	J		
142. Principal High Yield Fund[K]		None	J	T	Buy	12/21/12	J		
143. Royce Premier Fund[K]		None	J	T	Buy	12/21/12	J		
144. Wilmington Intermediate Term Bond Fund[K]		None	J	T	Buy	12/21/12	J		
145. Invesco Global Real Est Fd[K]		None	J	T	Buy	12/21/12	J		
146. Laudus Growth Inv US Large Cap Fund[K]		None	J	T	Buy	12/21/12	J		
147. UBS Pimco College Fund 529(1)[K]	A	Interest	J	T					
148. UBS Pimco College Fund 529(2)[K]	A	Interest	K	T					
149. Abbott Labs [C]	A	Dividend			Sold	01/12/12	K	A	
150. Prudential Fin. Inc. [C]	A	Dividend	J	T					
151. United Technologies Corp. [C]	A	Dividend			Sold	04/11/12	J	A	
152. S&P 400 Midcap Index [C]	A	Dividend	J	T					
153. Citrix Systems [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Coach Inc. [C]	A	Dividend	K	T					
155. Cognizant Technology Solutions [C]	A	Dividend	J	T					
156. Cummins Inc. [C]	A	Dividend	J	T					
157. BlackRock Equity Div Fund A [C]	A	Dividend	K	T					
158. Harbor Intl Fund Inv Class [C]	A	Dividend	J	T					
159. Henderson Global Inv. Intl Opp Fund A [C]	A	Dividend	J	T					
160. ING Global Real Est Fund Class A [C]	A	Dividend	J	T					
161. John Hancock Large Cap Equity Fund Class A [C]	A	Dividend	K	T					
162. Neuberger Berman Genesis Fund Inv Class [C]	A	Dividend	J	T					
163. Oppenheimer Dev Mkts Fund Class A [C]	A	Dividend	J	T					
164. Royce Premier Fund [C]	A	Dividend	J	T					
165. F. Templeton Global Bond A [C]	A	Interest	K	T					
166. Pimco Total Ret. Fund Class A [C]	B	Dividend	K	T					
167. Wilmington Short/ Intermediate-Term Bond Fund [C]	B	Dividend	K	T					
168. Aberdeen Equity Long- Short Fund Class A [C]	A	Dividend	J	T					
169. JP Morgan Multi-cap Mkt Neutral Fund [C]	A	Dividend	J	T					
170. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Baker Hughes Inc [C]	A	Dividend	J	T					
172. VISA Inc [C]	A	Dividend	K	T					
173. Qualcomm Inc [C]	A	Dividend	J	T					
174. Amazon Inc [C]	A	Dividend	K	T					
175. Apache Corp [C]	A	Dividend	J	T					
176. IBM [C]	A	Dividend			Sold	12/26/12	J	A	
177. Whole Foods Market Inc [C]	A	Dividend	J	T					
178. iShares FTSE/Xinhua China 25 Index Fund [C]	A	Dividend	J	T					
179. BB&T Corp[C]		None	J	T	Buy	12/26/12	J		
180. Celgene Corp[C]	A	Dividend	J	T	Buy	01/12/12	J		
181. Comcast Corp New[C]	A	Dividend	J	T	Buy	04/02/12	J		
182. eBay Inc[C]		None	J	T	Buy	12/26/12	J		
183. Fluor Corp[C]	A	Dividend	K	T	Buy	04/11/12	K		
184. US Bancorp[C]	A	Dividend	J	T	Buy	05/15/12	J		

1. Income Gain Codes:	A -\$1,000 or less	B -\$1,001 - \$2,500	C -\$2,501 - \$5,000	D -\$5,001 - \$15,000	E -\$15,001 - \$50,000
(See Columns B1 and D4)	F -\$50,001 - \$100,000	G -\$100,001 - \$1,000,000	H1 -\$1,000,001 - \$5,000,000	H2 -More than \$5,000,000	
2. Value Codes	J -\$15,000 or less	K -\$15,001 - \$50,000	L -\$50,001 - \$100,000	M -\$100,001 - \$250,000	
(See Columns C1 and D3)	N -\$250,001 - \$500,000	O -\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
	P3 -\$25,000,001 - \$50,000,000		P4 -More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 8. Pinchers' Investment Group Ptnshp Share - Aggregated (specific holdings noted by " - " below) (investment club - aggregated).

Line 10. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by "-").

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ STEVEN J. MCAULIFFE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544