

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Mary Ellen C.	2. Court or Organization US Court of Federal Claims	3. Date of Report 01/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 717 Madison Place, N.W. Suite 612 Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Board of Governors	American Bar Association (ABA) (Until August 2013)
2. Member - Content Advisory Board	ABA Section of Intellectual Property Law
3. Council Member, Section Delegate	ABA Section of Public Contract Law
4. Member - Board of Visitors	Duke University School of Law
5. Adjunct Professor	Johns Hopkins University

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Johns Hopkins University	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABA	2/6/2013 - 2/11/2013	Dallas, TX	ABA Mid-year Meeting	Room / Transportation / Food
2. ABA	2/28/2013 - 3/1/2013	Chicago, IL	ABA BOG Meeting	Room / Transportation / Food
3. New York Intellectual Property Law Assn.	3/22/2013 - 3/23/2013	New York, NY	Annual Dinner in Honor of the Judiciary	Room / Transportation / Food
4. ABA	4/19/2013 - 4/21/2013	Miami, FL	ABA BOG Meeting	Room / Transportation / Food
5. Administrative Office of the Federal Judiciary	4/22/2013 - 4/24/2013	Charleston, SC	Patent Litigation Seminar	Room / Transportation / Food

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6.	ABA	5/18/2013 - 5/20/2013	San Francisco, CA	ABA Section of Public Contract Law Representative	Room / Transportation / Food
7.	CEELI Institute	5/31/2013 - 6/7/2013	Tunis, Tunisia	CEELI Institute Program, Teaching Judges	Room / Transportation / Food
8.	ABA	8/7/2013 - 8/14/2013	San Francisco, CA	ABA Annual Meeting	Room / Transportation / Food
9.	Administrative Office of the Federal Judiciary	9/9/2013 - 9/13/2013	Portland, OR	Federal Judicial Center Environmental & Natural Law Seminar	Room / Transportation / Food
10.	ABA	9/25/2013 - 9/27/2013	Chicago, IL	ABA SOC meeting	Room / Transportation / Food
11.	ABA	11/7/2013 - 11/8/2013	Chicago, IL	ABA IPL meeting	Room / Transportation / Food
12.	ABA	11/14/2013 - 11/14/2013	New York, NY	ABA Board Operations & Procedures Meeting	Room / Transportation / Food
13.	Duke University Law School	11/15/2013 - 11/15/2013	Durham, NC	Advisory Board meeting	Room / Transportation / Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Time Warner Common Stock (TWX)	A	Dividend	J	T					
2. Time Warner Cable (TWC)	A	Dividend	J	T					
3. AOL	A	Dividend	J	T					
4. Abbot Lab Common Stock (ABT) (ABVIE) *	A	Dividend	J	T					
5. Wells Fargo Checking	A	Interest	J	T					
6. U.S. Savings Bonds		None	J	T					
7. Manulife Fin'l Corp. (MFC)	A	Dividend	K	T	Sold (part)	04/12/13	K	A	
8. Manulife Fin'l Corp. (MFC)					Sold (part)	08/15/13	K	A	
9. 6/10/91 Irrevocable Ins. Trust #1 Beneficiary		None	N	T					
10. 6/10/91 Irrevocable Ins. Trust #2		None	N	T					
11. Riversource Variable Universal Life Ins.		None	M	T					
12. Riversource Variable Universal Life Ins.		None	L	T					
13. Wells Fargo Savings (Y) *	A	Interest		T					
14. Wells Fargo (MM)	A	Interest	J	T					
15. Wells Fargo Checking	A	Interest	J	T					
16. Fidelity Adv. S/Cap Val-A (FCVAX)	A	Dividend		T	Sold	01/17/13	K	D	
17. Fidelity Adv. Lever Co. Stock-A (FLSAX)	A	Dividend	K	T	Sold	01/17/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Fidelity Adv. Lever Co. Stock-A (FLSAX)	A	Dividend	K	T	Buy	10/29/13	K	A	
19. Fidelity Adv. Strat Inc. (FSTAX)	A	Interest		T	Buy	10/29/13	K	D	
20. Fidelity Adv. Strat Inc. (FSTAX)	A	Interest		T	Sold (part)	01/17/13	J	A	
21. Fidelity Adv. Strat Inc. (FSTAX)	A	Interest		T	Sold (part)	10/29/13	K	A	
22. Fidelity Adv. Diverse Int'l-A (FDVAX)	A	Dividend		T	Sold	01/17/13	J	A	
23. Fidelity Adv. Stock Sel. Cap Cl. A (FMAMX)	A	Dividend	K	T					
24. Fidelity Adv. Diverse Int'l-A (FDVAX)	A	Dividend	J	T					
25. RVS Variable Annuity (IRA)	A	Dividend	N	T					
26. Eaton Vance Nat'l Muni (EANAX)	A	Interest	K	T	Sold (part)	01/14/13	J	A	
27. Eaton Vance Nat'l Muni (EANAX)	A	Interest	J	T	Sold (part)	02/21/13	J	A	
28. Eaton Vance Nat'l Muni (EANAX)	A	Interest	J	T	Sold (part)	04/15/13	J	A	
29. Ameriprise MM	A	Interest	L	T					
30. Ameriprise Cash	A	Interest	J	T					
31. Neuberger Berman Alis. Ret. Multi Mgr. Cl. A (NABAX)	A	Dividend		T	Sold	11/18/13	K	A	
32. Pimco Income Cl. A (PONAX)	D	Interest	M	T	Sold (part)	01/17/13	M	A	
33. Pimco Income Cl. A (PONAX)	D	Interest	M	T	Sold (part)	01/18/13	M	A	
34. Pimco Income Cl. A (PONAX)	D	Interest	M	T	Sold (part)	10/28/13	M	A	

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35. Invesco Endeavor (ATDAX)	A	Dividend	K	T	Buy	01/23/13	K	D	
36. Invesco Convertible (CNSAX)	A	Dividend	K	T	Buy	10/28/13	K	A	
37. First Eagle Overseas Cl. A (SGOVX)	B	Dividend	M	T	Buy	01/18/13	M	C	
38. Ivy Micro Cap Growth Cl. A	A	Dividend	K	T	Buy	10/28/13	K	B	
39. Managers Yacktmann (YAFFX)	A	Dividend	M	T	Buy	01/18/13	M	E	
40. Putnam Cap Opp.ys Cl A (PCOAX)	A	Dividend	L	T	Buy	01/23/13	K	D	
41. Ridgeworth Aggressive Growth STK Cl A (SAGAX)	A	Dividend	K	T	Buy	10/28/13	K	A	
42. Sun America Focu Div Strategy (FDSAX)	A	Dividend	K	T	Buy	10/28/13	K	A	
43. Virtus Emerging Markets Opp.y Cl. A. (HEMZX)	A	Dividend	J	T	Buy	01/18/13	J	A	
44. Virtus Emerging Markets Opp.y Cl. A. (HEMZX)	A	Dividend		T	Sold	10/28/13	J	A	
45. Wells Fargo Advantage Premier Large Co (EKJAX)	E	Dividend	M	T	Buy	01/23/13	M		
46. Ameriprise (AMP) (X)	A	Dividend	J	T					
47. DWS Sel Alt (SELAX)	A	Dividend		T	Sold	10/28/13	K	E	
48. DWS Sel Alt (SELAX)	A	Dividend		T	Buy	01/18/13	K	A	
49. Pimco Real Estate (PETAX)	A	Interest		T	Buy	01/18/13	K	A	
50. Pimco Real Estate (PETAX)	A	Interest		T	Sold	10/28/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, #4: The designation was ABT, but the company split on January 2, 2013, and now the designation for this entry is ABVIE.

#13 Wells Fargo Savings was closed in 2013.

Section VII, lines 26-29: Eaton Vance Mutual FDS FLTG (EVBLX) and Eaton Vance Mut'l Fds. Tr. Global Macro Absol. Ret'n (EAGMX) are no longer listed in the 2013 report as all shares had been sold in 2012. In the 2012 report, the sale was mistakenly marked as partial when in fact the entire asset was sold. The last of EVBLX was sold on 12/6/12, gain code A; the last of EAGMX was sold on 5/21/12, gain code A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Ellen C. Williams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544