

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 9/26/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	TD Ameritrade	Margin account	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LLC # 1, Rental real estate, Florence, SC (11/2001, \$69,000)		None			Sold (part)	03/18/11	J	A	Doulaveris Holdings, LLC
2.		None			Sold	01/03/11	L	A	George M Creel
3. a Florence C, a Marion C, SC (1/2011, \$60,000)		None	L	R	Buy	01/03/11	L		George M Creel
4. acres, Florence County, SC farm (5/11/2007, \$192,500)		None			Sold	9/20/11	M	E	DJ & SJ Searcy
5. Brokerage account # 1 (Marked as # 1)									
6. First Clearing, LLC - Cash balance	A	Int./Div.	J	T					
7. # 1 - Citigroup	A	Dividend	J	T					
8. # 1 - CREE, Inc. C		None			Sold	03/23/11	J	A	
9. # 1 - Dragonwave, Inc.	A	Int./Div.	J	T	Buy	04/18/11	J		
10. # 1 - Frontier Communications Corp	A	Dividend	J	T					
11. # 1 - Verizon Communications Com	A	Dividend	J	T					
12. # 1 - SC Jobs Econ DV Ath Stdnt 4.25% 8/01/24 "Y"	A	Int./Div.	J	T					
13. Brokerage account # 2 (Marked as # 2)									
14. # 2 - Cash balance -First Clearing, LLC	A	Int./Div.	J	T					
15. # 2 - Exxon Mobil Corp	A	Dividend	K	T					
16. # 2 - PG&E Corp	A	Dividend	J	T					
17. Brokerage account # 3 (Marked as # 3)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					
19. # 3 - AVI BioPharma, Inc.		None	J	T					
20.					Sold (part)	12/15/11	J	A	
21.					Sold (part)	12/15/11	J	A	
22.		None	J	T	Buy (add'l)	3/15/11	J		
23.		None	J	T	Buy (add'l)	08/08/11	J		
24.		None	J	T	Buy (add'l)	10/07/11	J		
25. # 3 - Citigroup, Inc.		None			Sold	01/18/11	J	B	
26. # 3 - Dragon Wave, Inc.		None	J	T					
27.					Sold (part)	06/03/11	J	A	
28. # 3 - Finisar Corporation Com		None			Buy	03/09/11	J		
29.					Sold	06/17/11	J	A	
30.		None			Buy (add'l)	03/17/11	J		
31.					Sold	09/01/11	J	A	
32.		None			Buy (add'l)	06/07/11	J		
33.					Sold	09/01/11	J	A	
34.		None			Buy (add'l)	06/16/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold	06/22/11	J	A	
36.		None			Buy (add'l)	08/12/11	J		
37.					Sold	09/01/11	J	A	
38.		None			Buy (add'l)	08/16/11	J		
39.					Sold (part)	08/22/11	J	A	
40.					Sold	08/22/11	J	A	
41.		None			Buy (add'l)	09/29/11	J		
42.					Sold	11/16/11	J	A	
43. # 3 - First Finl Hldgs Inc Com		None			Sold	12/05/11	J	C	
44.	A	Dividend			Buy (add'l)	01/28/11	J		
45.					Sold	02/25/11	J	A	
46.	A	Dividend			Buy (add'l)	09/22/11	J		
47.					Sold	12/05/11	J	A	
48.	A	Dividend			Buy (add'l)	10/04/11	J		
49.					Sold	12/05/11	J	A	
50. # 3 - Marvell Technology Group Ltd Com		None			Buy	03/18/11	J		
51.					Sold (part)	03/15/11	J	A	

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52.					Sold (part)	05/09/11	J	A	
53.					Sold	05/09/11	J	A	
54.		None			Buy (add'l)	08/03/11	J		
55.					Sold	11/15/11	J	A	
56.		None			Buy (add'l)	08/10/11	J		
57.					Sold	12/12/11	J	A	
58.		None	J	T	Buy (add'l)	11/28/11	J		
59.		None	J	T	Buy (add'l)	12/15/11	J		
60. # 3 - MIPS Technologies Inc. - Class A Com		None	J	T	Buy	11/23/11	J		
61. # 3 - PMC-Sierra Inc Com		None	J	T	Buy	01/18/11	J		
62.		None	J	T	Buy (add'l)	01/20/11	J		
63.		None	J	T	Buy (add'l)	01/20/11	J		
64. # 3 - Quicklogic Corporation Com		None			Buy	02/18/11	J		
65.					Sold	03/15/11	J	A	
66. First Federal checking		None	J	T					
67. NBSC (also called National Bank of South Carolina)	A	Interest	K	T					
68. Wells Fargo , NA	A	Interest	J	T					

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69. Equitable Variable: EQ Agressive (whole life policy)		None	J	T					
70. Jefferson-Pilot Adjustable Life policy		None	J	T					
71. UGMA # A (Marked as # A)									
72. # A - Capital World Growth & Income Fund Inc Cl C	A	Dividend	J	T					
73. # A - First Clearing, LLC - Cash balance	A	Interest	J	T					
74. # A American Funds (Education Savings Account)(no control)	A	Int./Div.	J	T					
75. UGMA # A, Trust 1 (Marked as #AT1)									
76. # AT1 - First Clearing, LLC - Cash balance	A	Dividend	J	T	Open	06/14/11	K		
77. # AT1 - Russell Invt Co Global Infrastructure Fd Class S	A	Dividend	J	T	Buy	06/14/11	J		
78. # AT1 - Russell Invt Co Commodity Strategies Fd Cl S	A	Dividend	J	T	Buy	06/14/11	J		
79. # AT1 - Russell Invt Co Global Opportunistic Cr Fd Cl S	A	Dividend	J	T	Buy	06/14/11	J		
80. # AT1 - Russell Emerging Mkts Cl S	A	Dividend	J	T	Buy	06/14/11	J		
81. # AT1 - Russell Invt Co Global Real Estate Secs Fd Cl S	A	Dividend	J	T	Buy	06/14/11	J		
82. # AT1 - Russell Glbl Eqty Class S	A	Dividend	J	T	Buy	06/14/11	J		
83. # AT1 - Russell US Core Equity Class S	A	Dividend	J	T	Buy	06/14/11	J		
84. # AT1 - Russell US Sml Mid Cap Class S	A	Dividend	J	T	Buy	06/14/11	J		
85. # AT1 - Russell Intl Developd Mkts Fd Class S	A	Dividend	J	T	Buy	06/14/11	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. # AT1 - Russell US Quant Eqty Class S	A	Dividend	J	T	Buy	06/14/11	J		
87. UGMA # B (Marked as # B)									
88. # B - Capital World Growth & Income Fund Inc. C1 C	A	Dividend	J	T					
89. # B First Clearing, LLC - Cash balance	A	Interest	J	T					
90. # B Future Scholar 529 College Savings Plan (no control)	A	Int./Div.	J	T					
91. # B - BP PLC stock	A	Dividend	J	T					
92.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for LLC # 1, Rental real estate, Florence, SC (11/2001, \$69,000) received no rental income for the year. The property was sold in two separate parcels (reported on line 1 and line 2) to two different persons. Therefore, column B (2) is "None."

Part VII, lines 6, 14, 18, 73, 76, and 89 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 5, 13, 17, 71, 75 and 87 are header lines for the investments listed below the specific line.

Part VII, line 68 Wachovia Bank, NA is now (Name change) Wells Fargo, NA.

Part VII, line 3, [REDACTED] acres Florence County, SC, [REDACTED] acres Marion County, SC received no income for the year therefore, column B(2) is "None."

Part VII, line 26 A sale of some of the shares owned are reported on line 27. The shares owned at year end are included on line 26.

Part VII, line 50 This asset was sold at three different times as evidenced by lines 51, 52 and 53.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas E. Rogers, III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544