

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gershon , Nina	2. Court or Organization EDNY	3. Date of Report 12/02/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/1/2013 to 12/31/2013
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

JAMS- miscellaneous

2. 2013

pension

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. US Govt HH Bonds	A	Interest	K	T					
3. Fidelity Magellan IRA	A	Dividend	J	T					
4. Citibank Checking	A	Interest	J	T					
5. Chase CD (IRA)	A	Interest	J	T					
6. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
7. Chase Money Market	A	Interest			Closed	03/31/13	J		
8. Kaufmann Fund	E	Dividend	M	T					
9. NYS Deferred Comp	D	Int./Div.	N	T					
10. NY Money Trust (MS)	A	Dividend	J	T					
11. Vanguard 500 (IRA)	A	Dividend	N	T					
12. Fid Int. Govt Fund (IRA)	A	Interest	K	T					
13. Dreyfus US Int.Fund	A	Interest	J	T					
14. Vanguard GNMA Fund	B	Interest	K	T					
15. Dreyfus Mun. Bond Fund	B	Interest	K	T					
16. Vanguard GNMA (IRA)	B	Dividend	K	T					
17. NYS Hsg Finance Agency Bond	A	Interest	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NYS EnvFacs Corp Bond	B	Interest			Redeemed	08/09/13	K		
19. Cohoes NY GOB	A	Interest	K	T					
20. Citibank Market Rate Acct	A	Interest	J	T					
21. NYS TWY Auth	B	Interest	K	T					
22. Triboro B&T Auth	B	Interest	K	T					
23. SuffolkCty Public Impr. Bond	B	Interest	L	T					
24. Illinois GOB	C	Interest	L	T					
25. NYC GO-Ser-G Bond	A	Interest	J	T					
26. LA CA USD-A Bond	B	Interest	K	T					
27. Metropolitan W BE Bond	B	Interest	K	T					
28. Vanguard Total Bond Mkt Index Fund	B	Dividend	N	T	Distributed (part)	12/15/13	K		
29. NYC GO Ser L Bond	C	Interest	L	T					
30. NYS Dorm Auth Bond	B	Interest	K	T					
31. NYS TWY Auth Bond	B	Interest	L	T					
32. NYC Mun Water Bond	A	Interest	K	T					
33. MTA NY Trans Bond	A	Interest	K	T					
34. NYC Dorm Auth	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Vanguard 500	A	Dividend	M	T					
36. Nuveen Pre Inc Muni Fd	A	Interest	K	T					
37. Tribrg Tuntl A	A	Interest	K	T					
38. PtAuth NY&NJ	B	Interest	K	T	Buy	05/01/13	K		
39. NYS GOB-E	A	Interest	K	T	Buy	02/05/13	K		
40. NYC TFA Fiscal	B	Interest	K	T	Buy	08/06/13	K		
41. Vanguard SEP (IRA)(Total Intern'l Stock Index Fund)	A	Interest	K	T	Buy	03/26/13	K		
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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52.									
53.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For Part VII:

1. Upon the total redemption of the NYS EnvFacs Corp Bond listed on Line 18 of Part VII of this Report, I realized that the similarly titled bonds listed on my 2012 Report at lines 21 and 22 of that Report are duplicative of the now-redeemed bond. Neither I nor [REDACTED] presently holds any NYS EnvFacs Corp bonds. Therefore, they have been eliminated.
2. The NYC GOB-X bond listed on my 2012 Report at line 37 has been eliminated, as it is a duplication of the bond listed in 2012 at line 32 and listed on this Report at line 29 as NYC GO Ser L Bond.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544