

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Facciola, John M.	2. Court or Organization District of Columbia	3. Date of Report 04/09/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2015
7. Chambers or Office Address E. Barrett Prettyman Courthouse 333 Constitution Avenue NW Washington DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 08/31/2014	Georgetown University Teaching at Foundations of American Law Program for foreign students	\$600.00
2. 09/30/2014	Georgetown University Law school Adjunct Professor Law-taught course in Information Technology and Modern Litigation	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 12/31/2014	Fairfax County School system salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Center for Professional Development	1/28/2014 to 1/31/2014	Tampa FL	conference	Meals, transportation and lodging
2. Center for Professional Development	1/28/2014 to 1/31/2014	Tampa FL	conference	Meals, transportation and lodging
3. ALM	02/04/2014 to 02/06/2014	New York NY	conference	Meals, transportation and lodging
4. American Bar Association	02/21/2014 to 02/26/2014	San Francisco CA	conference	Meals, transportation and lodging

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5.	Arizona State University Law School	03/12/2014 to 03/14/2014	Tempe AZ	conference	Meals, transportation and lodging
6.	NY Intellectual Property Association	03/28/2014 to 03/29/2014	New York NY	conference	Lodging and Transportation
7.	The Sedona Conference	05/07/2014 to 05/09/2014	Miami FL	conference	Meals, transportation and lodging
8.	National Institute for E-Discovery	05/16/2014	New York NY	conference	Transportation
9.	MER	05/19/2014	Chicago IL	conference	Transportation
10.	Federal Magistrate Judges Association	07/23/2014 to 07/24/2014	Philadelphia PA	attend annual meeting of Board of Editors of Federal Courts Law Review	Meals, transportation and lodging
11.	E-Discovery Intelligence	10/02/2014	Philadelphia PA	conference	Transportation
12.	Levin College of Law, University of Florida	10/05/2014 to 10/06/2014	Gainesville FL	conference	Meals, transportation and lodging
13.	EDI	10/15/2014 to 10/18/2014	Fort Lauderdale FL	conference	Meals, transportation and lodging
14.	John Hopkins University	10/23/2014 to 10/24/2014	Baltimore MD	conference	Meals, transportation and lodging
15.	Sandpiper Partners	10/28/2014	New York NY	conference	Transportation
16.	Ernst and Young	11/12/2014 to 11/14/2014	New York NY	conference	Transportation and lodging
17.	Gibbons	12/04/2014 to 12/05/2014	Morristown NJ	conference	Transportation and lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit Card	J
2.	Master Card	Credit card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Savings and Money Market BB& T	A	Interest	L	T					
2. Paine Webber Cash Fund	A	Interest	J	T					
3. Federal Justice Credit Union	A	Interest	J	T					
4. Lehman Bros Holding Inc. Preferred Stock		None	J	T					
5. Lehman Bros Holding Ind (II) Bond		None	J	T					
6. Pacific Frontiers Life Insurance Fund	B	Int./Div.	K	T					
7. Invesco Mortgage Capital Inc	B	Dividend	J	T					
8. United Development Funding IV	A	Dividend	J	T					
9. Brokerage Insured Deposit Account Bank of America	A	Interest	J	T					
10. Penn Mutual Flexible Premium Variable Life Insurance	A	Interest	K	T					
11. HSBC Bank USA NA US Indy Titans CD		None	J	T					
12. Brokerage Insured Deposit Account jmf gkf co-tenants Continental Bank	A	Interest	J	T					
13. Babson Capital (GLB Shourt Duration High Yield)	B	Dividend	K	T					
14. Smart Trust Zakcs Diversified Equity & Corp	A	Dividend			Sold	09/18/14	J		
15. Smart Trust Enhanced Value II std 7	A	Dividend			Sold	11/25/14	J	A	
16. Smart Trust Dynamic Sector Income 5	A	Dividend			Sold	06/16/14	K	A	
17. First Trust Bal Inc Eqty & ETF 5	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. CVR refining Ltd Partnership Com Unit Pub Traded Partnership	A	Distribution			Sold	05/16/14	J	B	
19. ARES Multi Strategy Credit Fund	A	Dividend			Sold	12/01/14	J		
20. Smart Trust Cap INov GLB infra & mlp 4	A	Dividend			Sold	11/14/14	J	A	
21. Smart Trust Tax Free Inc Ser 13 Trust	A	Dividend	J	T					
22. First Trust -3 Bal Incm Eqty & ETF 3	A	Dividend			Sold	12/12/14	K	A	
23. Smart Trust Strat Growth & Inc 4	B	Dividend	J	T					
24. Smart Trust ENH V;alue II 8	A	Dividend	J	T					
25. Smart Trust Cap Inov GLB infra & mlp 4	A	Dividend			Sold	11/14/14	J	A	
26. Smart Trust Tax Free Income Ser 14	A	Dividend			Sold	12/10/14	J	A	
27. Caryle Group Ltd Patnership	A	Distribution	J	T	Buy	02/25/14	J		
28. Smart Trust Strat Growth & Incm 5	B	Dividend	J	T	Buy	06/03/14	K		
29. Duff and Phelps Select energy MLP Fund	B	Dividend	J	T	Buy	06/30/14	K		
30. Goldman Sachs MPL Energy Renaissance FD	B	Dividend	J	T	Buy	09/30/14	K		
31. Tekla Health Care Opptys	B	Dividend	K	T	Buy	07/31/14	K		
32. Alcon US Partners Ltd Partnership		None	J	T	Buy	12/04/14	J		
33. First Trust DIVRS EQ Str Alloc 4 Q 14	A	Dividend	K	T	Buy	12/01/14	K		
34. Nuveen All Cap Energy MPL Opportunities Fund	A	Dividend	K	T	Buy	03/03/14	K		

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35. Miller Howard High Inc Equity Com SHS BEn Int	A	Interest	J	T	Buy	11/28/14	J		
36. Ivy Asset Strategy	A	Dividend	K	T	Buy	08/20/14	K		
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Facciola**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544