

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VANSICKLE, FRED	2. Court or Organization U.S. District Court	3. Date of Report 08/04/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 7 N. Wenatchee Avenue, Suite 310 Wenatchee, WA 98801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Washington State Judicial Retirement	\$62,090.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	State of Washington Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Money Market sweep account	A	Interest	K	T					
2. AQR Eqty Mkt Neutral FD (QMNIX)	A	Dividend	L	T	Buy	05/16/16	L		
3. Barclays PLC (BCS)	A	Dividend	K	T	Buy	07/01/16	K		
4. Boeing (BA)	B	Dividend	L	T					
5. Builders Firstsource (BLDR)	A	Dividend	K	T	Buy	11/15/16	K		
6. Charles Schwab Corp (SCHW)	A	Dividend	K	T	Buy	06/30/16	K		
7. Comcast Corp (CMCSA)	A	Dividend	K	T					
8. Communications Sales (CSAL)		None	K	T	Buy	12/01/16	K		
9. Corning, Inc (GLW)	A	Dividend			Sold	05/03/16	K		
10. Dupont Fabros Technology (DFT)	A	Dividend	J	T	Sold (part)	04/01/16	K		
11. Ensco Plc ADR (ESV)	A	Dividend	K	T	Buy (add'l)	10/14/16	J		
12. First American Fin Corp (FAF)	A	Dividend	K	T					
13. FMI Large Capital Fund	A	Dividend	J	T					
14. Global X Super Dividend US ETF (DIV)	B	Dividend			Sold	05/10/16	K		
15. Goldman Sachs MLP Energy (GMLPX)	C	Dividend	L	T					
16. Hart Cap Management Acct	A	Interest	L	T					
17. 1 Shares S&P Mid Cap (IJK)	A	Dividend			Sold	05/13/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. iShares S&P 500 Growth (IVW) f/k/a S&P 500 Growth	A	Dividend			Sold (part)	03/31/16	J		
19.					Sold	05/13/16	K	A	
20. Johnson & Johnson (JNJ)	B	Dividend	L	T					
21. JP Morgan Chase & Co (JPM)	A	Dividend	K	T					
22. JPMorgan Tax Aware Incm (JTAAX)	A	Dividend			Buy (add'l)	05/11/16	K		
23.					Sold	11/17/16	K		
24. Lamar Advertising (LAMR)	A	Dividend	K	T	Buy	01/19/16	K		
25. Las Vegas Sands Corp (LVS)	A	Dividend			Sold (part)	01/12/16	J		
26.					Sold	07/01/16	K	A	
27. Microsoft (MSFT)	B	Dividend	L	T					
28. MainGate MLP Fund (IMLPX)		None	K	T					
29. Mosaic Co (MOS)	A	Dividend	K	T	Buy	04/01/16	K		
30. Nasdaq 100 Shares	A	Dividend	L	T					
31. NCR Corp New (NCR)		None			Sold	04/04/16	J		
32. North Cascades Bank Shares	A	Dividend	K	T					
33. Norwegian Cruise Lin (NCLH)	A	Dividend	K	T	Buy	07/25/16	K		
34. Numerica CU	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Outfront Media Inc (OUT)	B	Dividend	K	T					
36. Pimco Eqs Long Short Fd	A	Dividend	J	T					
37. Potlatch Corporation New (PCH)	B	Dividend	K	T					
38. Power Shares QQQ Trust (QQQ) (X)	A	Dividend			Sold	05/13/16	K		
39. Power Shares Variable Rate Preferred ETF (VRP)	B	Dividend			Sold (part)	02/16/16	K		
40.					Sold	05/13/16	K		
41. Realty Income Corp (O)	A	Dividend	J	T	Sold (part)	02/17/16	K		
42. RMPI Account	A	Interest	K	T					
43. Schwab U.S. Mid-Cap ETF (SCHM)	A	Dividend	M	T	Sold (part)	11/10/16	K		
44. Schwab US Small Cap ETF (SCHA)	A	Dividend	K	T	Sold (part)	05/13/16	K		
45.					Sold (part)	07/01/16	K		
46.					Buy (add'l)	11/18/16	K		
47. Schwab US Large Cap ETF (SCHX)	A	Dividend	K	T	Sold (part)	01/19/16	K		
48.					Sold (part)	01/22/16	K		
49.					Buy (add'l)	11/16/16	K		
50. SPDR S&P Biotech ETF (XBI)	A	Dividend	J	T	Buy	11/16/16	J		
51. SPDR Doubleline TTL RTRN (TOTL)	A	Dividend			Buy	01/21/16	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	05/13/16	K		
53.					Buy (add'l)	09/07/16	J		
54.					Sold	11/15/16	L	B	
55. SPDR Dow Jones Global Real Estate ETF	A	Dividend	J	T					
56. Southwest Airlines	A	Dividend			Sold	01/20/16	K		
57. St. Jude Med (STJ)	A	Dividend	K	T					
58. Sterling Constructn (STRL)	A	Dividend	K	T	Buy	05/04/16	K		
59. Schwab Govt Money Fund (SWGXX) (X)		None	K	T					
60. Synchronoss Techs Inc (SNCR)		None			Sold	02/04/16	J		
61. Verizon Comm (VZ)	A	Dividend			Sold	08/23/16	K	A	
62. Vanguard Mkt Neutral Inv (VMNFX)	A	Dividend	L	T	Buy	02/23/16	L		
63.					Sold (part)	06/28/16	L		
64.					Buy (add'l)	09/07/16	L		
65. Wash Trust Savings	A	Interest	J	T					
66. Washington Federal Inc (WAFD)	A	Dividend			Sold	11/16/16	K	A	
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FRED VANSICKLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544