

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DOMINGUEZ, DANIEL R.	2. Court or Organization US DISTRICT COURT PUERTO RICO	3. Date of Report 11/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE (Senior with 66% caseload)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address JOSE V. TOLEDO US COURTHOUSE SUITE 348 300 RECINTO SUR ST. SAN JUAN, PR 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Resource Management Account-(cash account)	A	Interest	J	T					
2. UBS Portfolio (H)									
3. -PR GNMA POOL 0397364X UNIT 099	A	Interest	K	T					
4. -PR GNMA POOL 0397364X UNIT 100	A	Interest	K	T					
5. -PR GNMA POOL 0437567X UNIT 094	A	Interest	K	T					
6. -PR GNMA POOL 0437567X UNIT 095	A	Interest	K	T					
7. -PR GNMA POOL 0402635X UNIT 100	A	Interest	K	T					
8. -PR GNMA POOL 0437567X UNIT 096	A	Interest	K	T					
9. -PR GNMA POOL 0437567X UNIT 097	A	Interest	K	T					
10. -PR GNMA POOL 0437567X UNIT 098	A	Interest	K	T					
11. -PR GNMA POOL 0437567X UNIT 099	A	Interest	K	T					
12. -PR GNMA POOL 0636592X UNIT 064	A	Interest			Sold	09/15/16	K	A	
13. -PR GNMA PL483972X	A	Interest	K	T					
14. -PRGNMA PL607391X	A	Interest	K	T					
15. -Puerto Rico AAA Portfolio Bond Fund (CUSIP No. 74514P108)	A	Dividend	J	W					
16. -Puerto Rico Investors Bond Fund	C	Interest	O	T					
17. Illinois St Univ CTFSRV BUILD A-2 01D97.713 BE/R/ 4.00 040131 DTD 0514	B	Distribution			Sold	09/22/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Kaufman TX CTF OF OBLIG BUILD 01D97.573 BE/R/3.500 081530 041514	A	Distribution			Sold	09/22/16	K	A	
19. -Puerto Rico Sales Tax Fing Corp S (CUSIP No. 74529JAC9)	B	Interest	M	T					
20. Victory Incore Fund Income Class A symbol: IPFIX		None	L	T	Buy	09/22/16	L		
21. Gloucester Co NJ Impt AU, CUSIP 37970PGLO		None	K	T					
22. Merrill Lynch Cash Money Account (X)	D	Int./Div.	M	T					
23. Merrill Lynch Portfolio (H)(X)									
24. -GNMP 30925007 #99, CUSIP 36231JWC3 (X)	A	Interest			Sold	03/15/16	K		
25. -GNMP 39659506 #100 (X)	A	Interest	K	T					
26. -GNMP 483972 #82 (X)	A	Interest	K	T					
27. -GNMP 607391 #97 (X)	A	Interest	K	T					
28. -GBMP 607391 #98 (X)	A	Interest	K	T					
29. -GNMP 607391 #99 (X)	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

UBS IRA Accounts: All UBS IRA accounts were closed in July 2015.

Part VII: Please note that the assests listed herein under lines 17, 18, that is, Illinois St Univ and Kaufman, were purchased on May 2, 2014. See Part VII, lines 6, 7 of the original Financial Disclosure Report, line 12.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANIEL R. DOMINGUEZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544