

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conway, Anne C.	2. Court or Organization Middle District of Florida	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active United States District	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Room 6750 401 W. Central Blvd Orlando, FL 32801-0675		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Advisors	Center for Governmental Responsibility, University of Florida College of Law
2. Trustee	Law Center Council, University of Florida College of Law
3. Executor	Estate #1
4. Trustee	Trust #1
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	Carlton Fields Profit Sharing Plan with former law firm - self directed
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Smokey Partnership, LLLP, Ltd Ptnr (f/k/a Robinson Props)	D	Interest	O	U					
2. [REDACTED] rental property, Orlando, FL	D	Rent	M	R					See part VIII
3. Carlton Fields Profit Sharing Trust:									
4. -Harbor Cap Appreciation Fund	A	Dividend	L	T					
5. -MSIF Mid-Cap Growth/P	C	Dividend	L	T					
6. - Vanguard Instl Index 500	C	Dividend	M	T					
7. Wells Fargo	A	Interest	J	T					
8. MS active assets acct	A	Interest	L	T					
9. Smokey Partnership, LLLP, Gen Ptr (f/k/a Robinson Prop, Gen)	A	Interest	K	U					
10. Oppenheimer Quest Bal Val A mutual fund (1)	A	Dividend	J	T					
11. Berkshire Hathaway B		None	K	T					
12. Wells Fargo - acct	A	Interest	L	T					
13. SEI Fixed Income Laddered Muni acct (X)	B	Interest	N	T	Buy	07/25/12	N		
14. Fidelity Brokerage acct (X):									
15. - Ishares Core Total US Bond Market	C	Dividend	M	T	Buy	02/06/12	M		
16. - US Treas Sec Stripped 2021	A	Interest	L	T	Buy	02/21/12	L		
17. - US Treas Sec Stripped 2022	A	Interest	L	T	Buy	02/21/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - US Treas Sec Stripped 2023	B	Interest	L	T	Buy	02/21/12	L		
19. - Fidelity US Treas MMA	A	Dividend	L	T	Buy	01/27/12	L		
20. - DFA US Core Equity		None	L	T	Buy	12/13/12	L		
21. - Harbor Int'l Institutional Fd	A	Dividend	K	T	Buy	02/06/12	K		
22. - Loomis Sayles Bond Fund	D	Dividend	M	T	Buy	02/06/12	M		
23. - Oppenheimer Dev Mkts	A	Dividend	K	T	Buy	02/06/12	K		
24. - Pimco Commodity	A	Dividend	K	T	Buy	02/27/12	K		
25. - Pimco Emerging Local	D	Dividend	M	T	Buy	02/06/12	M		
26. - Vanguard Dividend Growth Investors	A	Dividend			Buy	02/10/12	L		
27.					Sold	12/12/12	L	C	
28. - Vanguard Intermed Term	C	Dividend	M	T	Buy	02/29/12	M		
29. - Ally Bk Midvale UT CD	A	Interest	M	T	Buy	02/27/12	M		
30. - Ally Bk Midvale UT CD	A	Interest	L	T	Buy	02/27/12	L		
31. - Bank Baroda NY CD	A	Interest			Buy	09/24/12	M		
32. - Bank Baroda NY CD					Sold	12/26/12	M	A	
33. - Beal Bk USA Las Vegas, NV CD	A	Interest	M	T	Buy	02/24/12	M		
34. - Discover Bk Greenwood DEL Fixed Coupon	A	Interest	M	T	Buy	02/27/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Discover Bk Greenwood DEL Fixed Coupon	A	Interest	L	T	Buy	02/27/12	L		
36. Estate #1	D	Int./Div.	M	T					
37. - Wells Fargo, common stock					Distributed	11/02/12	K		Transferred to Trust #1
38. - Hubbel, Inc. Class B common stock					Distributed	12/28/12	M		Transferred to Trust #1
39. - VF Corporation common stock					Distributed	12/19/12	M		Transferred to Trust #1
40. - Laurel Homes, Inc common stock					Distributed	12/31/12	P1		Transferred to Trust #1
41. - Arbor Properties, Inc common stock					Distributed	12/31/12	N		Transferred to Trust #1
42. - Laurel Agency, Inc. common stock					Distributed	12/31/12	J		Transferred to Trust #1
43. - Laurel Builders, Inc. common stock					Distributed	12/31/12	O		Transferred to Trust #1
44. - Schrimsher Exploration, Ltd - Ltd Partner					Distributed	12/31/12	J		Transferred to Trust #1
45. - [REDACTED] rental property Orlando, FL					Distributed	06/28/12	M		Transferred to Trust #1
46. - Wells Fargo - checking acct					Closed	07/10/12	J		
47. - Merrill Lynch CMA fund					Distributed (part)	09/05/12	P1		Transferred to Trust #1
48. - Smokey Partnership, LLLP, Ltd Ptr (f/k/a Robinson Prop Ltd					Distributed	12/31/12	M		Transferred to Trust #1
49. Trust #1	D	Int./Div.	P2	W					
50. - Wells Fargo (f/k/a Wachovia Bank), common stock					Open	11/02/12	K		
51. - Hubbel, Inc. Class B common stock					Open	12/28/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - VF Corporation common stock					Open	12/19/12	M		
53. - Laurel Homes, Inc common stock					Open	12/31/12	P1		
54. - Arbor Properties, Inc common stock					Open	12/31/12	N		
55. - Laurel Agency, Inc. common stock					Open	12/31/12	J		
56. - Laurel Builders, Inc. common stock					Open	12/31/12	O		
57. - Schrimsher Exploration, Ltd - Ltd Partner					Open	12/31/12	J		
58. - rental property Orlando, FL					Open	06/28/12	M		
59. - Smokey Partnership, LLLP, Ltd Ptr (f/k/a Robinson Prop Ltd					Open	12/31/12	M		
60. - Fidelity Brokerage:					Open	09/05/12	P1		
61. --Ishares TR Russell 1000 Growth Index Fund					Buy	11/20/12	M		
62. --DFA 1 year Fixed Income					Buy	10/15/12	M		
63. -- DFA Int'l Small Co. Port					Buy	10/15/12	K		
64. --DFA Inv't 2 year Global Fixed					Buy	10/15/12	L		
65. --DFA Emerging Markets					Buy	10/15/12	L		
66. --DFA Large Cap Int'l					Buy	10/15/12	K		
67. --Harbor Capital Apprec					Buy	10/15/12	M		
68.					Sold	11/16/12	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Vanguard Explorer					Buy	10/15/12	L		
70. --Vanguard Short Term Treasury					Buy	10/15/12	K		
71. --Vanguard Inflation Protected					Buy	10/15/12	L		
72. --Vanguard Value Index					Buy	10/15/12	L		
73. --Vanguard Small Cap					Buy	10/15/12	K		
74. --Fidelity Tax-Free MMA					Buy	09/05/12	P1		
75.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 2 - [REDACTED] property in Orange County, FL costing \$78,891, acquired 4/1/82

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Conway, Anne C.

Date of Report

05/08/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anne C. Conway

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544