

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MONTALI, DENNIS	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 4/4/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address POST OFFICE BOX 7341 SAN FRANCISCO, CA. 94120-7341		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Associartin of Business Trial Lawyers
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	Septemember 9 & 10, 2011	Las Vegas, NV	Speaker at seminar	Travel, hotel charges and meals
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Morgan Chase	Mortgage	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real estate - Alameda Cty (\$340,000;fractional int.;acq 2/01		None	N	W					
2. Acct. Union Bank of California, SF		None	J	T					
3. Acct. Wells Fargo Bank, Berkeley	A	Interest	J	T					
4. Abbott Labs	A	Dividend	M	T	Buy	05/18/11	M		
5. American Centy Global Fund	B	Dividend	K	T					
6. American Centy Gvt Inc	C	Int./Div.	M	T					
7. Audubon Cellars, Inc. common		None	J	U					
8. Automatic Data Processing common	C	Dividend	M	T					
9. Baxter Int'l	A	Dividend	J	T	Sold (part)	12/22/11	L		
10. Cardinal Health common	A	Dividend	K	T					
11. Care Fusion		None	J	T					
12. Cognizant Tech. Solutions		None	M	T					
13. Colgate Palmolive & Co common	C	Dividend	M	T					
14. Dreyfus Active Midcap Fund A	A	Dividend	K	T					
15. Dreyfus Mid Cap Index Fund	B	Dividend	M	T					
16. Dreyfus Muni Bond Fund	A	Dividend	K	T					
17. Dreyfus Cal Tax Ex Fund	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Du Pont, E I De Nemour common	A	Dividend	K	T					
19. Exxon Mobil Corporation common	D	Dividend	O	T					
20. Federated High Yield Trust	A	Dividend	J	T					
21. Genuine Parts common	C	Dividend	M	T					
22. Hewlett Packard common	A	Dividend	J	T					
23. Honeywell Int'l common	B	Dividend	L	T					
24. Intel	A	Dividend	L	T					
25. Ishares MSCI Japan	A	Dividend	K	T					
26. Japan Fund Inc	A	Dividend	K	T					
27. Johnson & Johnson	C	Dividend	M	T					
28. Kimberly Clark	C	Dividend	L	T					
29. Managers Pimco Bond Fund (fka Managers Fremont Bond Fund)	C	Dividend	M	T					
30. Marisco Focus Fund	A	Dividend	K	T					
31. McDonalds Corp common	D	Dividend	M	T					
32. McGraw-Hill	A	Dividend	K	T					
33. Nestle SA	C	Dividend	M	T					
34. Novo-Nordisk	B	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pepisco common	B	Dividend	L	T					
36. Pimco Commodity Real Ret Strat	D	Interest	K	T					
37. Proctor & Gamble Co. common	C	Dividend	L	T					
38. Schlumberger	B	Dividend	L	T					
39. Schwab Money Market Fund		None			Merged (with line 43)	10/04/11	J		
40. Schwab Advisors Cash Reserve	A	Dividend			Merged (with line 43)	10/04/11	M		
41. Schwab Calif Muni Fund	A	Interest			Merged (with line 43)	10/04/11	M		
42. Schwab Value Advantage	A	Interest			Merged (with line 43)	10/04/11	K		
43. Schwab Government MMF	A	Interest	N	T	Open	10/04/11	N		
44. Schwab Corp common	B	Dividend	L	T					
45. Schwab 1000 Fund	A	Dividend	K	T					
46. Select Sector - Materials	B	Dividend	L	T					
47. SPDR Energ Select	A	Dividend	J	T					
48. S&P 500 Depository Receipts(fka SPDR Trust Unit)	B	Dividend	L	T					
49. SPDR Utilities Select	B	Dividend	L	T					
50. Stryker Corp	B	Dividend	L	T					
51. Sysco common	B	Dividend	L	T					

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52. T. Rowe Price Int'l Stk Fund	B	Dividend	M	T					
53. T. Rowe Price Equity Fund	B	Dividend	L	T					
54. T. Rowe Price Growth	A	Dividend	L	T					
55. T. Rowe Price - New Horizon	A	Dividend	K	T					
56. Templeton Developing Mkt	B	Dividend	M	T					
57. Templeton Global Inc. Fund	D	Dividend	M	T					
58. Templeton Global Bond (fka Templeton Income Trust)	B	Dividend	K	T					
59. 3M	C	Dividend	M	T					
60. United Parcel Service	B	Dividend	L	T	Buy (add'l)	06/01/11	L		
61. USAA Short term fund	B	Interest	L	T					
62. USAA Long term fund	C	Interest	L	T					
63. US Treas. Inflation Index Bonds	B	Interest	L	T					
64. USX-US Steel Corp	A	Dividend	L	T					
65. United Technologies	C	Dividend	M	T					
66. Wells Fargo Adv ST	C	Dividend	L	T					
67. Wells Fargo Adv Fund	A	Dividend			Sold	11/11/11	L		
68. Vanguard Intl Growth Fund	B	Dividend	L	T					

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69. Vanguard 500 Fund	C	Dividend	N	T					
70. Vanguard Wellesley Inc. Fund	B	Interest	K	T					
71. Vanguard Intl. Equity Index (Eur. stk)	C	Dividend	L	T					
72. Vanguard Wellington Fund	A	Dividend	K	T					
73. Vanguard Fxd Inc. GNMA	C	Interest	M	T					
74. Vanguard F-I Short Term	B	Interest	M	T					
75. Vodafone	B	Dividend	K	T					
76. Walt Disney Hldg common	A	Dividend	L	T	Buy (add'l)	06/01/11	K		
77. Walgreen Co. common	A	Dividend			Sold	11/11/11	L		
78. Western Union	A	Dividend	J	T					
79. Wisconsin Energy CP Hldg Co common	C	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DENNIS MONTALI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544