

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, Thomas D.	2. Court or Organization Middle District of N C	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 251 North Main Street, Suite 223A Hiram Ward Federal Courthouse Winston-Salem, North Carolina 27101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Notre Dame Law Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Piedmont Land Conservancy, salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		tuition and housing balance for 2011-12 school year	J
2.		tuition and housing balance for 2011-12 school year	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wachovia Accounts	A	Interest	L	T					
2. BB&T Account	A	Interest	J	T					
3. US Treasury Series EE Bonds		None	J	T					
4. First Tennessee Accounts	B	Interest	N	T					
5. Brokerage #1									
6. -iShares Russell 1000 Index	B	Dividend	L	T					
7. -PowerShares FTSE RAFI US 1000	B	Dividend	L	T					
8. -iShares MSCI EAFE Index	B	Dividend	L	T					
9. -iShares MSCI Emerging Markets Index	A	Dividend	K	T					
10. -PIMCO Low Duration Fund Instl	C	Dividend	M	T					
11. -Vanguard Ltd-Term Tax Exempt Adm	C	Dividend	M	T					
12. -Vanguard Short-Term Investment-Grade Adm	C	Dividend	M	T					
13. -Fidelity Cash	A	Dividend	J	T	Buy	08/01/11	J		
14. -Fidelity Tax Free Money Market	A	Dividend			Sold	07/18/11	J		
15. -Fidelity Instl: Tax Exempt Portfolio C1 I	A	Dividend	K	T					
16. Brokerage #2									
17. -iShares Russell 1000 Index	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -PowerShares FTSE RAFI US 1000	A	Dividend	K	T					
19. -iShares MSCI EAFE Index	B	Dividend	K	T					
20. -iShares MSCI Emerging Markets Index	A	Dividend	K	T					
21. -PIMCO All Asset Fund Instl	B	Dividend	K	T					
22. -PIMCO 1-5 Year U.S. TIPS Bond Index	A	Dividend	K	T					
23. -PIMCO Global Advantage Strategy Bond Instl	B	Dividend	K	T					
24. -Vanguard Short-Term Investment-Grade	A	Dividend	K	T					
25. -Eaton Vance Floating Rate Adv	B	Dividend	L	T					
26. -Fidelity Cash	A	Dividend	J	T	Buy	08/01/11	J		
27. -Fidelity Tax Free Money Market	A	Dividend			Sold	08/01/11	J		
28. IRA #1									
29. -iShares Russell 1000 Index	A	Dividend	K	T					
30. -PowerShares FTSE RAFI US 1000	A	Dividend	J	T					
31. -iShares MSCI EAFE Index	A	Dividend	J	T					
32. -iShares MSCI Emerging Markets Index	A	Dividend	J	T					
33. -PIMCO All Asset Fund Instl	C	Dividend	K	T					
34. -Eaton Vance Floating Rate Adv.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. IRA #2									
36. -iShares Russell 1000 Index	A	Dividend	K	T					
37. -PowerShares FTSE RAFI US 1000	B	Dividend	M	T					
38. -iShares MSCI EAFE Index	C	Dividend	L	T					
39. -iShares MSCI Emerging Markets Index	A	Dividend	K	T					
40. -PIMCO All Asset Fund Instl	D	Dividend	L	T					
41. -Eaton Vance Global Absolute Return CI	C	Dividend	L	T					
42. -PIMCO 1-5 Year U.S. TIPS Bond Index	D	Dividend	M	T					
43. -PIMCO Global Advantage Strategy Bond Instl	A	Dividend	M	T	Buy (add'l)	09/27/11	L		
44. -PIMCO Total Return Fund Instl	B	Dividend			Sold	09/26/11	L	B	
45. -Eaton Vance Floating Rate Adv	C	Dividend	M	T	Buy (add'l)	02/16/11	J		
46. -Fidelity Treasury Daily Money Market	A	Dividend	J	T	Buy	01/28/11	J		
47. Keogh Account (at M&I Instl Trust Svcs) - Marshall MMF	A	Distribution			Distributed	01/28/11	J		Purchased line 46
48. Mass Mutual Whole Life Insurance	B	Dividend	L	T					
49. Mass Mutual Whole Life Insurance	A	Dividend	K	T					
50. Loyal American Insurance	A	Dividend	J	U					
51. Winston-Salem Foundation Donor Advised Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard 529 -Vanguard Interest Accumulation Portfolio	B	Interest	K	T					
53. Vanguard 529 -Vanguard Interest Accumulation Portfolio	A	Interest	J	T					
54. College Foundation 529 Dependable Income Fund		None	J	T	Buy	12/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Treasury Series EE bonds value based on value reported on the government website (www.treasurydirect.gov) as of December 2011. The 529 was opened 12/31/2011, thus no income is reportable.

The Winston-Salem Foundation Donor Advised Fund reflects money we donated irrevocably to, and is owned by, the Foundation, but I can direct donations from that Fund to charities of our choice. We can receive no income from its investment; rather, the Fund receives the income.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas D. Schroeder**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544